

# *Surface and bulk defects of diamond and correlated electronic variations*

Christoph E. Nebel

*Fraunhofer Institute of Applied Solid State Physics (IAF)*

*Freiburg, Germany*

## Outline:

### I. Surface Properties

- Morphology
- Termination
- Surface Band Bending
- Schottky Contact Properties

### II. Bulk properties

- Mobilities
- P1 and H1 Centers
- $\mu\tau$ -Products
- Deep Defects

# Acknowledgement

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- Dr. Watanabe (homo-epi. growth) AIST, Japan
- Dr. Misouchi (EPR) Univ. Tsukuba, Japan
- Dr. Gräff (EPR) Uni. Sao Paulo, Brasil

# I.a) Surface Morphologies

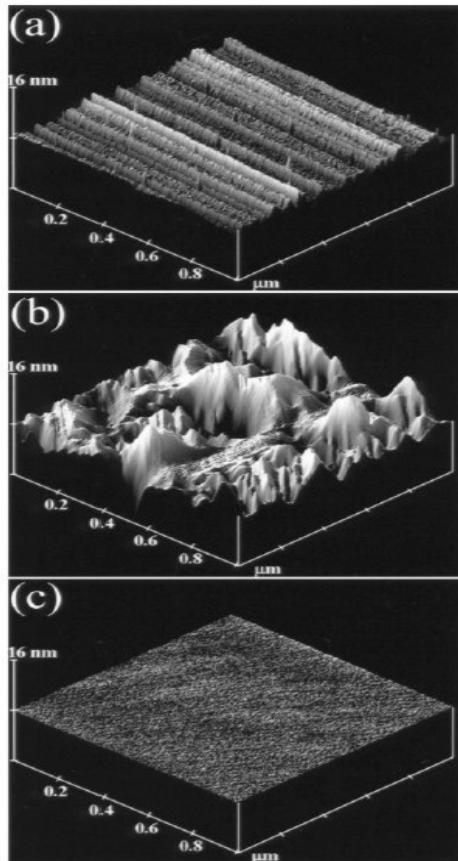
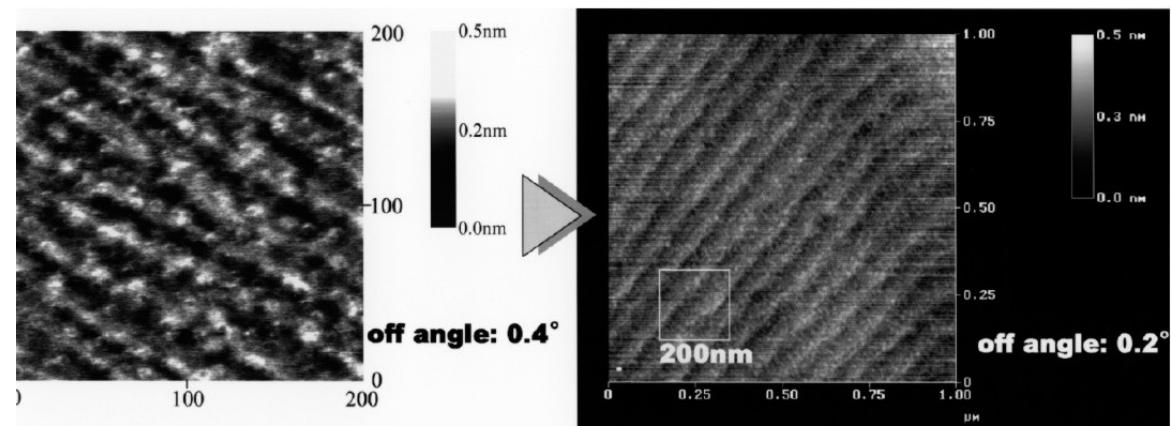


Fig. 3. AFM top view images of (a) surface of as-received Ib (001) substrate, (b) surface treated by the H-plasma (i.e. 0% CH<sub>4</sub> concentration) for 6 h, and (c) growth surface at 0.025% CH<sub>4</sub> concentration for 42 h.



2. Typical surface morphologies of the homoepitaxial diamond film in region III in Fig. 1. (a) a top-view AFM image (200 nm × 200 nm × 0.5 nm) for the surface morphology for the misorientation angle of 0.4° at 0.025% CH<sub>4</sub>/H<sub>2</sub> ratio for 42 h deposition, and (b) a top-view AFM image (1 μm × 0.5 nm) for the misorientation angle of 0.2° at 0.025% CH<sub>4</sub>/H<sub>2</sub> ratio for 6 h deposition.

Atomically flat surface with step-etch growth.  
Terraces run parallel to the (110) direction

H.Okushi, Diam. Rel. Mat. 10, 281 (2001)

# Homo-Epitaxial Growth of CVD Diamond (AIST)

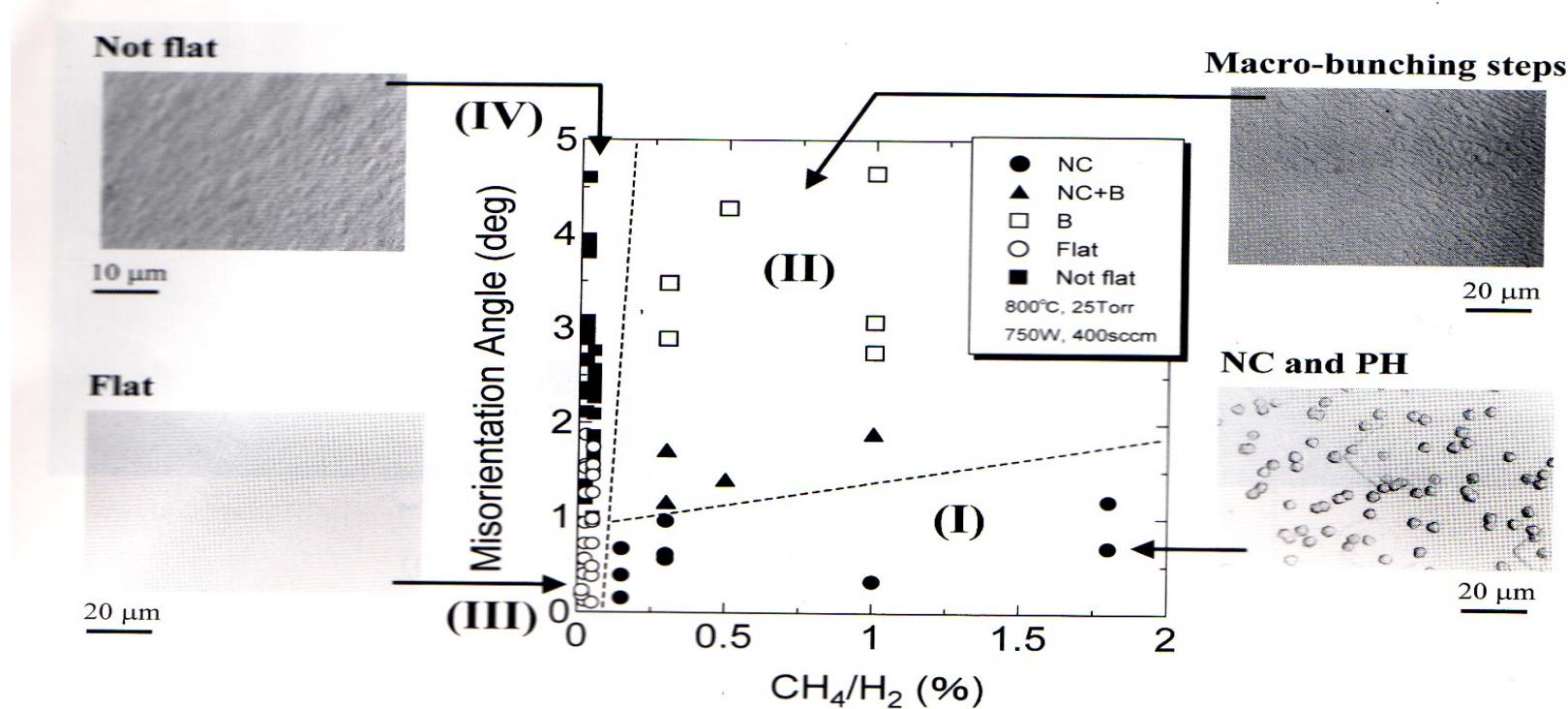


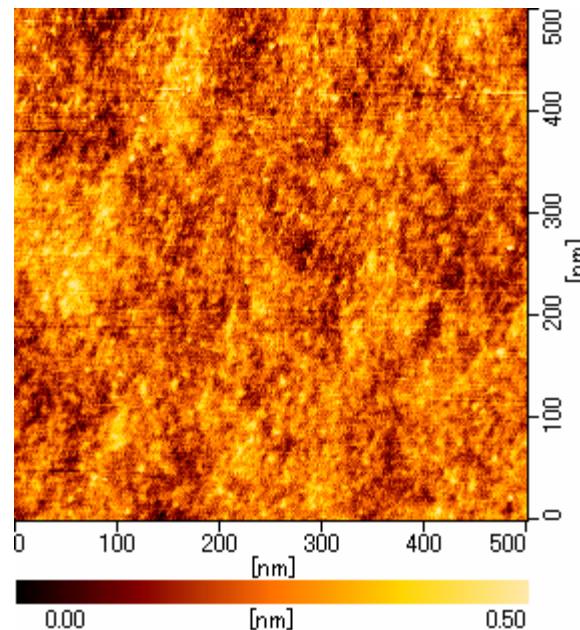
Fig. 1. Map of the surface morphology as a function of both the misorientation angle and the  $\text{CH}_4/\text{H}_2$  ratio.<sup>(1)</sup> The dotted lines in the figure serve as a guide to the eye and are used to divide the area into four regions I–IV. A detailed explanation is given in the text.

H. Watanabe et al., New Diamond and Frontier Carbon Technology, 12, 369 (2002)

but: extremely low growth rate (10 nm/h)

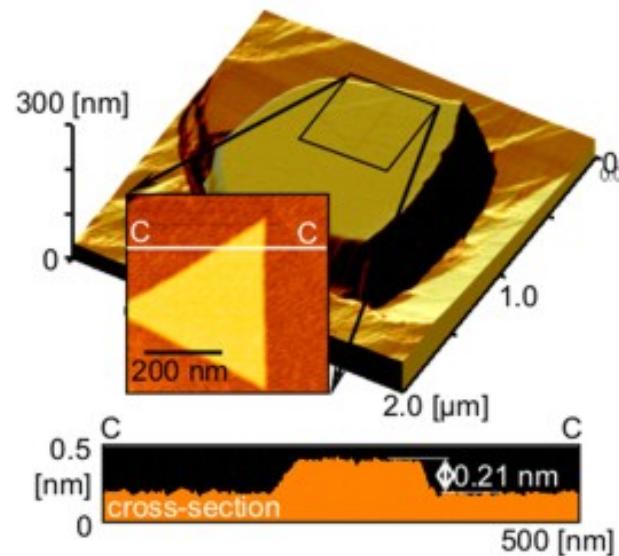
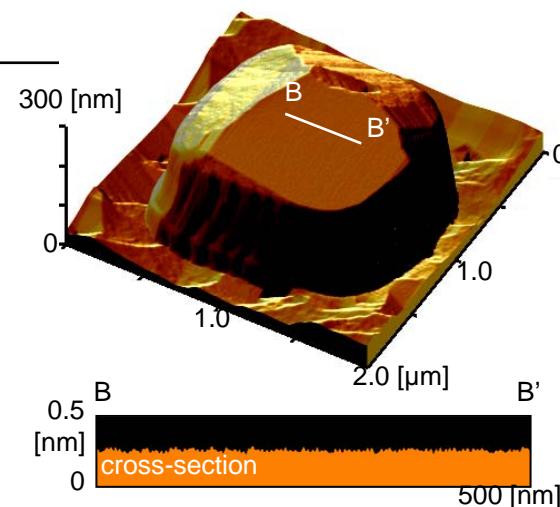
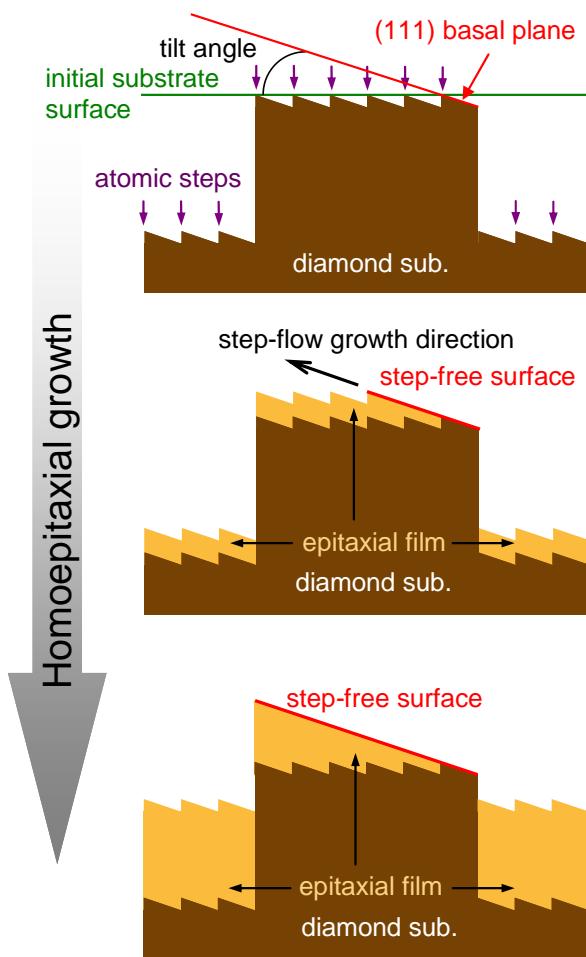
# Surface Properties of Homo-Epi. Diamond

“Atomically” Smooth Surface  
RMS = 0.8 Å

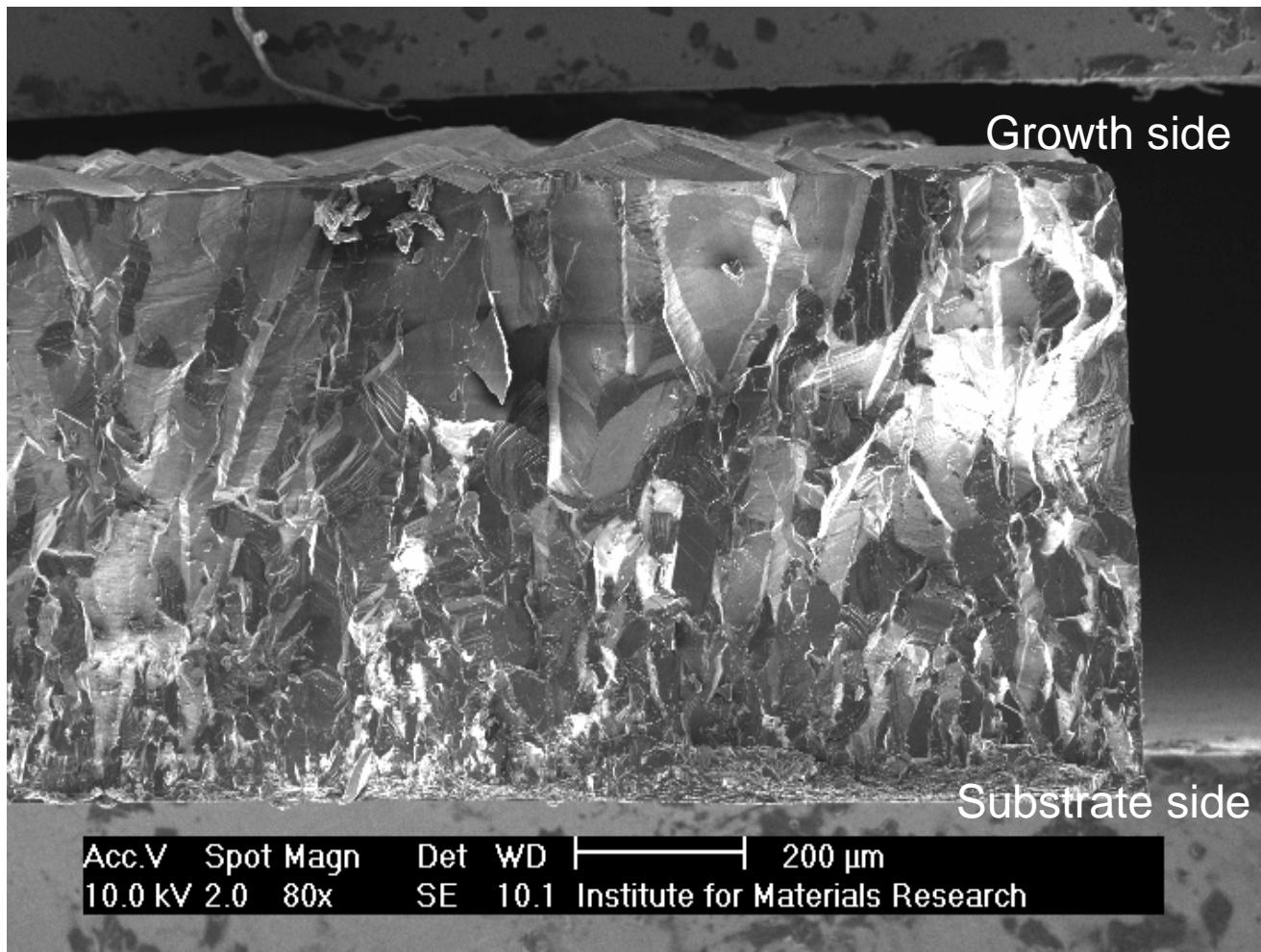


# "Truly Atomically" Smooth CVD Diamond

N. Tokuda et al. sub.



# Polycrystalline CVD Diamond:



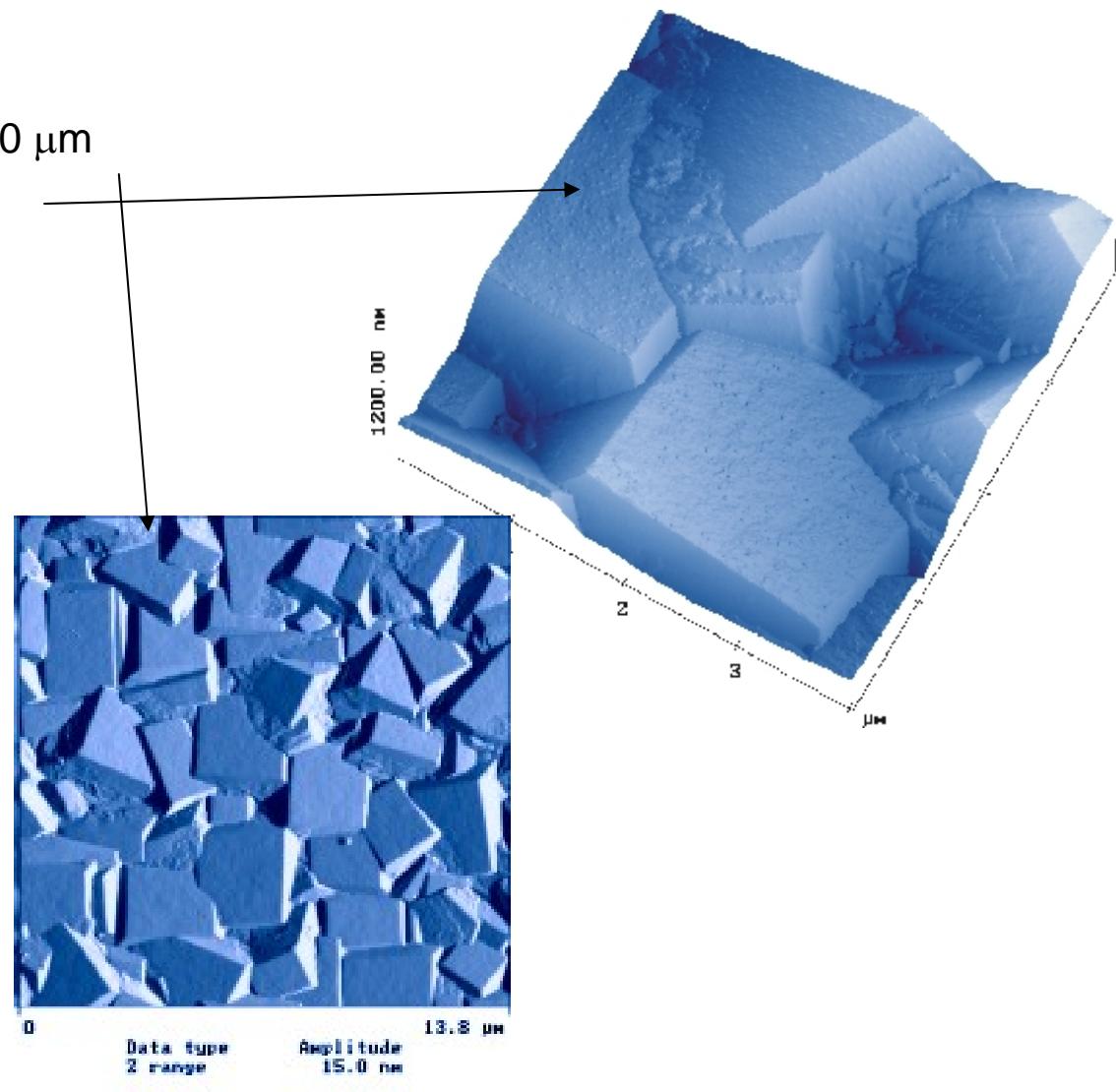
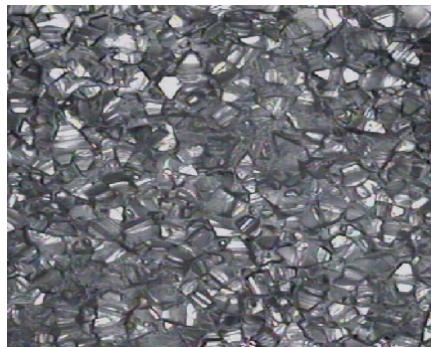
fast growth, large area

