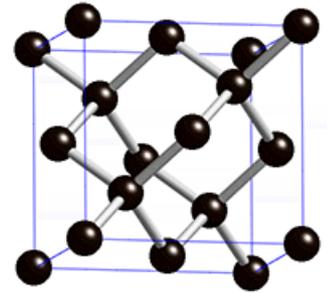


X-ray characterisation of diamonds at the ESRF

Jürgen Härtwig

haertwig@esrf.fr

Co-workers



R. Burns, J.O. Hansen

Element Six Technologies (Johannesburg) - South Africa

S.H. Connell, M. Rebak, D. Dube, R. Setshedi, L. Mkhonza

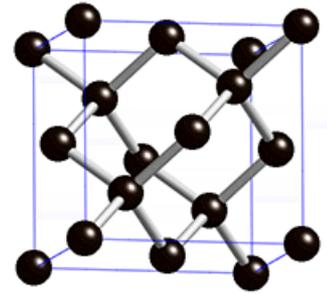
University of the Witwatersrand - South Africa

P. Van Vaerenbergh, I. Gierz, F. Masiello, J. Hozowska,

A. Rommeveaux, A. Chumakov, G. Carbone, J. Morse

European Synchrotron Radiation Facility, Grenoble - France

Outline



1. Introduction

2. Looking more at the "bulk"

Bragg diffraction imaging ("X-ray topography")

High-resolution diffractometry

3. Looking closer to the "surface"

Reflectometry

Grazing incidence diffraction

X-ray characterisation of diamonds

1. Introduction

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Diamonds at modern X-ray sources

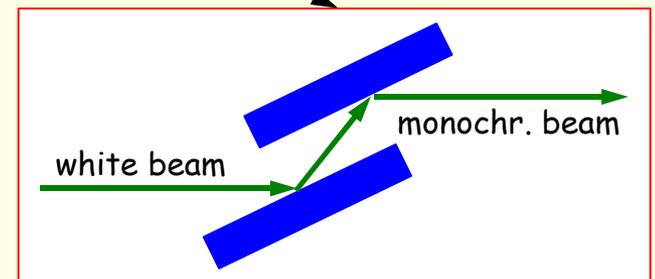
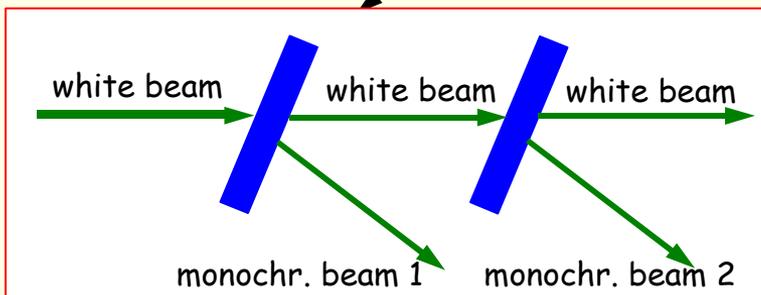
- Diamond anvils for high pressure generation
- Detectors, beam position monitors (John Morse)
- X-ray optical elements

Optical elements at beamlines

- apertures, slits, pinholes
- filters, windows (amorphous, poly-crystals, crystals)
- monochromators (crystals, multi-layers)
- beam splitters (crystals), (are special monochromators)
- phase plates (crystals)
- mirrors
- lenses, zone plates (poly-crystals, crystals, ML)
- combined elements (ML gratings, Bragg-Fresnel-lenses)

Crystal based X-ray optical elements

- filters, windows
- phase plates
- beam splitters
- monochromators
- etc.