M. Nesladek

# Diamond Surface: Poly CVD Diamond

Crystallite roughness about: 20 – 50  $\mu\text{m}$

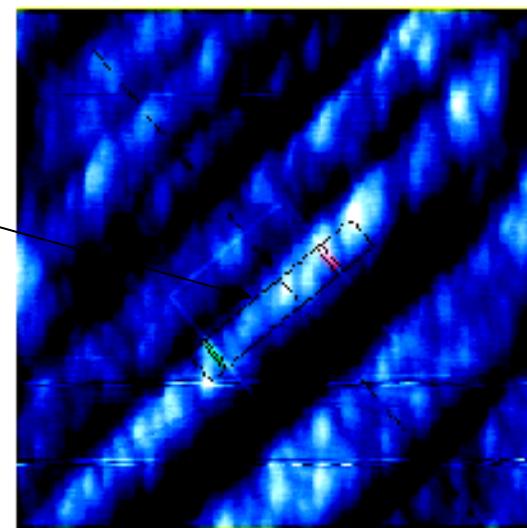
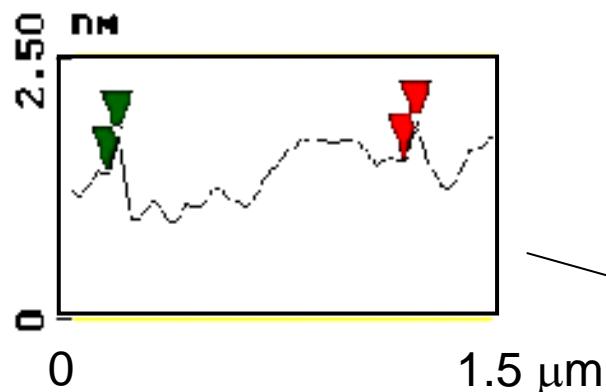
Roughness on planes: 10 – 20 nm



# Polished Diamond

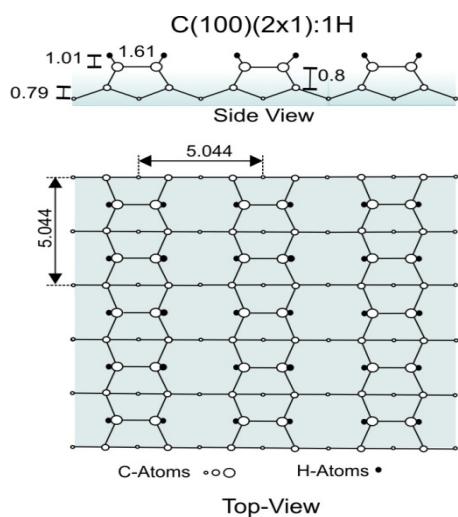
Polishing Roughness: 2 - 15 nm

Fine structure roughness: 5 Å

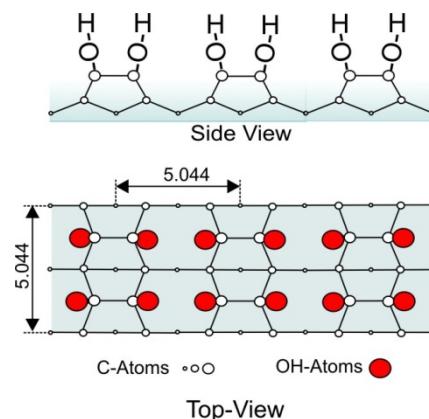


# I.b) Diamond Surface Terminations C(100)(2x1)

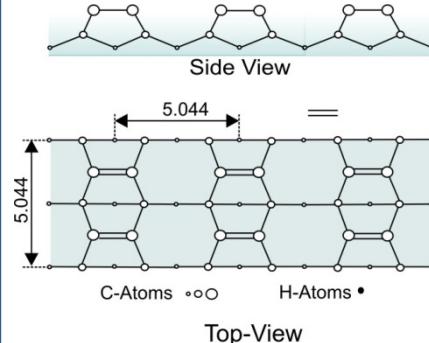
## Hydrogen Terminated Diamond



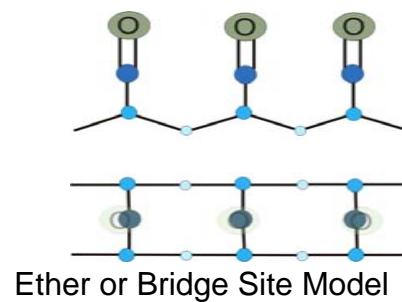
## Wet-chemically or electrochemically oxidized



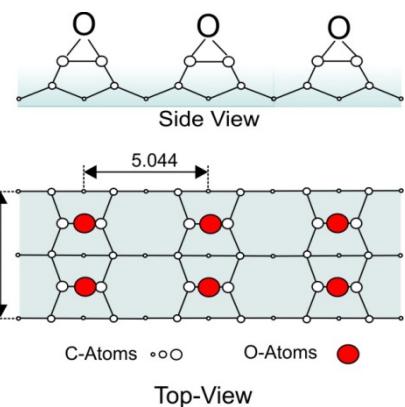
## Reconstructed Diamond



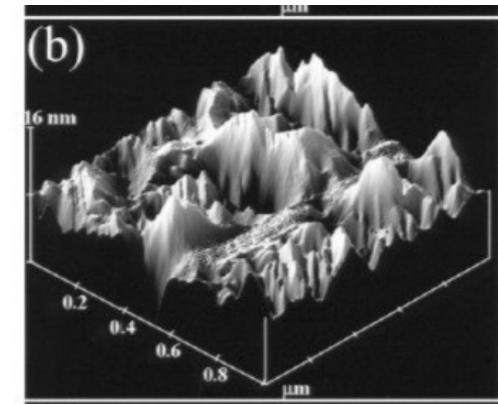
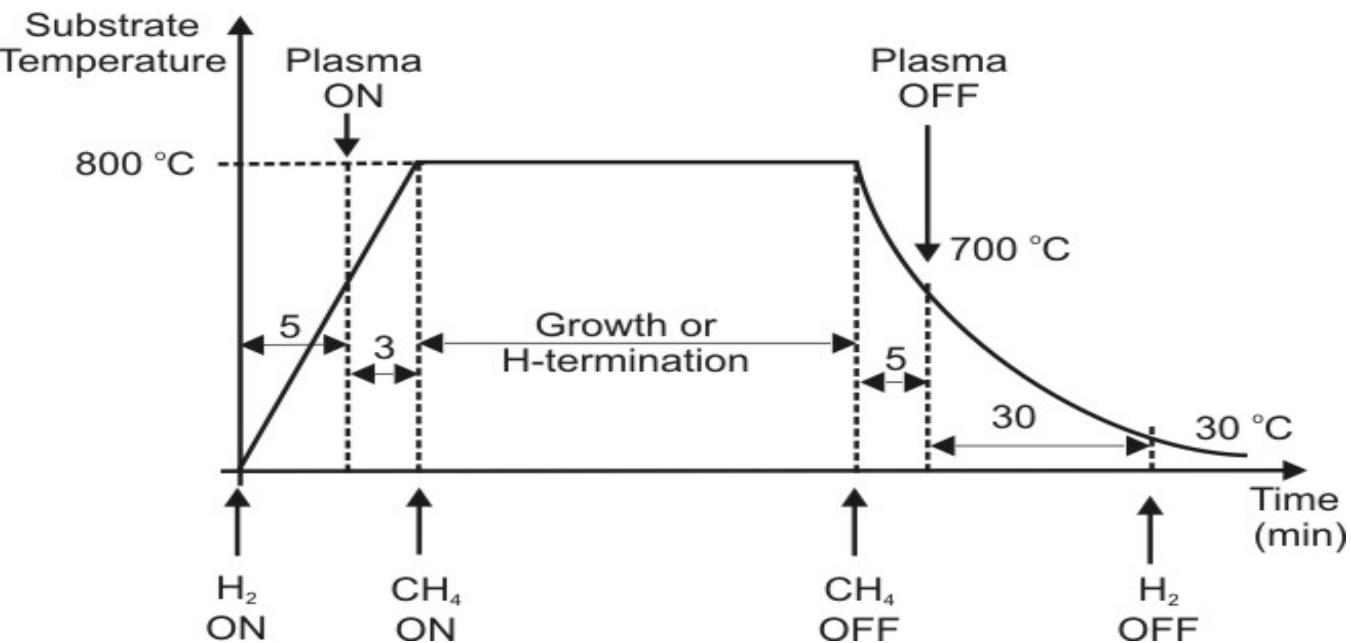
## Plasma-oxidized Diamond



## Ketone or Top Site Model



# H-Termination



after 6 h H-plasma

## H-termination parameter

sample	d01
date	8.6.04
stage temperature	[°C]
microwave power	[W]
total gas pressure	[Torr]
total $H_2$ flow	[sccm]
total $CH_4$ flow	[sccm]
exposure time	[min]
plasma off at	[°C]

# Defect Free: C(100)-(2x1):H

H-termination: ex-situ at 800 °C, for 1h

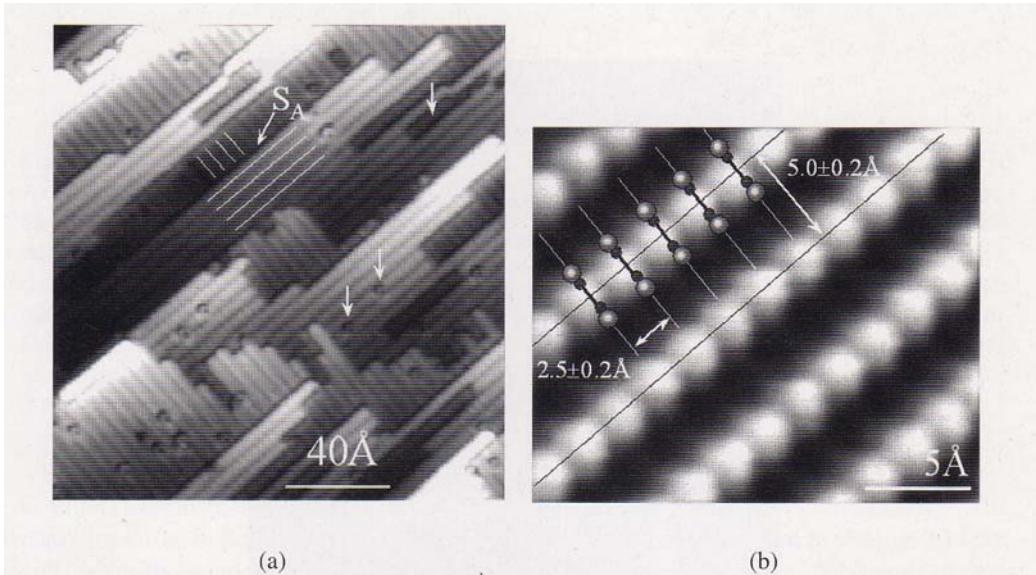
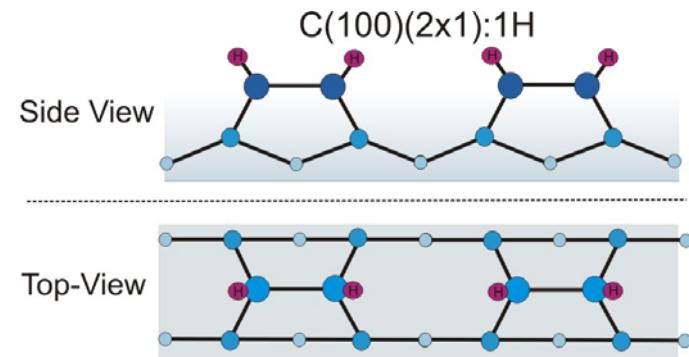


Fig. 2. STM topographies of the hydrogenated diamond C(100)-(2x1):H surface: (a)  $U_{\text{bias}} = +1.5$  V,  $I_t = 1.5$  nA (unoccupied states) and (b)  $U_{\text{bias}} = -1.5$  V,  $I_t = 1.0$  nA (occupied states). The bright lines on the top topography indicate the C-C dimer rows in the vicinity of the step ( $S_A$ ). Reproduced from ref. 9.



- Microwave Plasma CVD
- Hot Filament Technique

“Atomically” flat surface with mono-atomic steps

A. Maynev, G. Dujardin, New Diamond and Frontier Carbon Technology 15 (2005) p. 265.

# Summary H-termination

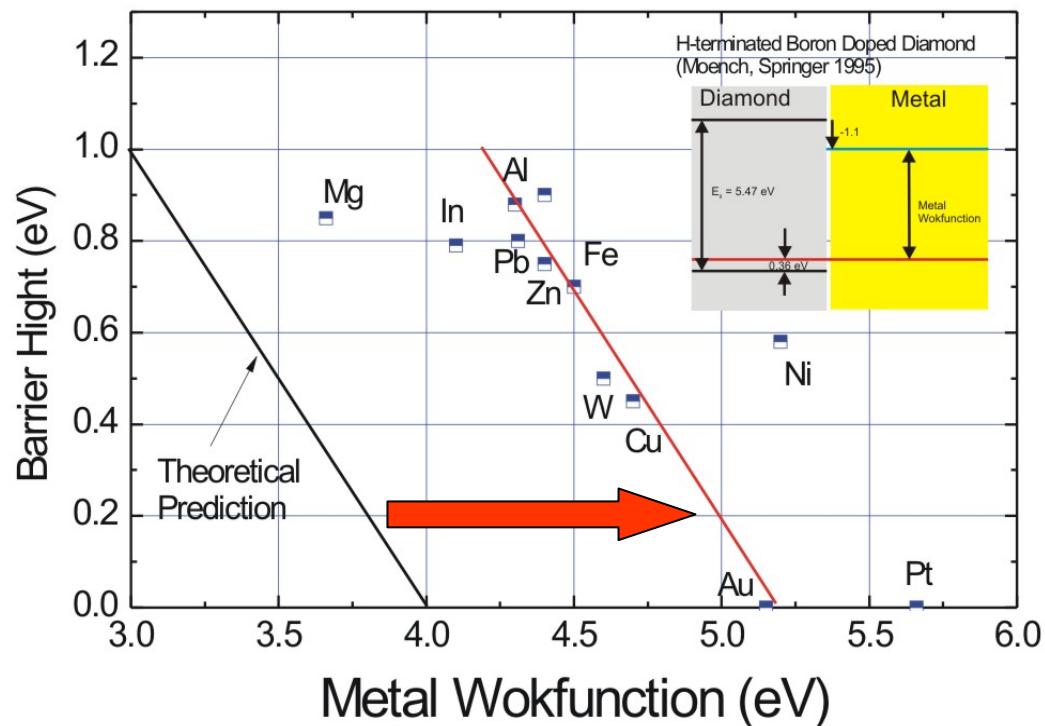
- If H-termination is optimized:
- Unpinned Surface Fermi level
- High quality surface with minimized defect density
- Schottky-Mott Law ok ?

Schottky Barrier Height:

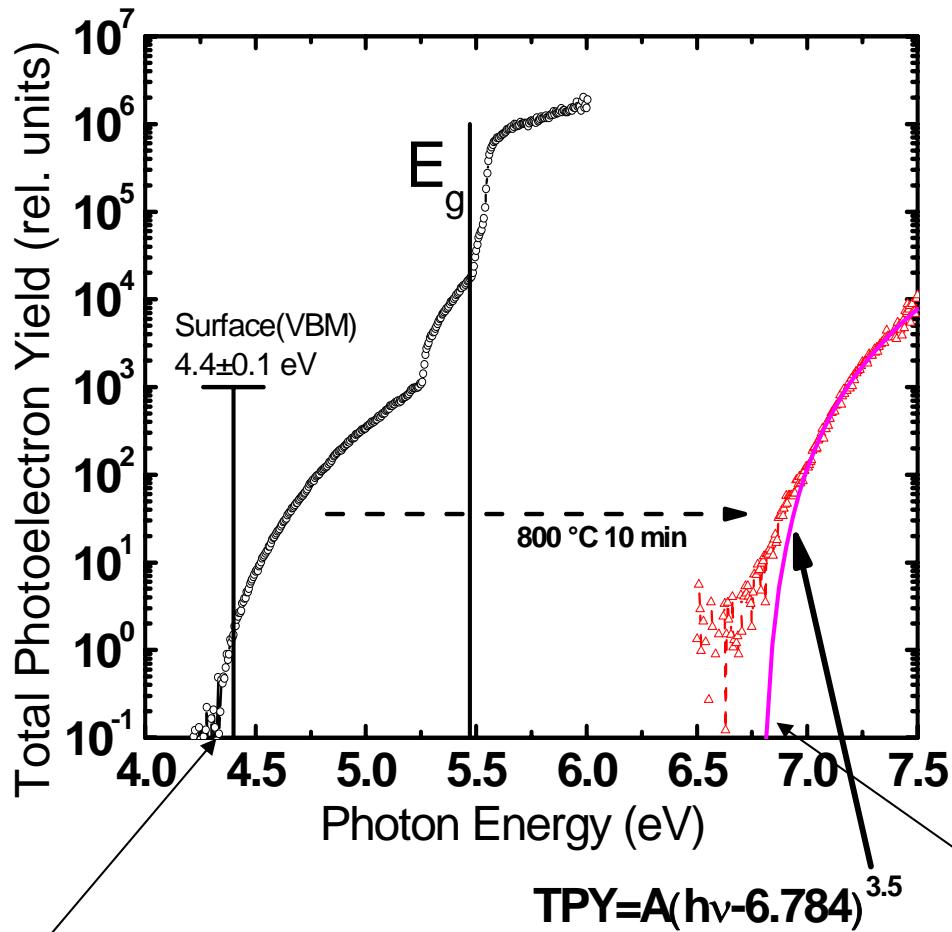
$$q\Phi_{Bn} = q(\Phi_m - \chi)$$

But:

- Data in literature does not show NEA properties
- 1.2 eV shift



# Electronic Surface Properties



H-termination:  
negative electron affinity: -1.1 eV

Maier, Ley, Ristein et al.  
Takeuchi et al.

UHV annealed sample ( $800\text{ }^\circ\text{C}$ ):  
positive electron affinity: +1.2 eV

# Band Bending of H-Terminated Diamond

$$Q_{sc} = qN_D W = \sqrt{2q\epsilon_s N_D(V_{bi} - V)} \quad \text{C/cm}^2$$

$$C = \left| \frac{\partial Q_{sc}}{\partial V} \right| = A \sqrt{\frac{q\epsilon_s N_D}{2(V_{bi} - V)}} = \frac{\epsilon_s}{W} \quad \text{F/cm}^2.$$

Equation 10 can be written in the form

or



$$\frac{1}{C^2} = \frac{2(V_{bi} - V)}{A^2 q \epsilon_s N_D}$$

Built-in Potential  $V_{bi}$   
Acceptor Density  $N_D$

Doping Profiling as function of x:

$$\frac{-d(1/C^2)}{dV} = \frac{2}{q\epsilon_s N_D} A^2$$

$$N_D = \frac{2}{q\epsilon_s A^2} \left[ \frac{-1}{d(1/C^2)/dV} \right].$$

$$C = \epsilon_0 \epsilon_r A/w$$



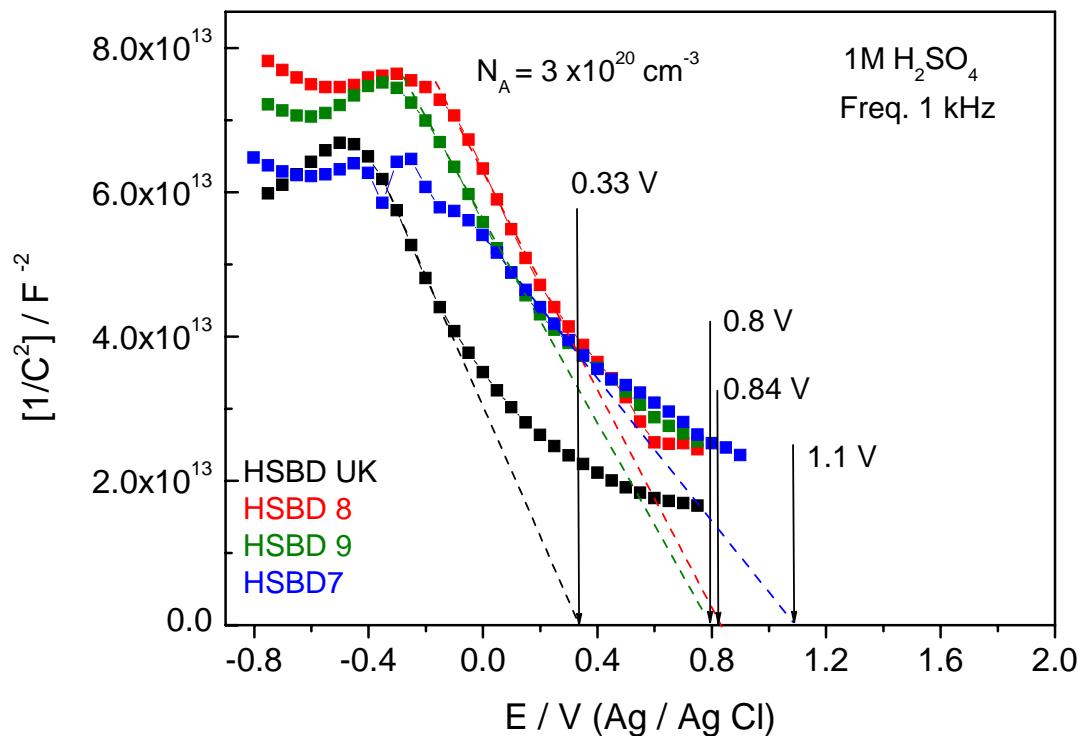
Gives Depth



Gives Acceptor Density

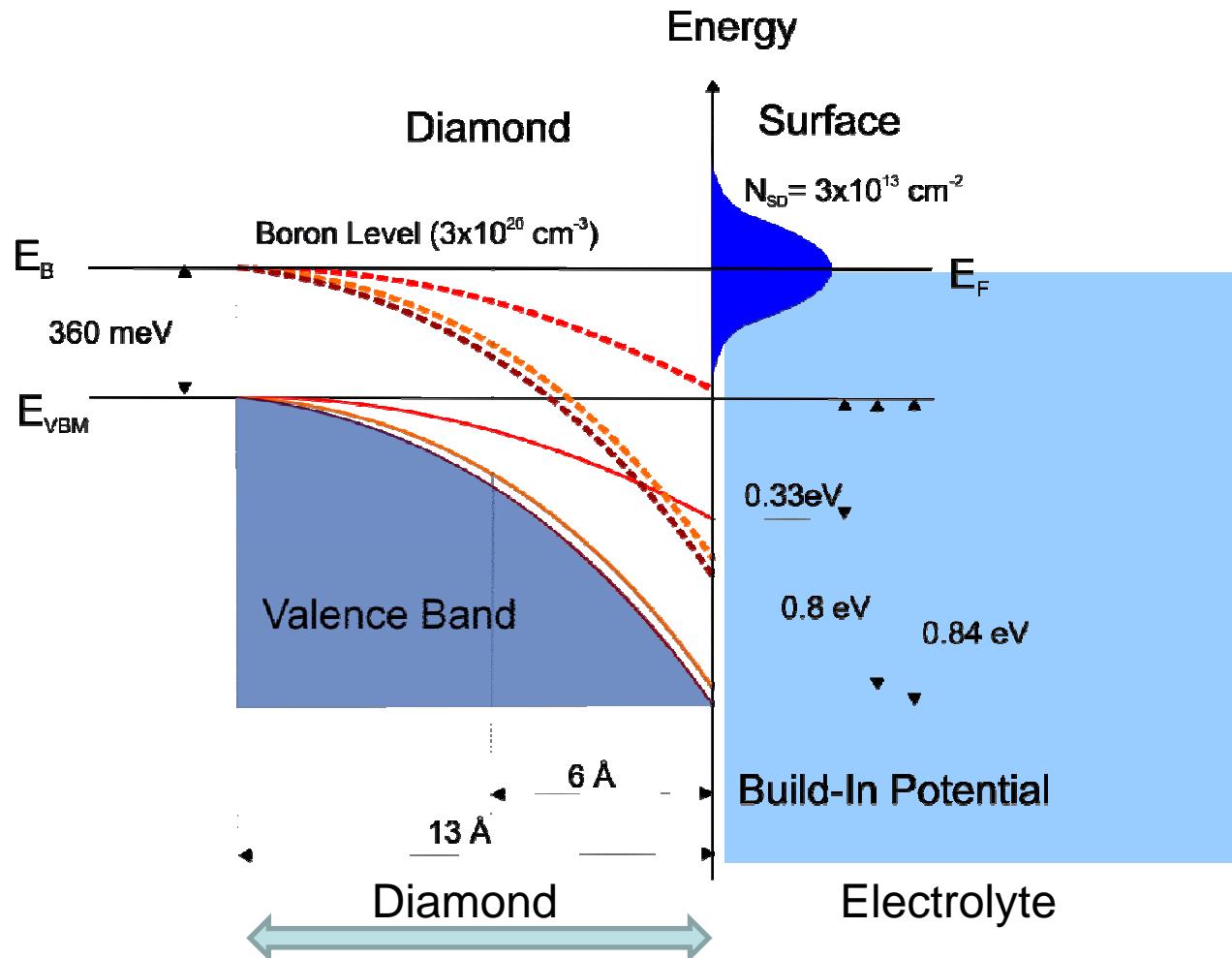
# H-term. Boron doped Diamond in 1 M $\text{H}_2\text{SO}_4$

## Capacitance Voltage Spectroscopy



Built-In Potential: 0.3 – 1.1 V  
Energy Barrier: 0.66 – 1.46 eV  
Capacitance of Depletion Layer:  
5  $\mu\text{F}/\text{cm}^2$

# Diamond Interface To Buffer



Capacitive Layer Width: 10 Å  
Small Capacitance !

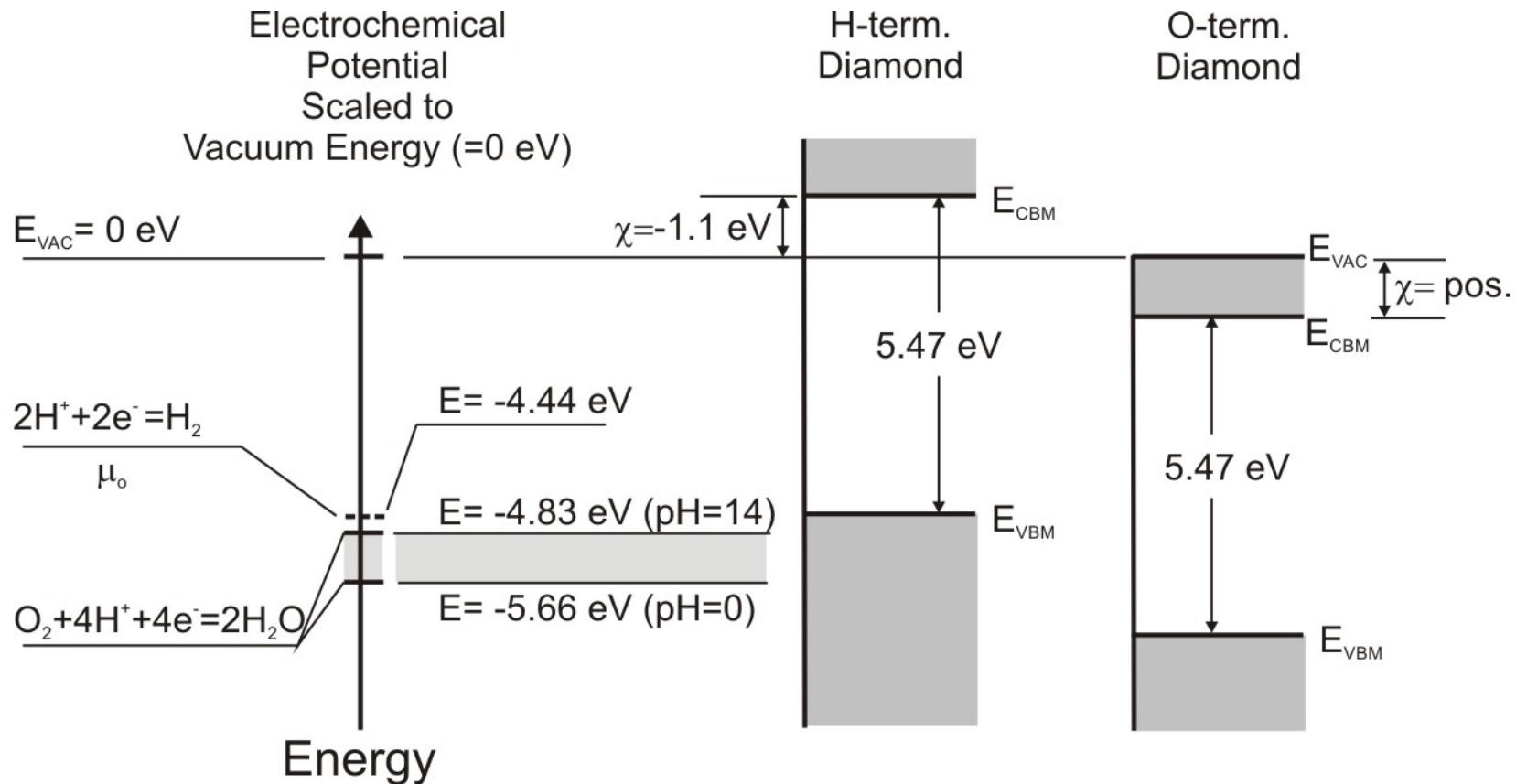
## Remember Metals:

Charge separation at interface:  
1 to 2 Å  
("Helmholtz Capacitance"):  
Large Capacitance !



Background Current:  $I = v C$

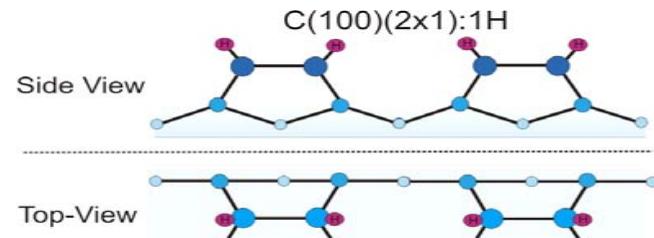
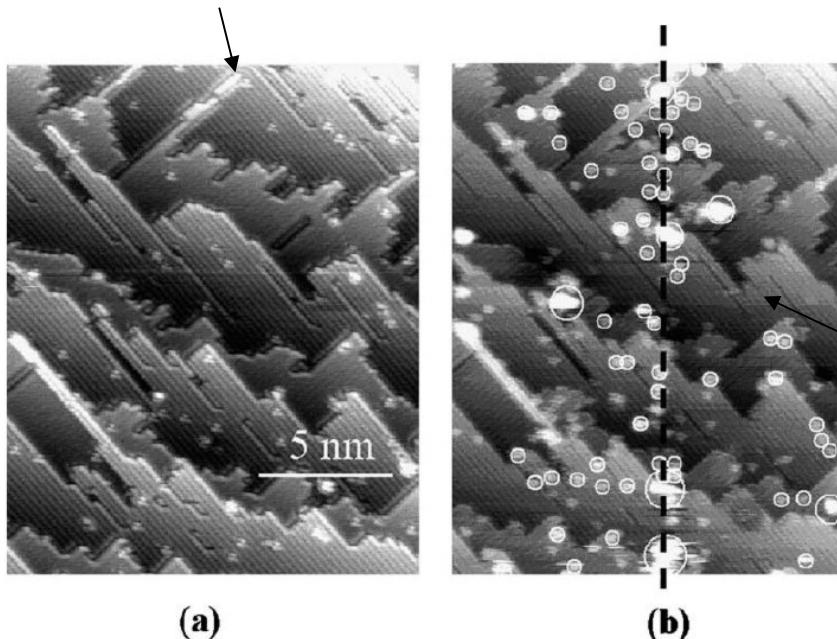
# Energy Levels of Buffers



# Local Oxidation (STM): C(100)-(2x1):H

H-termination: ex-situ at 800 °C, for 1h

a): Atomically flat surface with mono-atomic steps

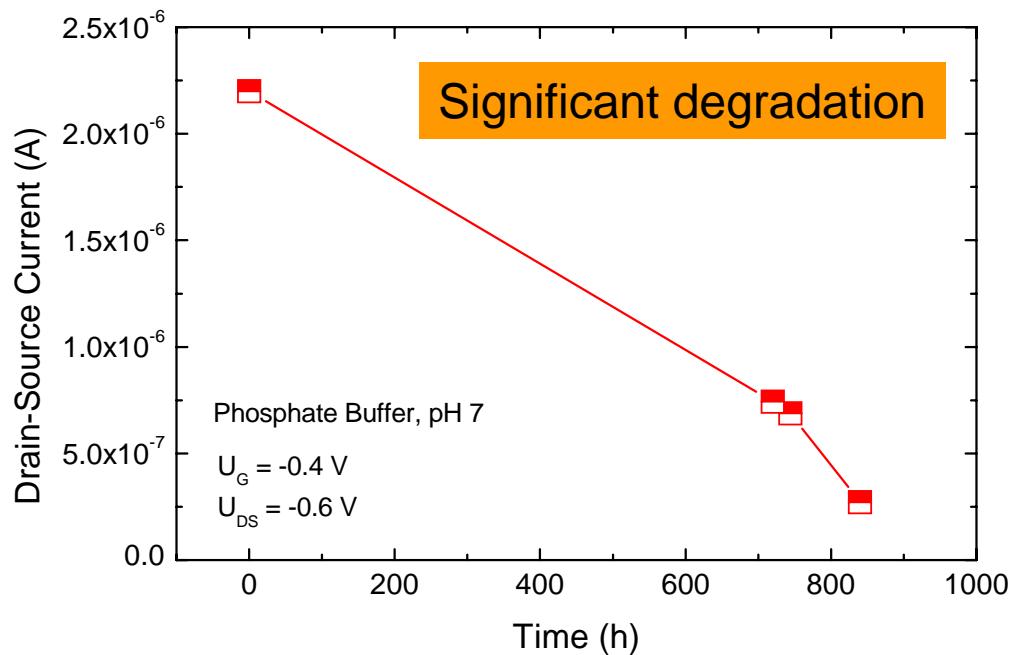


b) After desorption  
of individual H by STM tip.  
Circles are defects

Fig. 1. Desorption of hydrogen from the hydrogenated diamond C(100)-(2 × 1):H surface. The corresponding STM topographies ( $15 \times 19$  nm) before (a) and after (b) the desorption procedure were recorded at  $U_{\text{bias}} = -1.5$  V,  $I_t = 1.0$  nA. The dashed line in (b) indicates the manipulation line. The bright features in (b), scattered around the dashed line and highlighted by enclosing into white circles, represent the dangling bonds after desorption of individual hydrogen atoms.

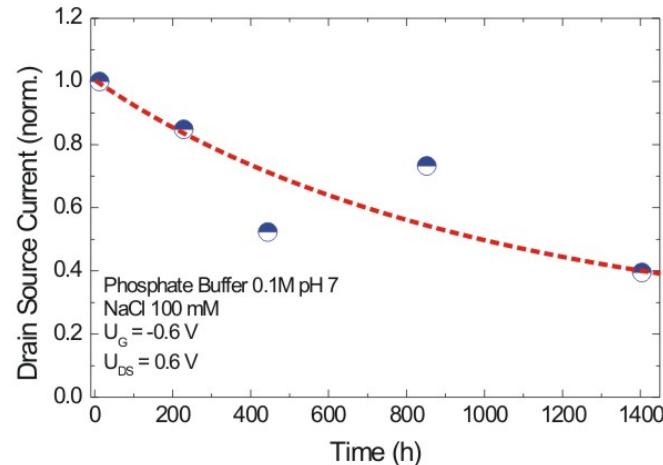
# Surface Degradation

DNA-FET in buffer



Amplitude decrease: 70 % (700 h)

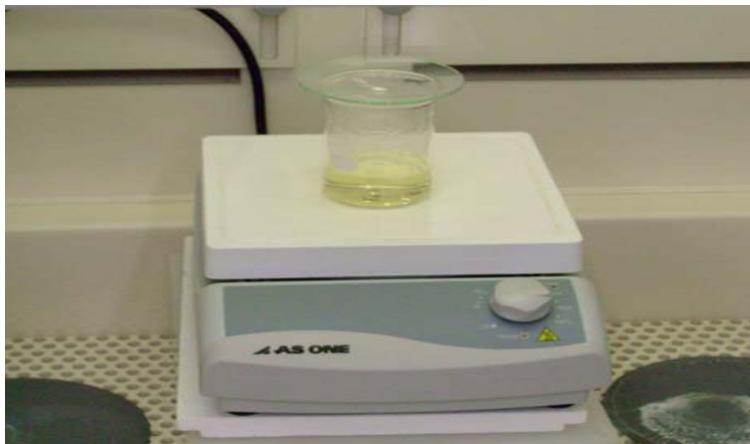
FET in Air



H-term. Surface:  
Decrease: 40 % (700 h)

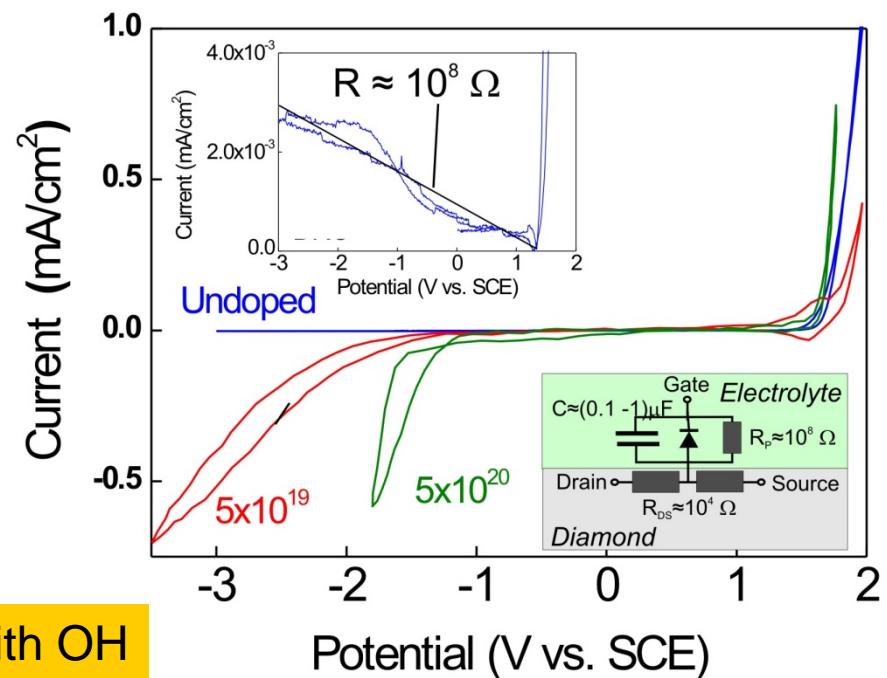
H-terminated diamond in air is chemically not stable

# Wet-Chemical/ Electrochemical Oxidation



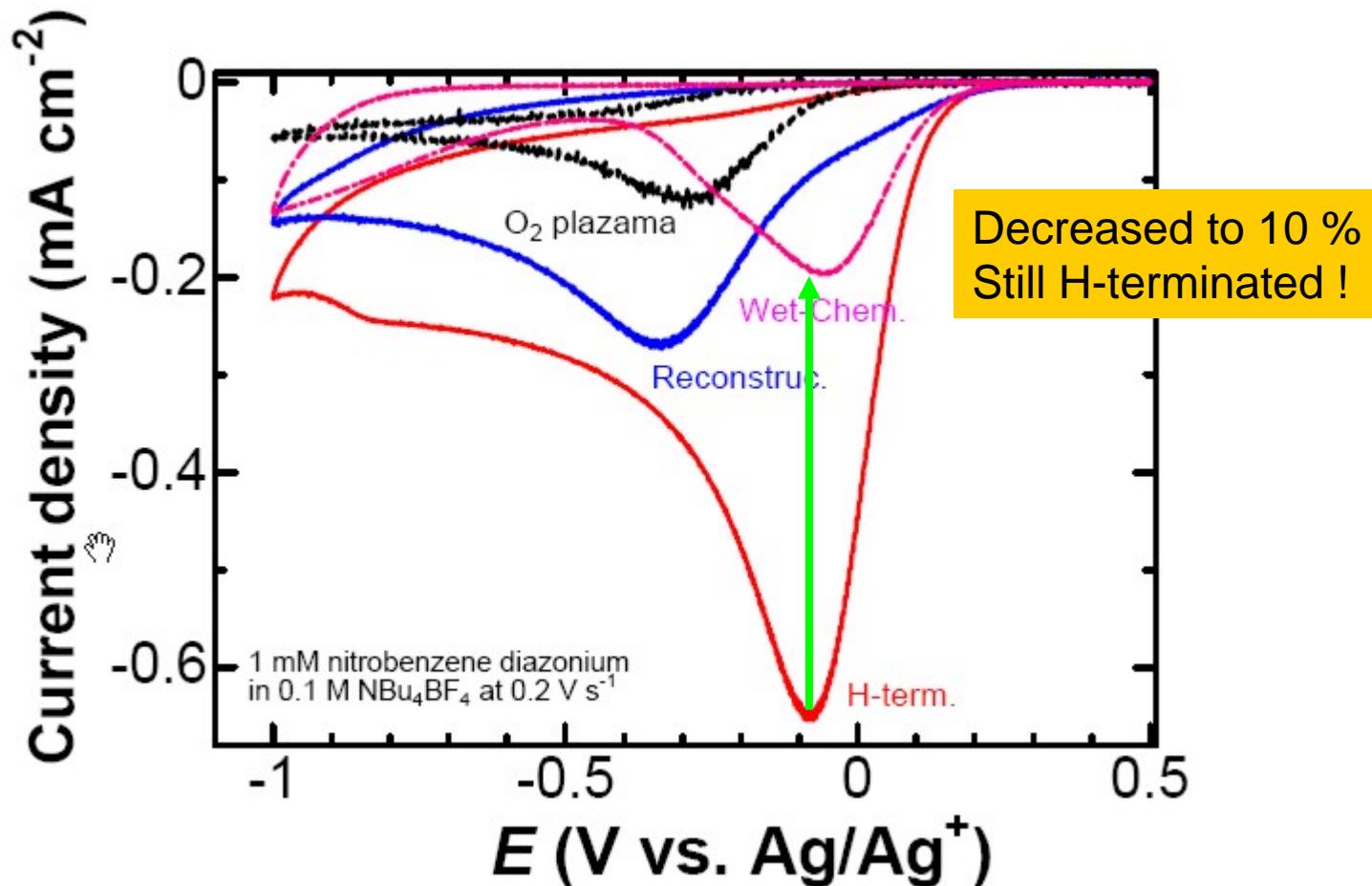
Boiling in:  
 $\text{HNO}_3:\text{H}_2\text{SO}_4 = 1:3$   
at 230 °C  
for 1 h

Termination with OH

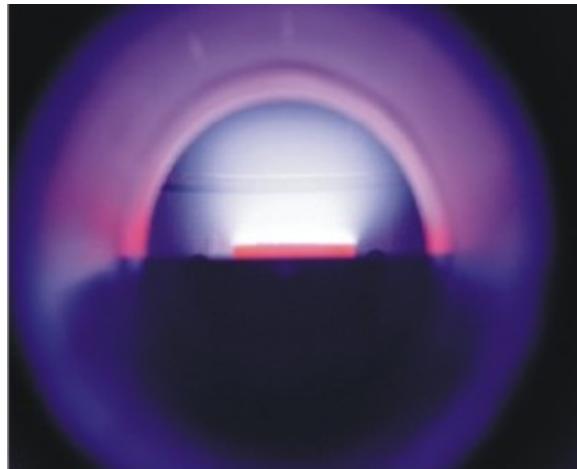


but: degree of termination (100 %) ?

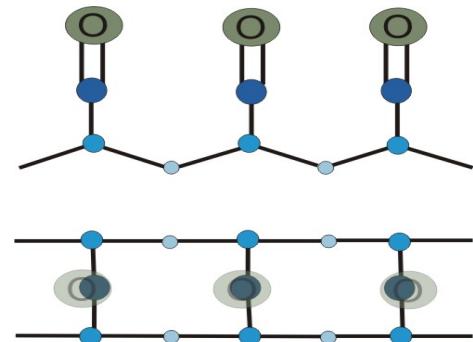
# H-termination evaluated chemically



# Plasma Oxidation:



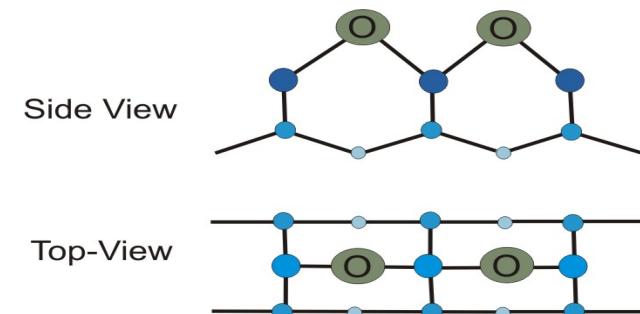
Ketone or Top Site Model



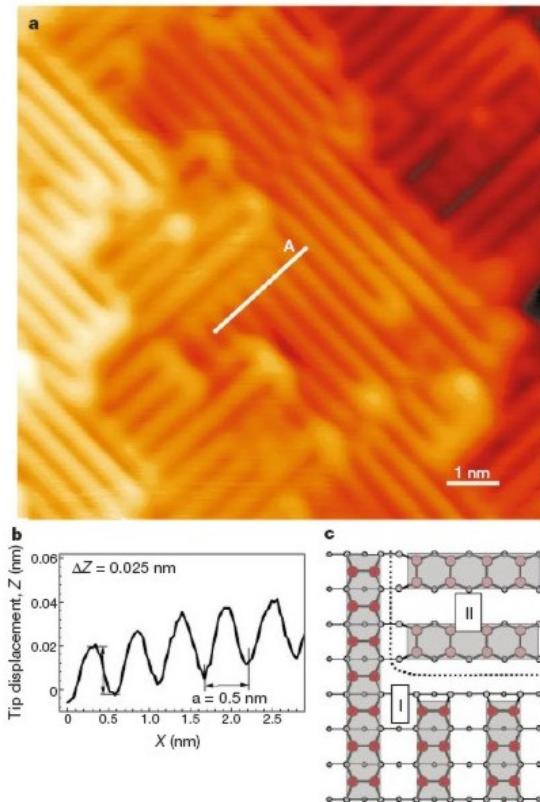
Ether or Bridge Site Model

O<sub>2</sub>-Plasma:

300 W,  
25 Torr,  
5 min.



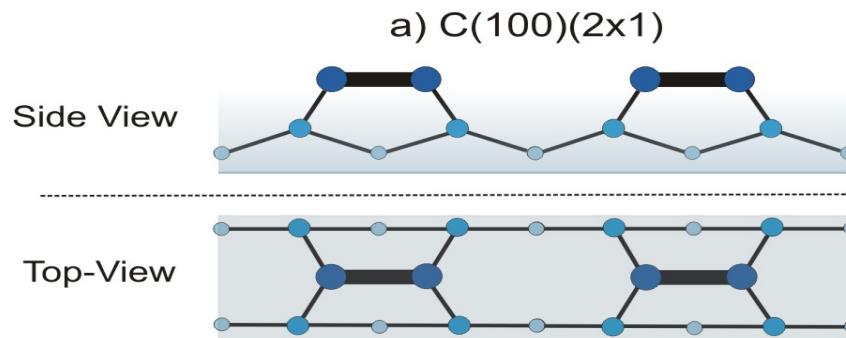
# Reconstruction (ann. 1100 °C)



**Figure 2** Clean diamond C(100)-(2×1) surface. **a**, The STM topography ( $10 \text{ nm} \times 10 \text{ nm}$ ) of the clean diamond surface recorded in the near-field emission regime ( $U_{\text{bias}} = 5.9 \text{ V}$ ,  $I = 1.1 \text{ nA}$ ). **b**, Height variation of the STM tip along the line A. **c**, Top-view of a monoatomic step on the two-domain (2×1) reconstructed surface. The coloured circles represent the carbon atoms belonging to the top four surface layers; the biggest circles represent the carbon–carbon dimers. The domains labelled as I and II represent the upper and lower terrace, respectively. The dimer rows are highlighted by shading, whereas the troughs between them are unfilled. The dashed line shows schematically the boundary between the domains.

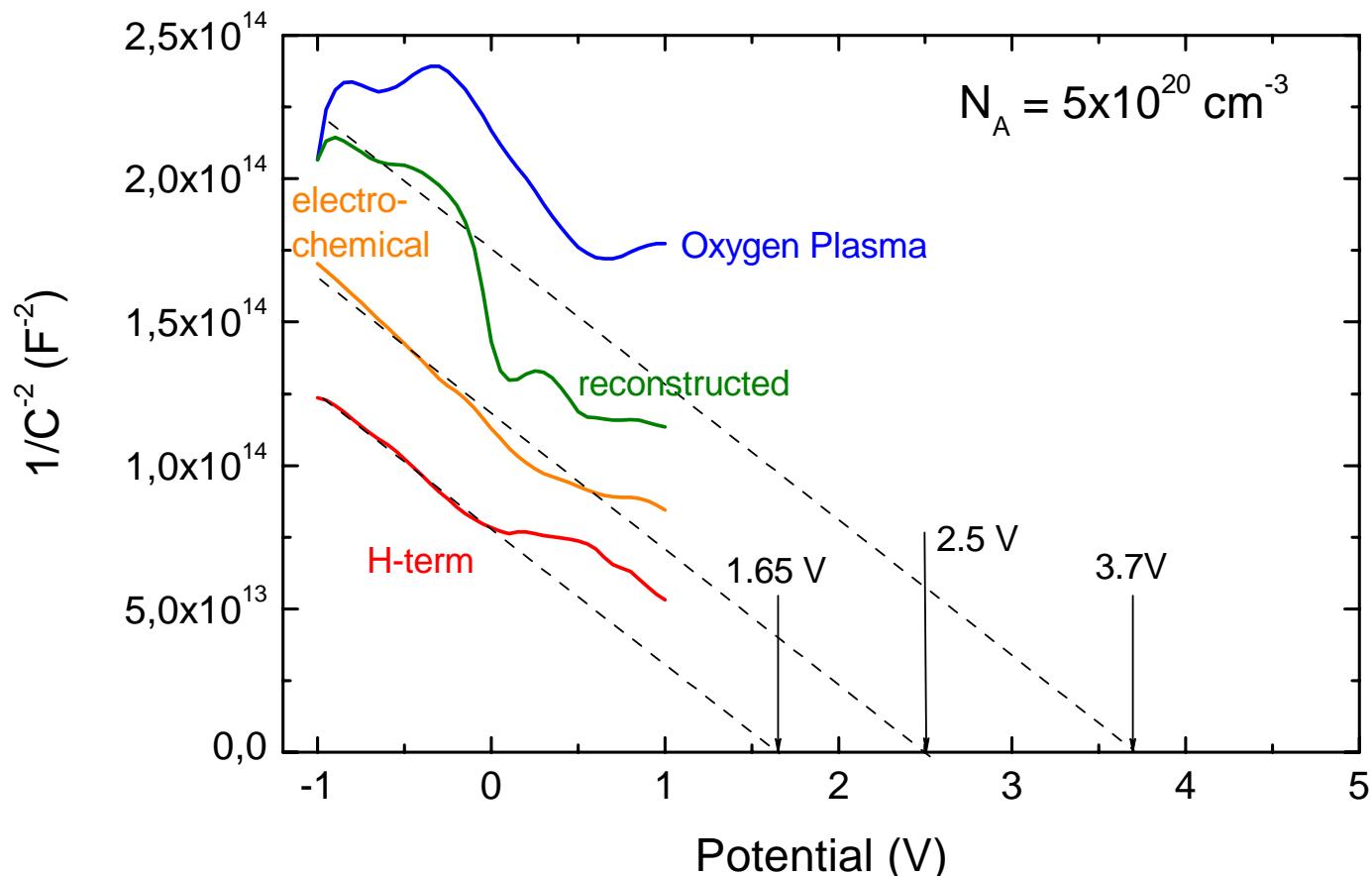
H-free surface,  
clean diamond

(2x1) reconstruction with  
π-bondet dimers



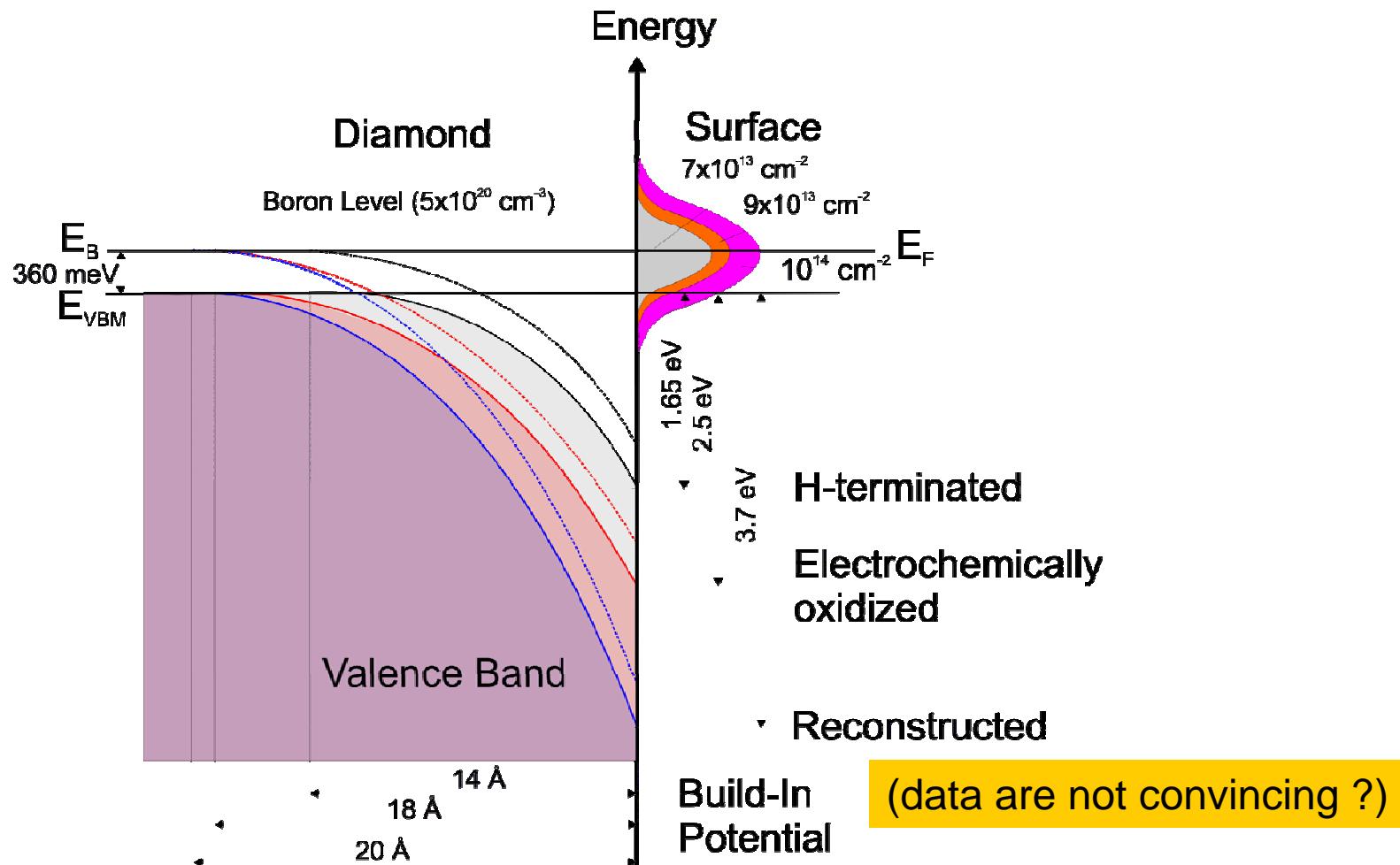
K. Bobrov, G. Dujardin, et al., Nature 413, 616 (2001)

# Capacitance/Voltage Evaluation



Frequency: 100 Hz  
Buffer : Phosphate (no FeCN)

# Diamond Interface after Surface Modifications



# Surface Fermi Level (UPS/XPS): $\Delta E = 0.5 - 1.2 \text{ eV}$

(111)-(2x1) reconstructed: 0.88 above  $E_{\text{VBM}}$

J.B. Cui et al. Phys. Rev. Lett. 81 (1998) p. 429.

(100)-(1x1):O 1.2 eV downward bending

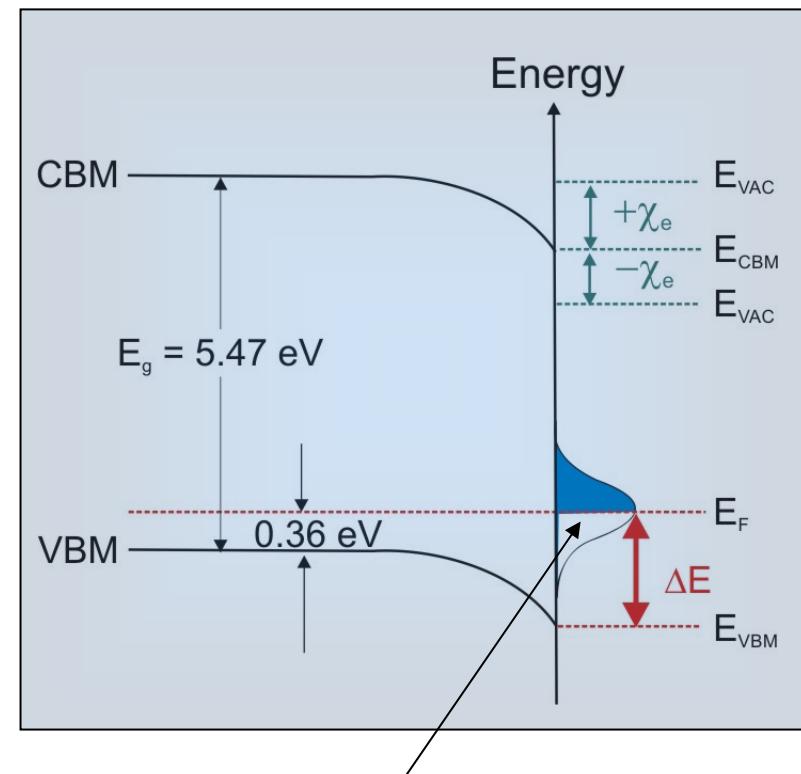
Murret et al, Semicond. Sci. Technol. 19 (2004) p. 1.

(100)-(2x1):H 0.3 eV downward Bending

Murret et al, Semicond. Sci. Technol. 19 (2004) p. 1.

(100)-(2x1):H 0.5 eV above  $E_{\text{VBM}}$

Kono et al. Surf. Science 529 (2003) p.180.

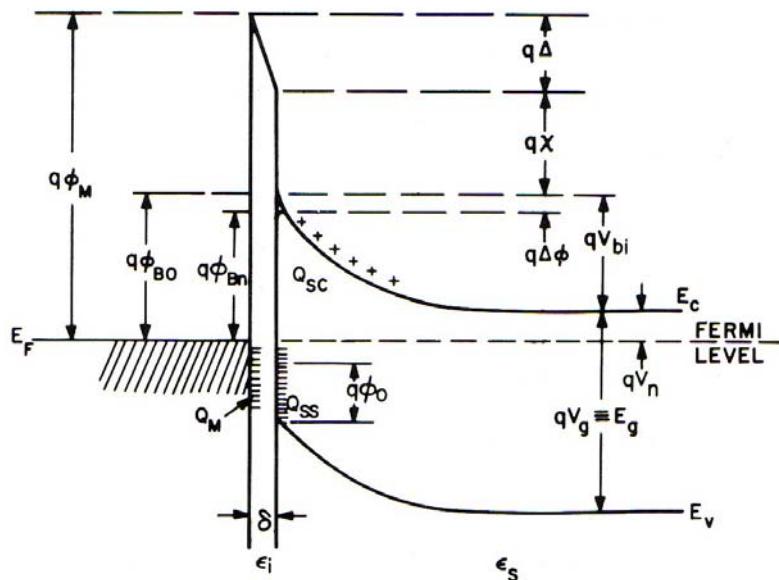


Surface defects

# Real Schottky Contact

Surface Fermi-level pinning by surface defects

Schottky barrier is not dependent on metal.



$\phi_M$  = WORK FUNCTION OF METAL

$\phi_{Bn}$  = BARRIER HEIGHT OF METAL-SEMICONDUCTOR BARRIER

$\phi_{BO}$  = ASYMPTOTIC VALUE OF  $\phi_{Bn}$  AT ZERO ELECTRIC FIELD

$\phi_0$  = ENERGY LEVEL AT SURFACE

$\Delta\phi$  = IMAGE FORCE BARRIER LOWERING

$\Delta$  = POTENTIAL ACROSS INTERFACIAL LAYER

$X$  = ELECTRON AFFINITY OF SEMICONDUCTOR

$V_{bi}$  = BUILT-IN POTENTIAL

$\epsilon_s$  = PERMITTIVITY OF SEMICONDUCTOR

$\epsilon_i$  = PERMITTIVITY OF INTERFACIAL LAYER

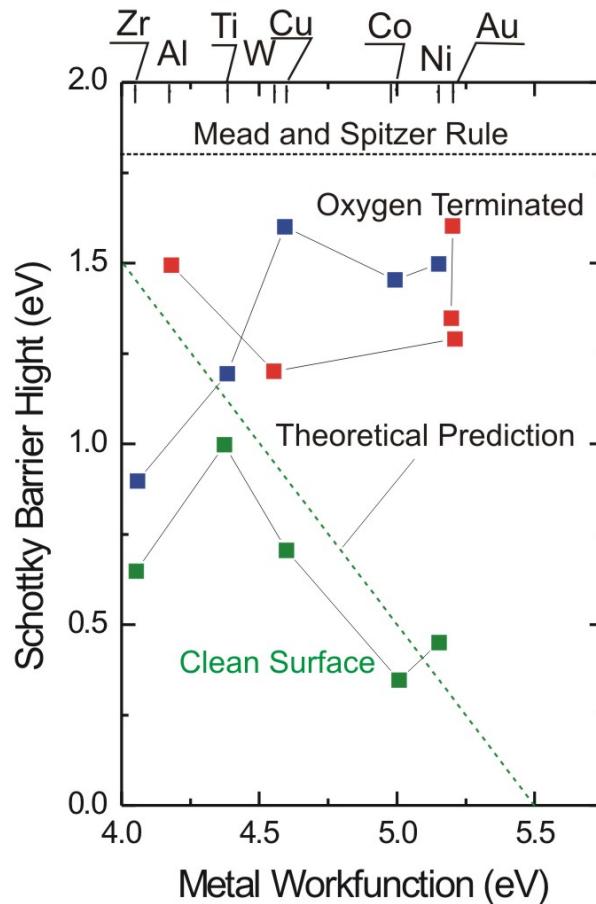
$\delta$  = THICKNESS OF INTERFACIAL LAYER

$Q_{SC}$  = SPACE-CHARGE DENSITY IN SEMICONDUCTOR

$Q_{SS}$  = SURFACE-STATE DENSITY ON SEMICONDUCTOR

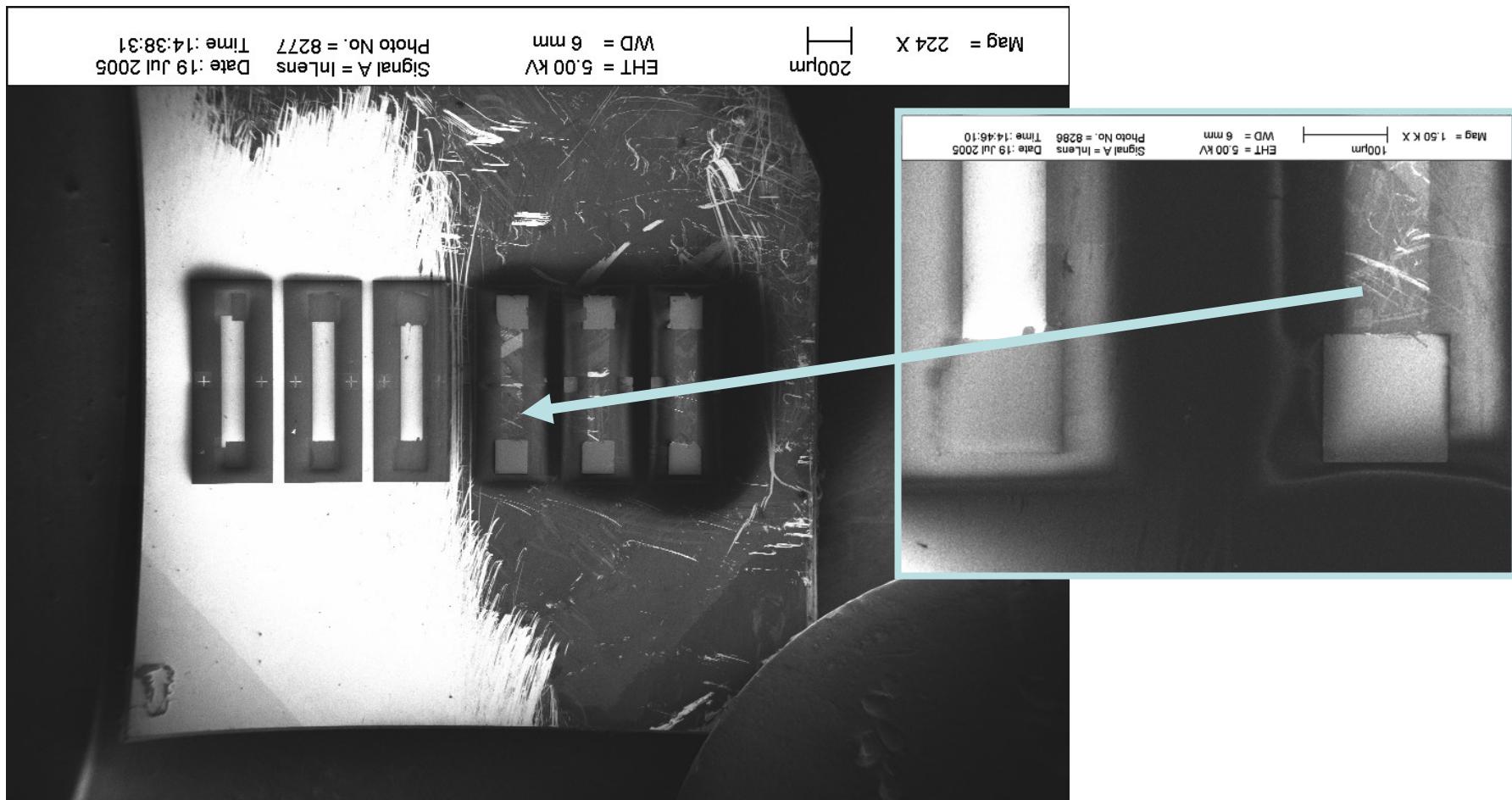
$Q_M$  = SURFACE-CHARGE DENSITY ON METAL

# Schottky Properties: Clean and Oxygen Terminated Diamond



from:  
M. Werner, Semicond. Sci. Technol. 18 (2003) S41

# Cleanliness: SEM image of Bar-Contact structures



partially wiped  
in ethanol

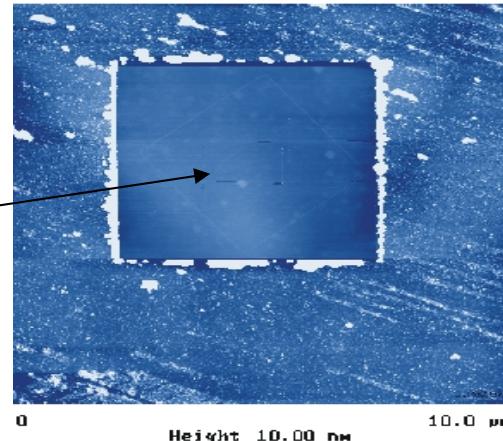
# Cleaning by contact mode AFM

Tapping Mode AFM  
Surface Morphology

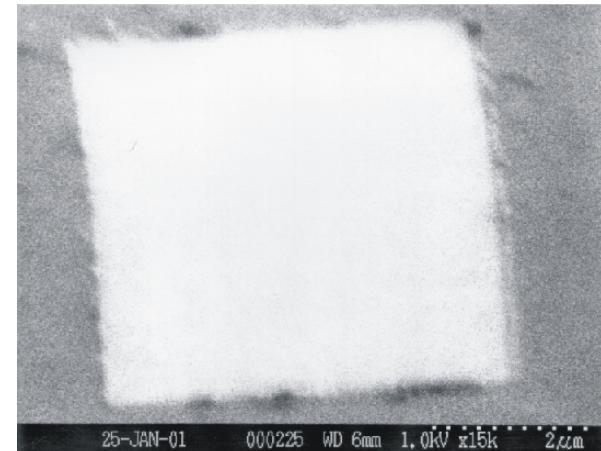
Contact Mode AFM  
Cleanning

Surface is covered with a thin  
(1 -10) nm thick adhesive layer.

AFM Image



SEM Image



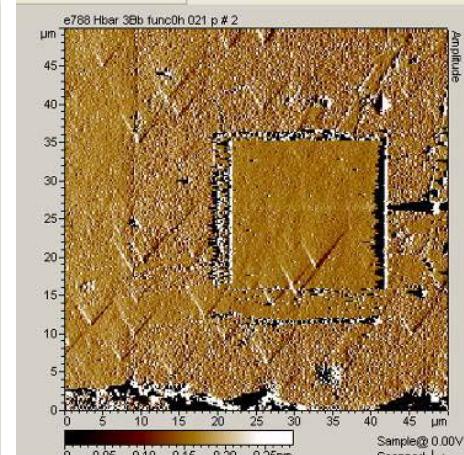
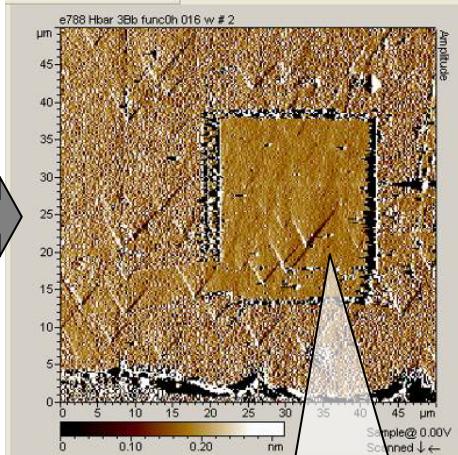
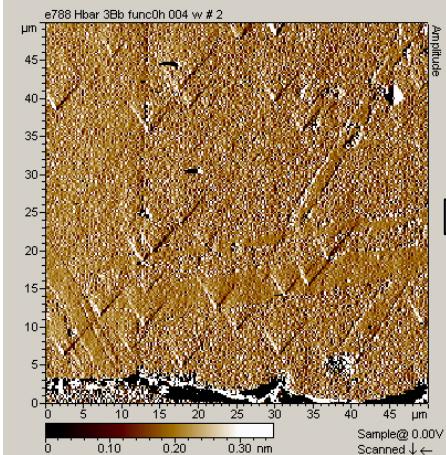
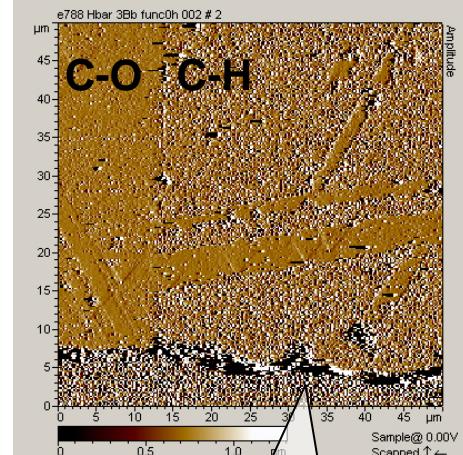
# AFM – carbon deposit in air and liquids

in air

in water

in water

in isopropanol



Surface layer is also attached to diamond in:

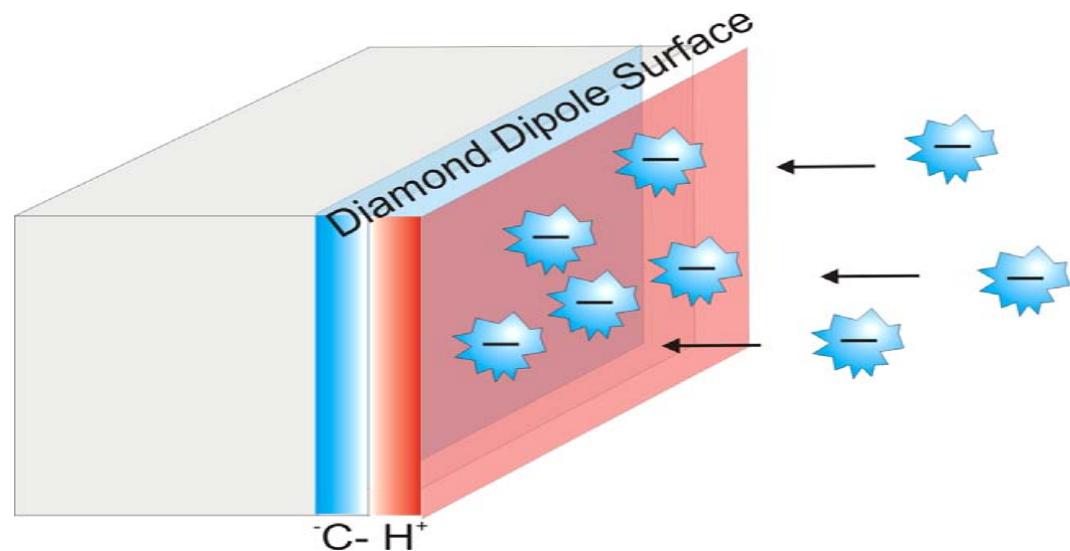
- Water
- Isopropanol
- not removable by ultrasonic cleaning

# Electrostatic Attraction of Ionized Nano-Particles

Accumulation of charged particles  
on the surface, in the  
water adsorbate?

Due to electrostatic  
force,  
only small particles  
will be attached

- CH Dipole
- CO Dipole



# Summary Surface:

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## H-terminated Surface

- Technique: H-plasma, H-hot filament
- Unpinned Surface Fermi Level
- Best interface for Schottky Metal Contacts
- In air not stable

## Diamond surface oxidation:

- Techniques: wet-chemically (OH), electrochemically (OH), plasma (O)
- Not fully characterized with respect to:
  - Degree of termination,
  - Surface defect density and distribution

## Clean diamond surface

- Realized only in UHV at  $T > 800\text{ C}$
- Missing Data about:
  - Stability in air,
  - Defect density

# Roadmap Surface Optimization

Polishing causes surface damage (not yet characterized!)

Optimization:

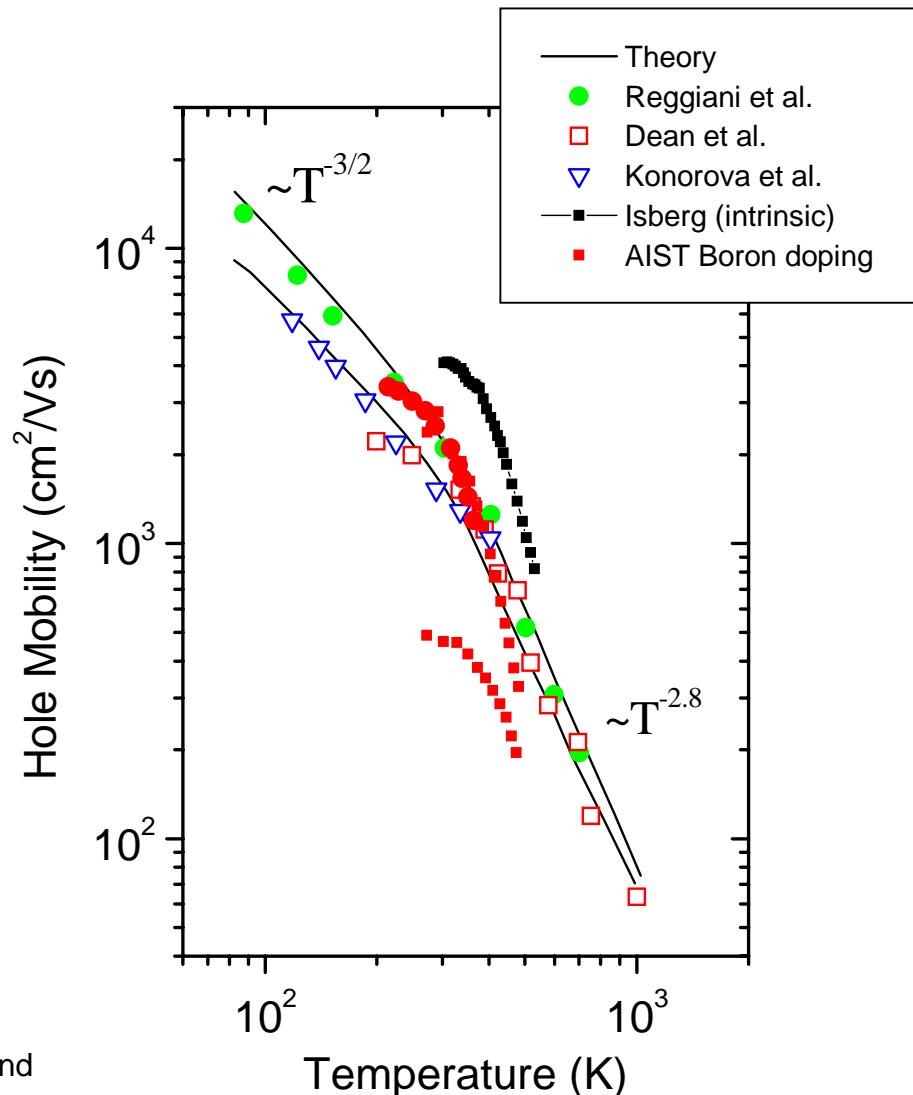
- 1) Polishing
- 2) Plasma Etching
- 3) Homo-Epitaxial Overgrowth
- 4) H-Termination

# Bulk Properties

# Hole Mobilities

$T^{-3/2}$ : acoustic phonon scattering

$T^{-2.8}$ : optical phonon scattering



Isberg et al. : time-of-flight on undoped CVD diamond  
(Science 297, p. 1670 (2002): **3800 cm<sup>2</sup>/Vs**)

Reggiani: Time-of-flight on undoped natural diamond

Dean and Konorova: Hall Mobilities

Dr. Okushi et al. AIST: Hall effect on boron doped CVD diamond

# Electron Mobilities in natural and P-doped Diamond:

$T^{-3/2}$ : acoustic phonon scattering

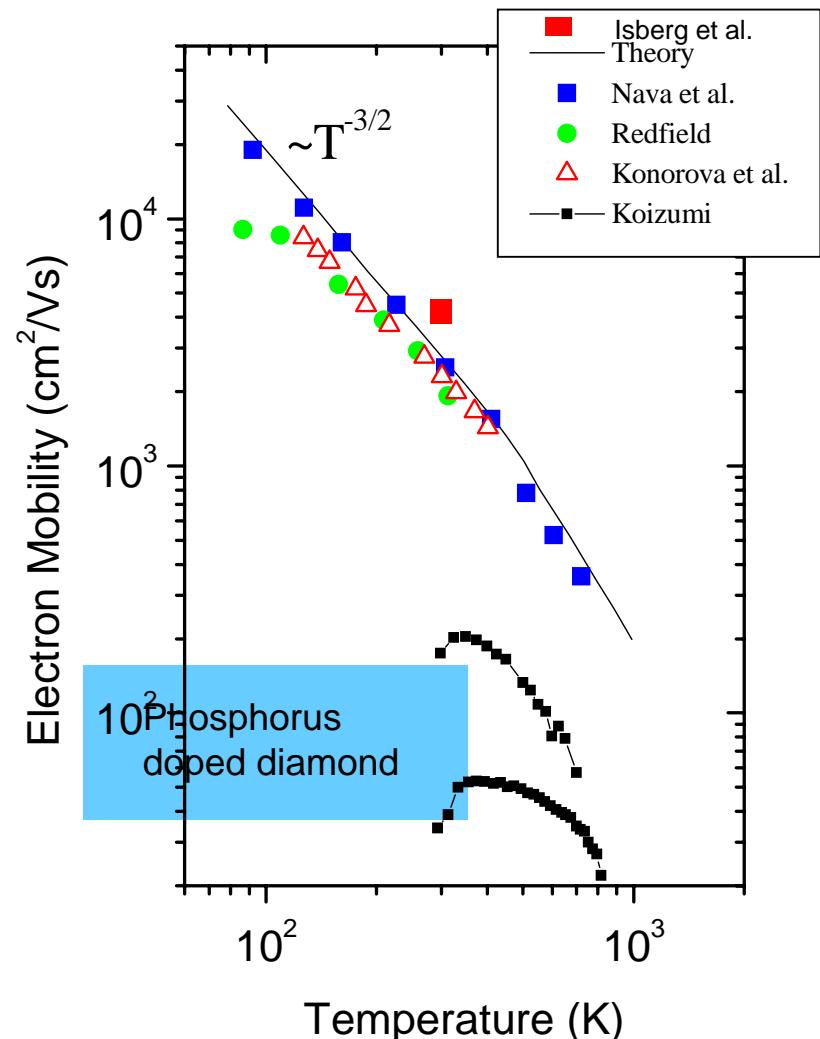
Isberg et al.,: Time-of-flight in undoped CVD diamond  
( Science 297, p. 1670 (2002): **4500 cm<sup>2</sup>/Vs**)

Nava: Time-of-flight on natural undoped diamond

Konorova: Hall effect

Redfield: Hall effect

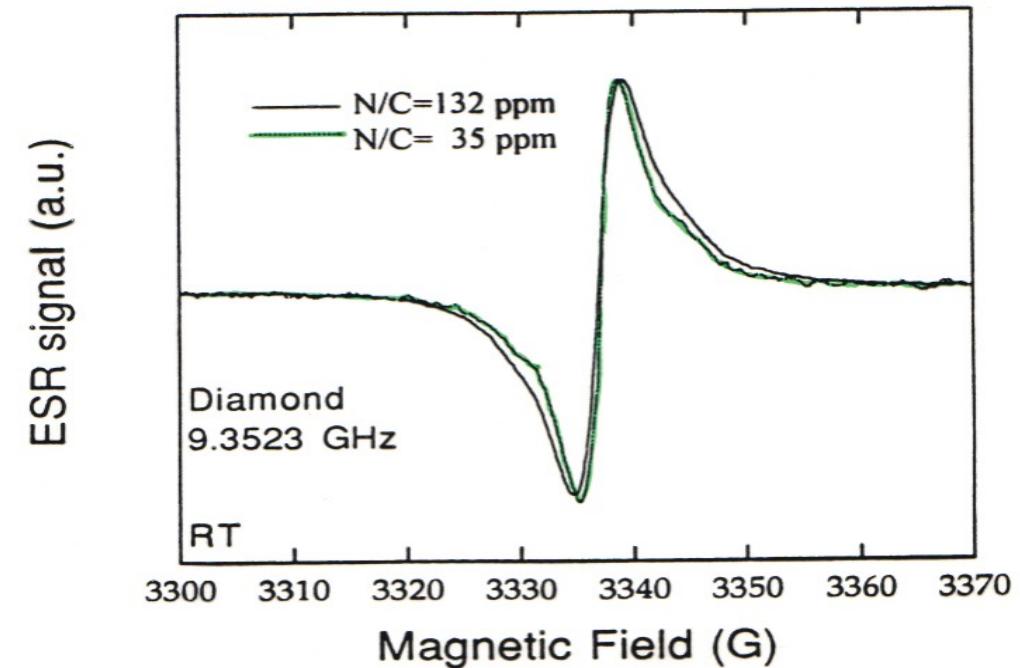
Koizumi et al.: Hall effect on Phosphorus doped diamond



# Defects: H1 Center ( $g = 2.0028$ )

In homoepitaxially grown single crystalline diamond:

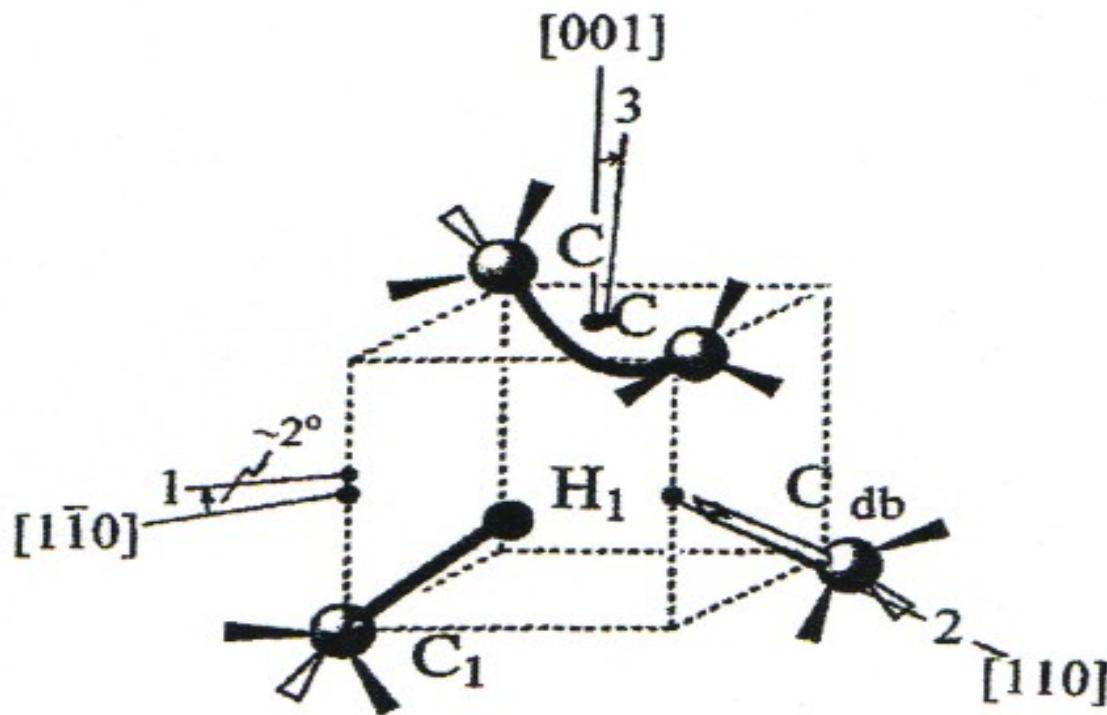
Typical Density:  $5 \times 10^{18} \text{ cm}^{-3}$



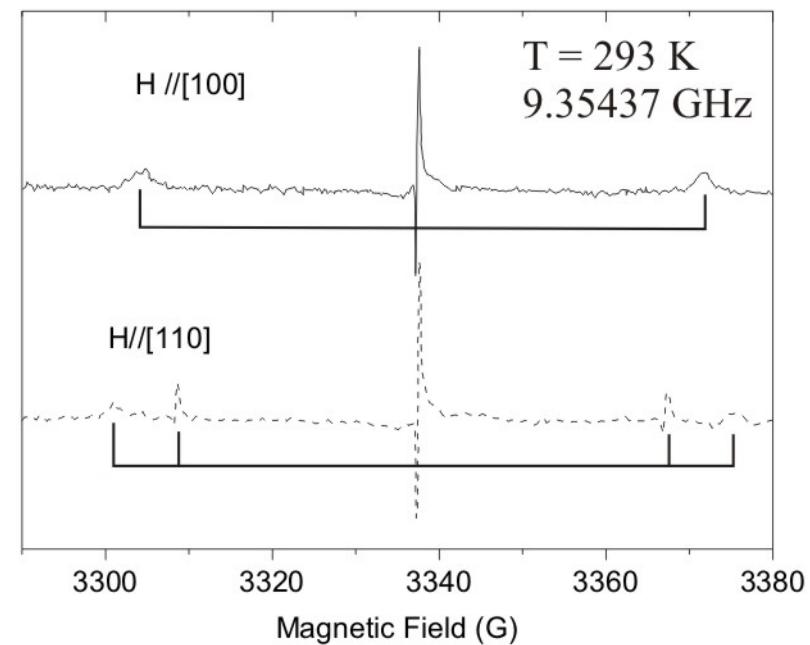
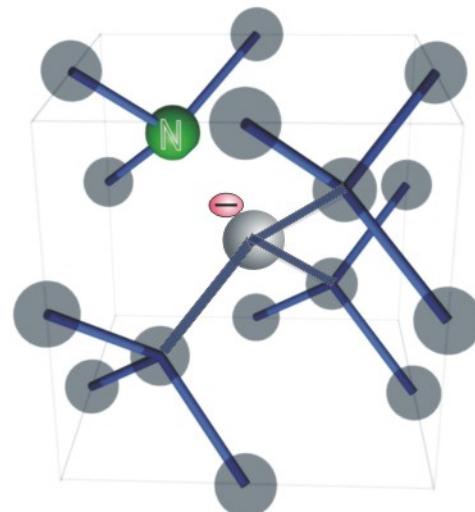
Effect on transport and recombination not clear!

Zhou et al. PRB 54 (1996) p. 7881

N. Mizuuchi et al. DRM .



# Defects: P1 Center (N-Dopant, $g = 2.0024$ )

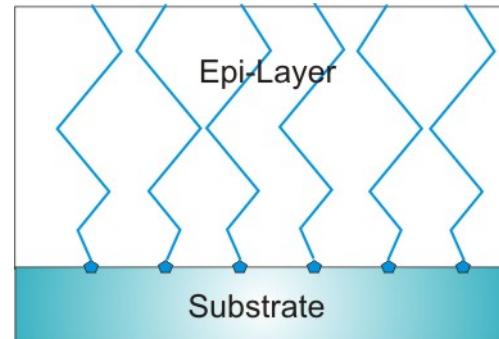


EPR of substitutional nitrogen ( $g=2.0024$ ).

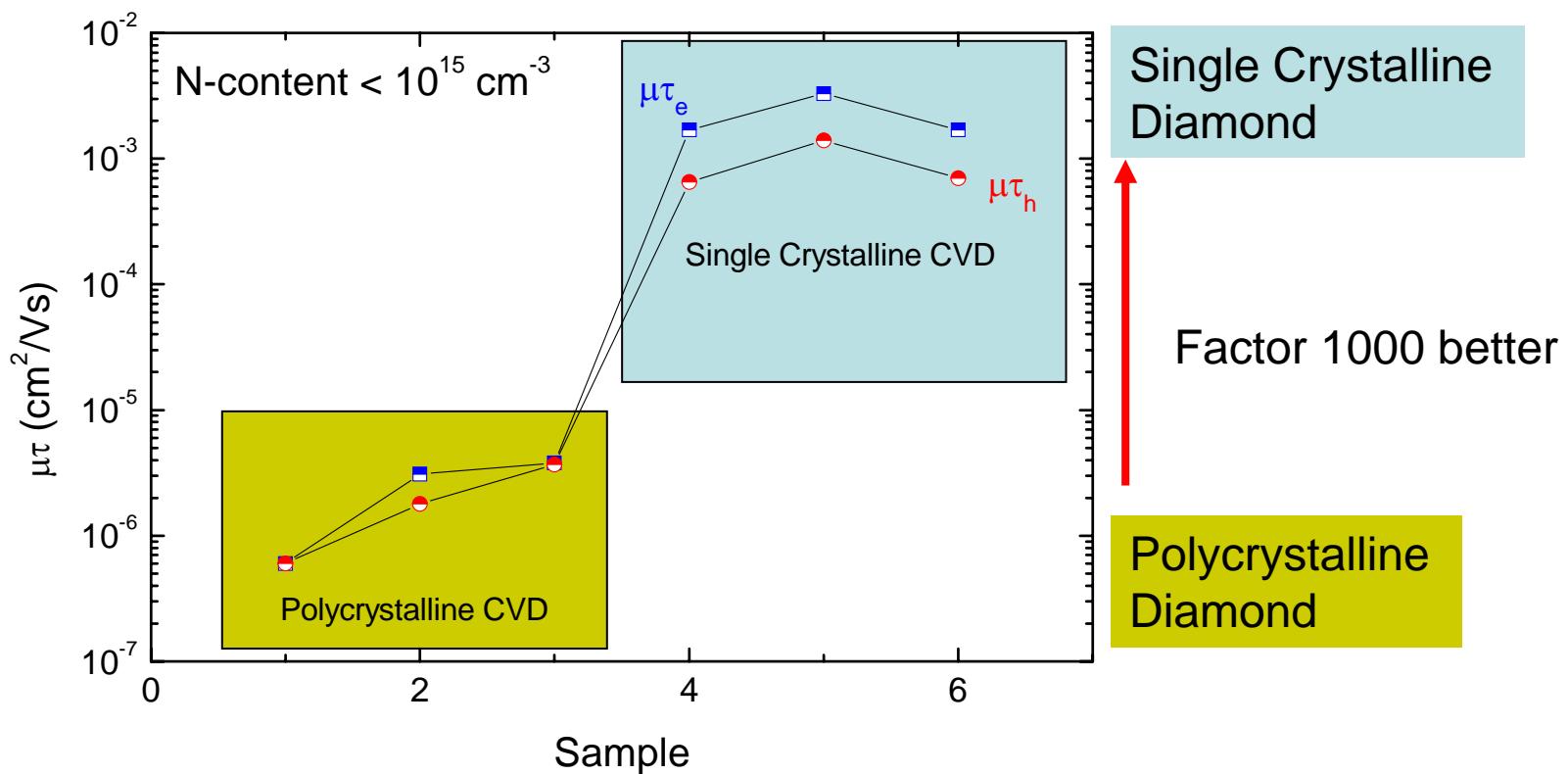
Satellite position depends on magnetic field with respect to (111)-orientation

# Dislocations

- lattice distortion
  - grow from substrate into epi-layer
  - killer of high voltage devices
- 
- Minimization:
    - soft etching of substrate before growth , to remove distortions

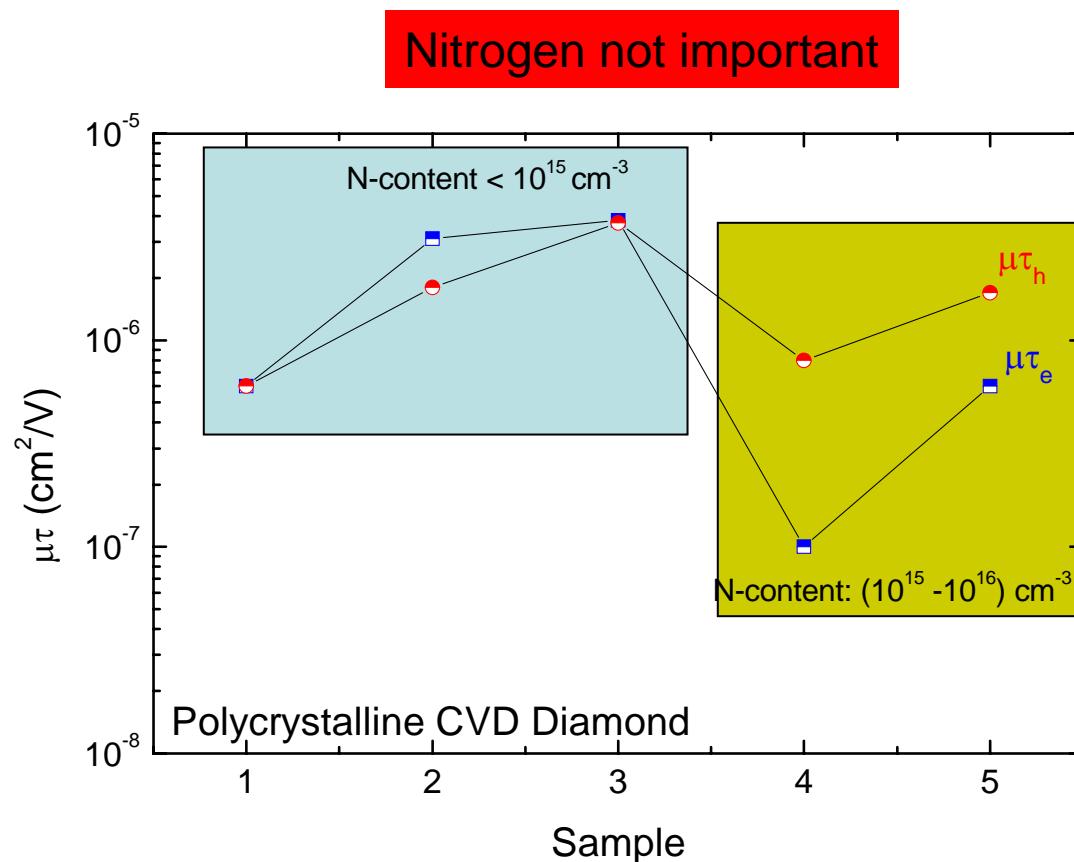


# $\mu\tau$ –Product as Function of Morphology



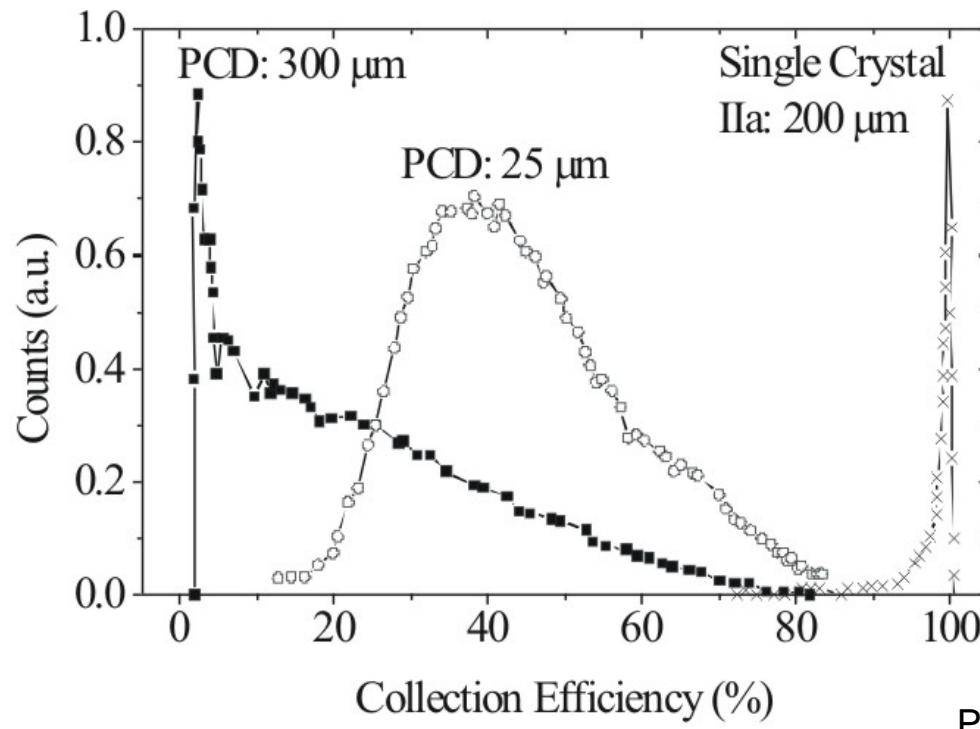
J. Isberg et al., Diam. Rel. Mat. 13 (2004) 872.

# $\mu\tau$ -Product as Function of Nitrogen Content



J. Isberg et al., Diam. Rel. Mat. 13 (2004) 872.

# Comparision of structural properties:



P. Bergonzo et al. 2001

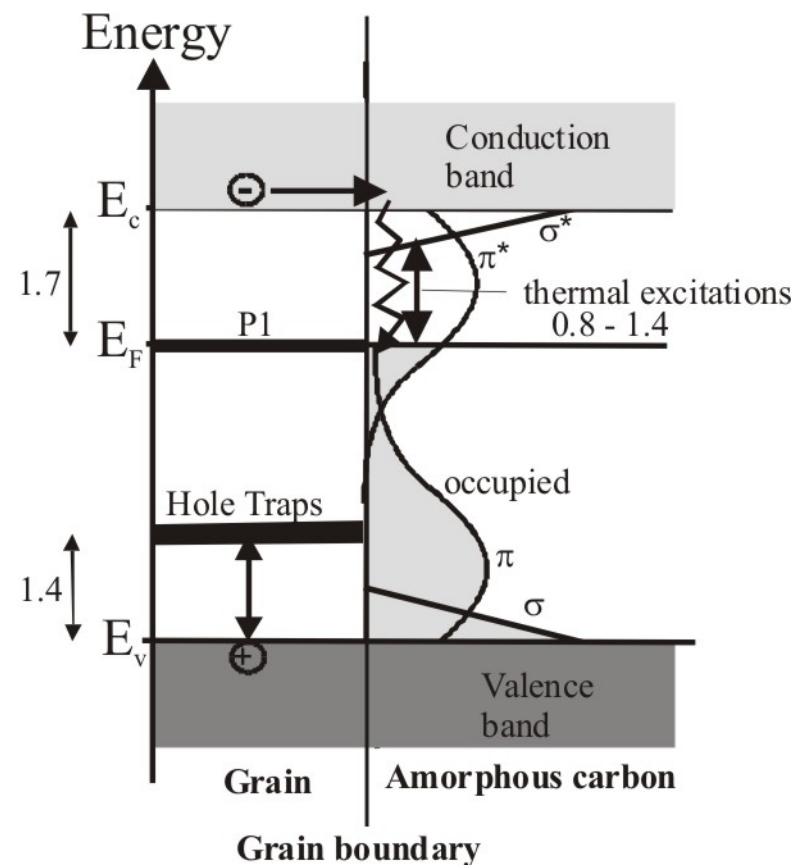
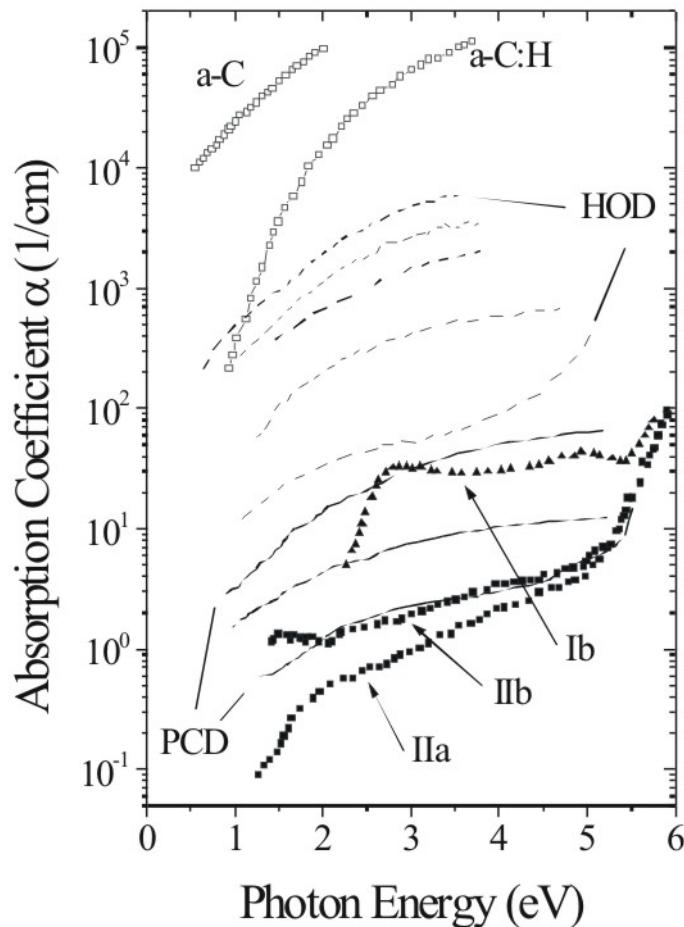
Grain boundary effect: best SCD

# Polycrystalline CVD Diamond



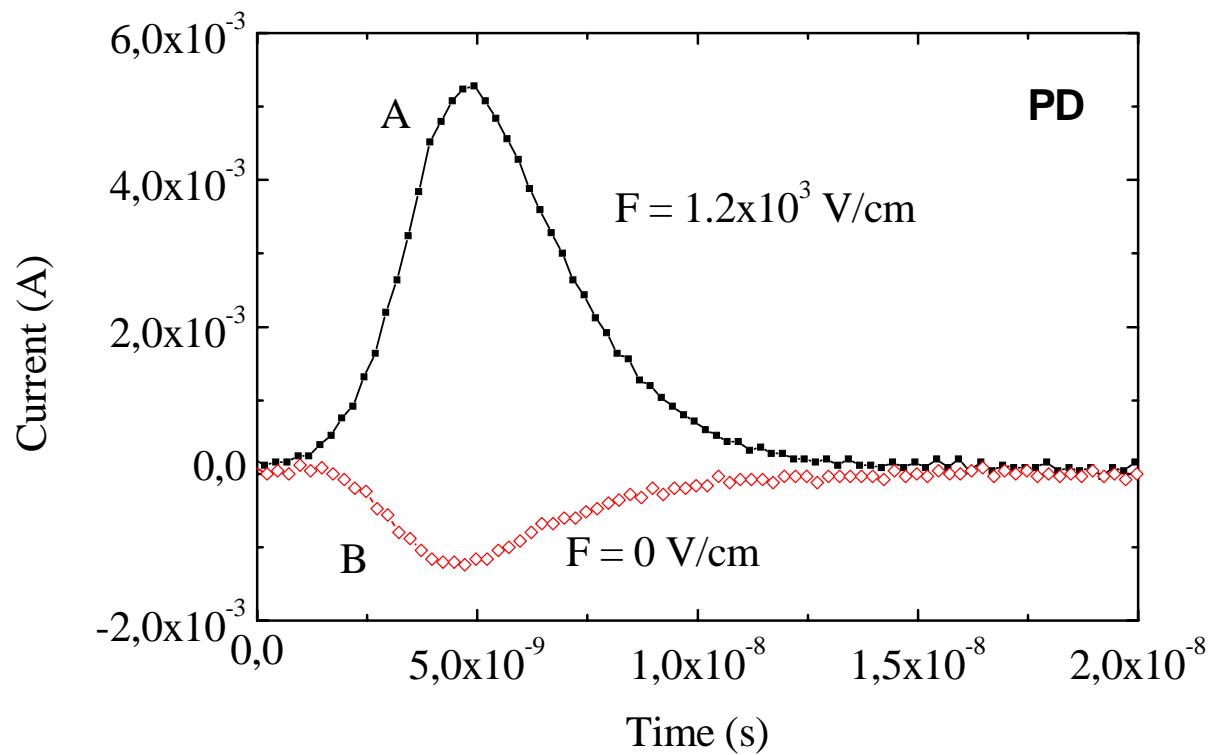
Acc.V Spot Magn Det WD 200 µm  
10.0 kV 2.0 80x SE 10.1 Institute for Materials Research

# Polycrystalline CVD Diamond



# Deep trapping of carriers (electrons and holes)

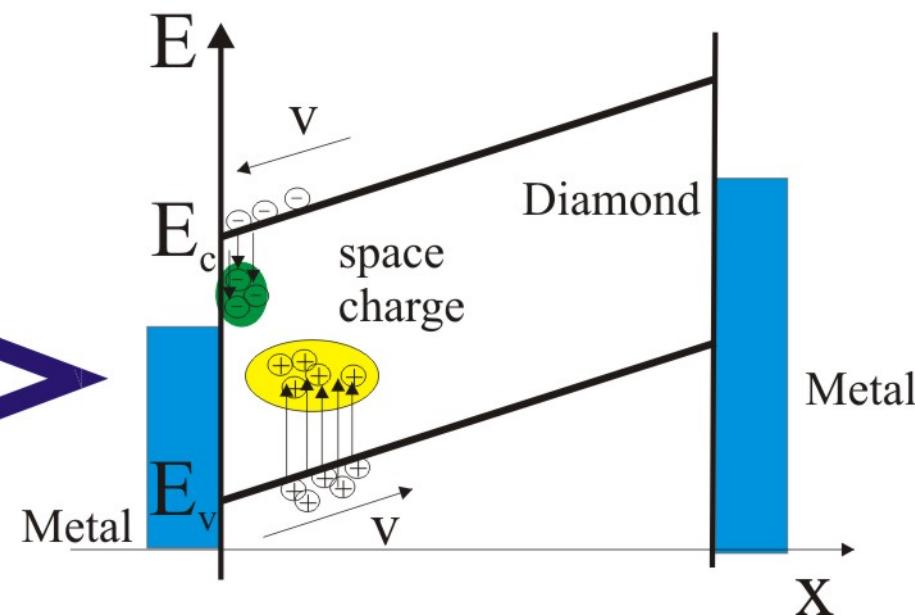
Memory Effect



Model:

## pos. applied el. field

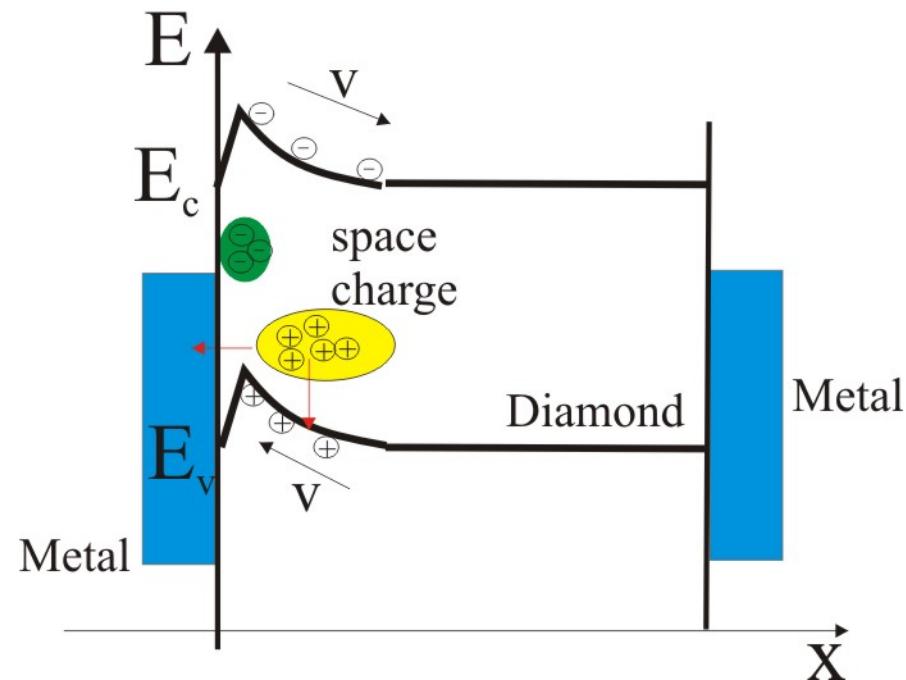
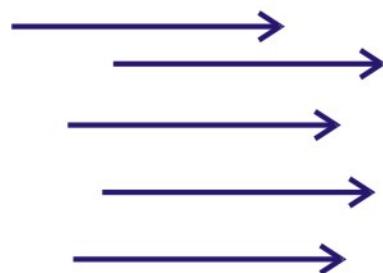
Laser  
Excitation



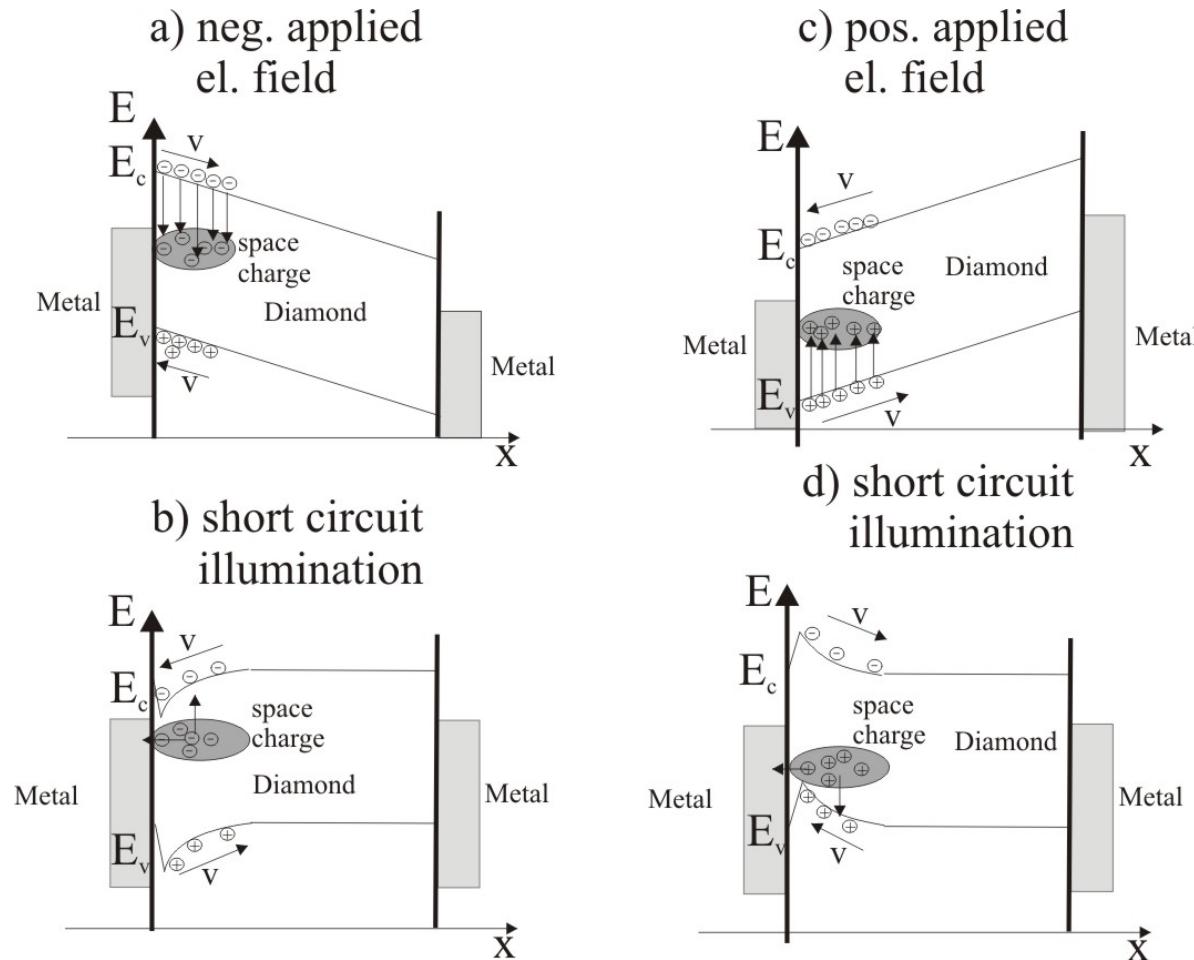
After laser exposure the internal field is reversed:

## short circuit illumination

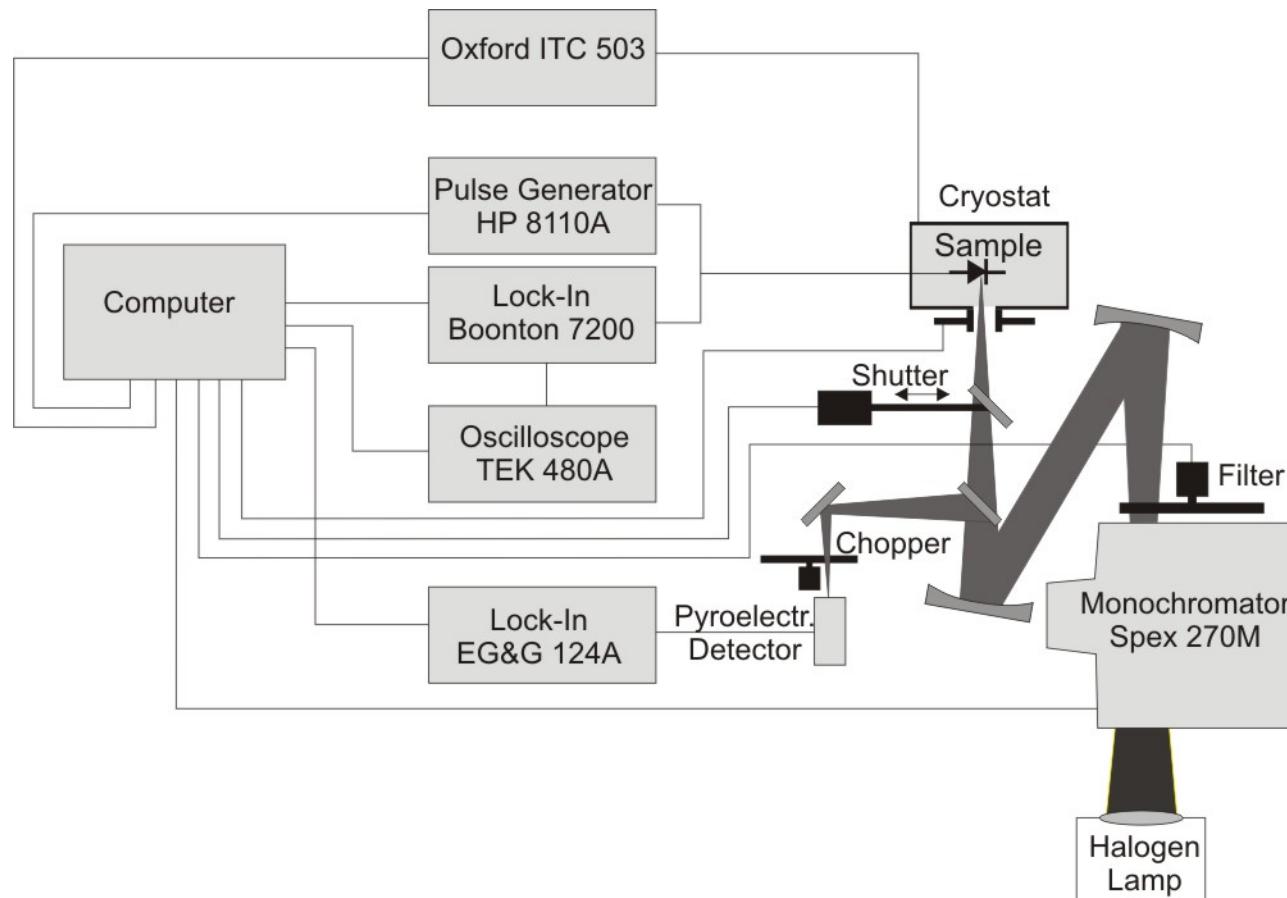
Xenon-arc  
lamp



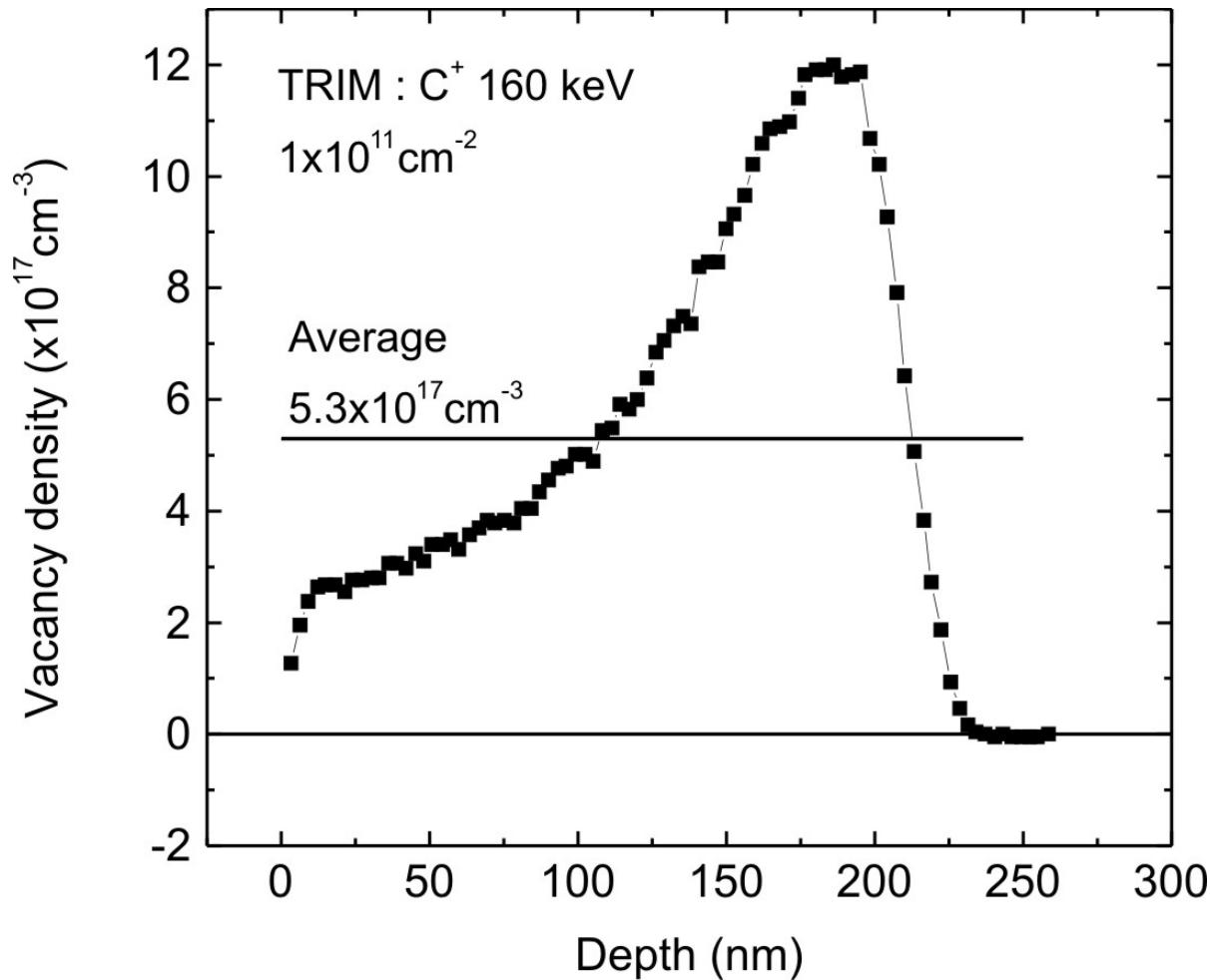
# Traps or defect, can be occupied by electrons and holes!



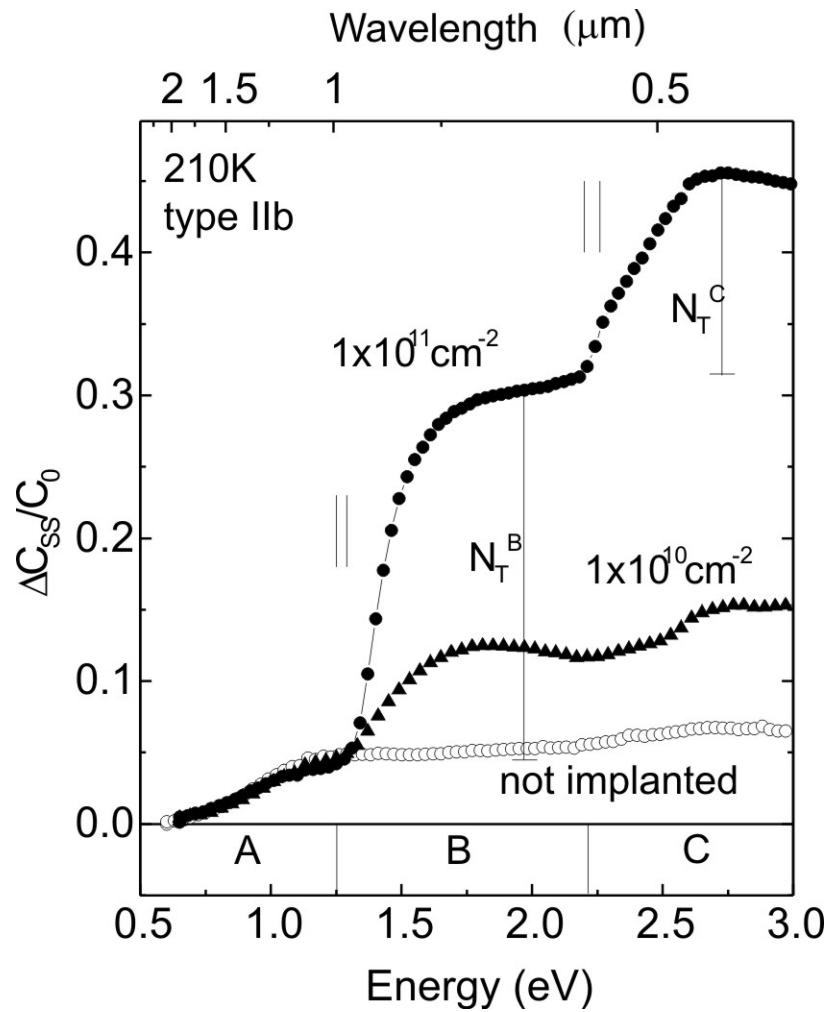
# Deep Defect Characterization (ODLTS)



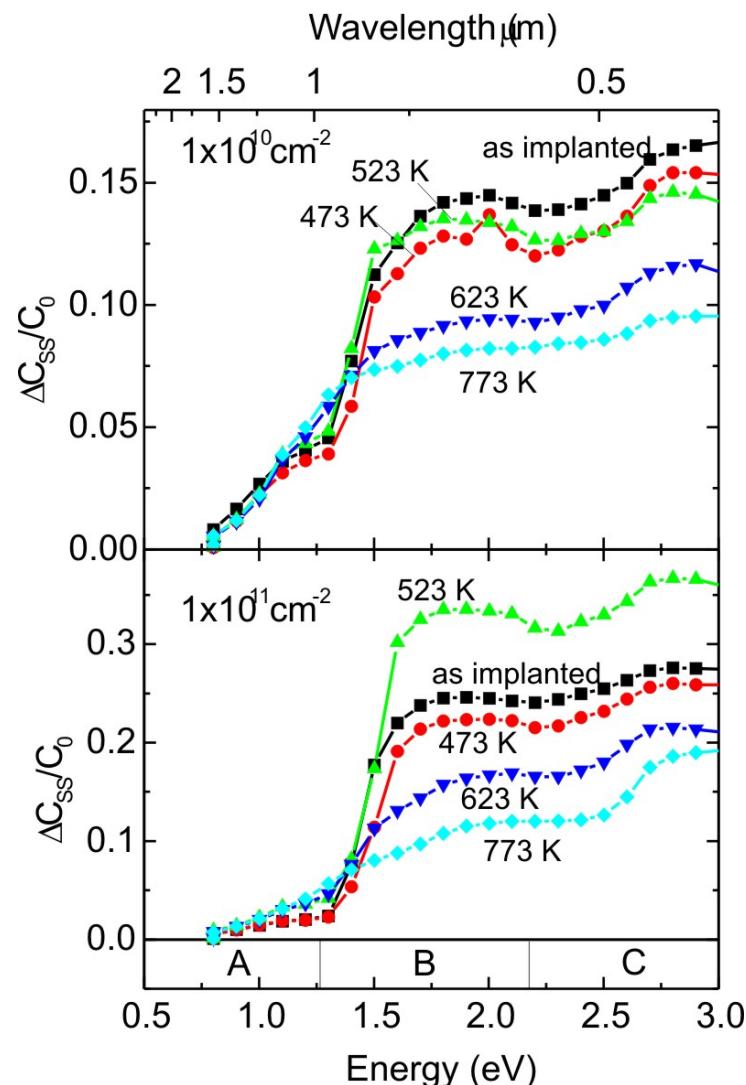
# Carbon Implantation



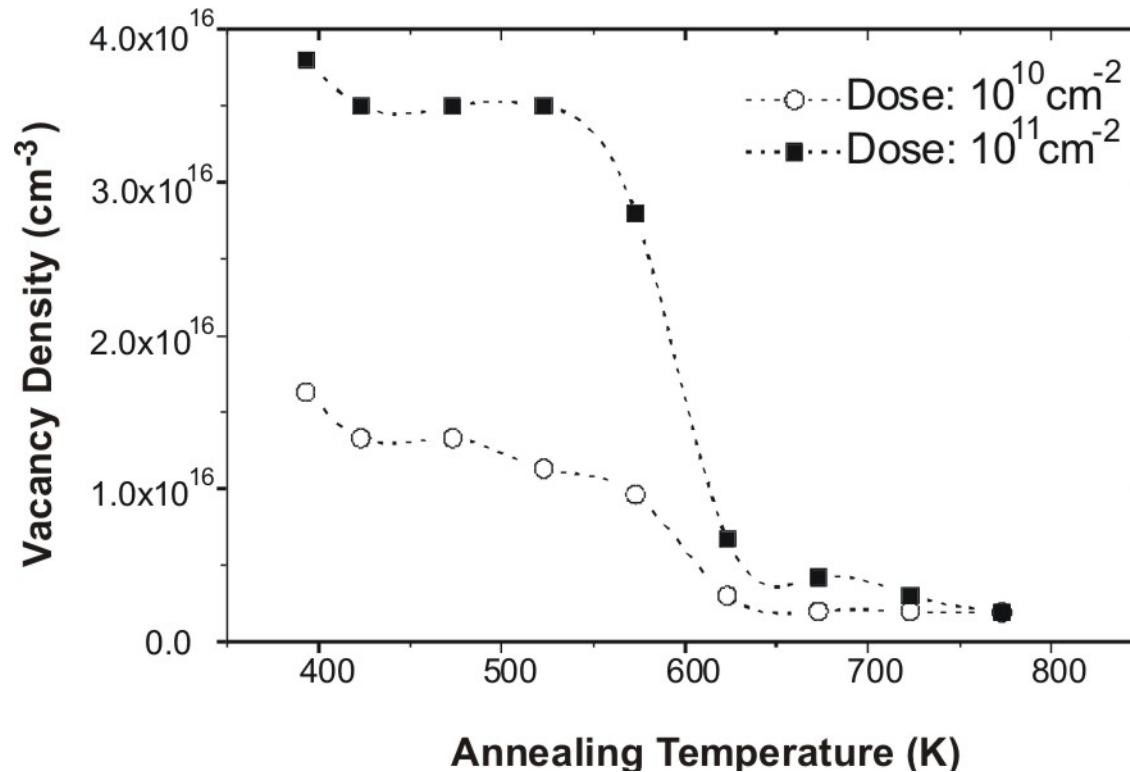
# Energy resolved defect variation:



# Defect Annealing

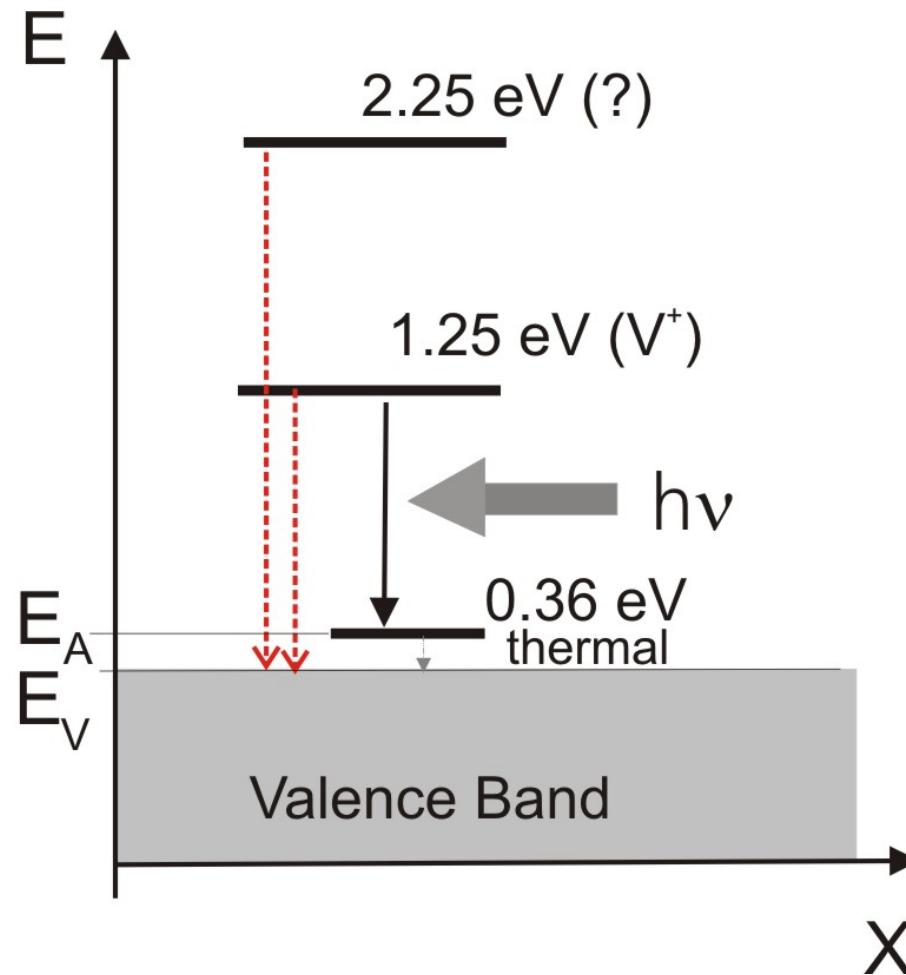


# Annealing Dynamics: Carbon Vacancy!



T > 600 K

# Carbon Implantation induced Defects:



# Summary Bulk

- Minor problems from:
  - Defects (H1)
  - Nitrogen (low density)
- Major problems from:
  - Grain boundaries (dislocations?)

Defect characterization not established:

V

NV

NiN

.....