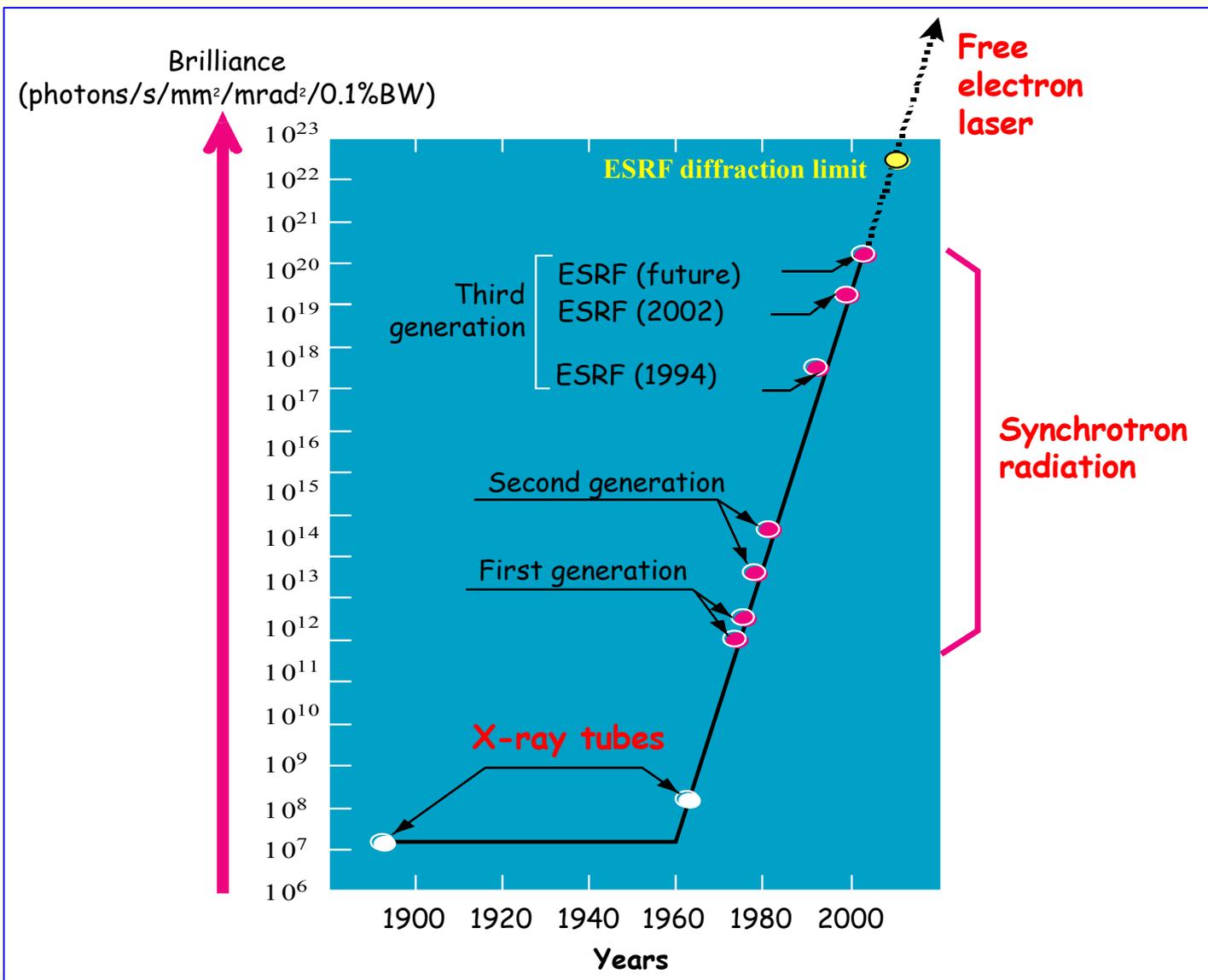


Why diamond?

Very interesting material
properties

Mechanical, electronical, optical, thermal
properties

History of Brilliance



With the modern sources:
Specific problems

heat load
coherence preservation

Consequences for used materials
for Bragg reflecting elements like monochromators

mainly silicon
diamond would be fine
(in few cases already used)

Limiting factors:

quality (bulk and surface)
dimension

The “perfection” of diamond

Firstly - nothing is perfect in nature!!!

Secondly - which are the defects **we** are sensitive to???

Surely **not** isolated defects on the atomic scale!

However, on them (and their resulting spectroscopic properties) the traditional classification is based (type Ia, Ib, IIa, IIB, III)

Classification and perfection of diamond

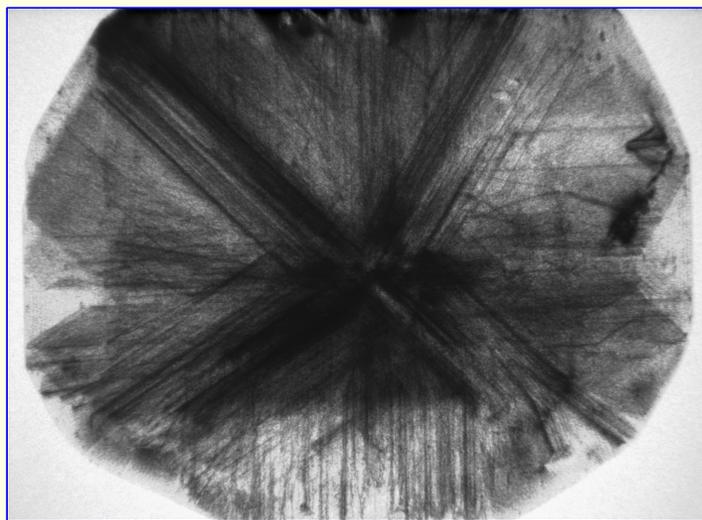
Traditional classification of diamond - spectroscopic properties

Based on defect structure, but on nanometric or atomic level

but

X-ray optics - defect structure up to a microscopic to millimetric level

No direct relation between both classifications!



Gem quality IIa
synthetic diamond

0.5 mm

We need:

High bulk and surface quality!

Study of the defect structure of crystals

Why?

Interrelation between:

its origin ↔ defect structure ↔ its results

growth process,
growth conditions,
crystal processing, ...

Influence on physical
properties

Techniques we used at ESRF

X-ray diffraction imaging (X-ray topography)

From white beam topography to monochromatic-plane-wave topography; preferred techniques

Diffractometry and rocking curve widths

For comparison (and because people like it),
but integrating method (even if spatially resolved),
low single defect sensitivity

Surface sensitive techniques

Coherence measurements (Talbot effect)

“Macroscopic” defects in diamond which we do **not** like:

Inclusions

Dislocation

Stacking faults

Impurities, but in the form of:

- concentration differences between sectors -
growth sector boundaries
- concentration changes within a sector -
growth striations

**Best candidate
for material with high perfection
for X-ray optics applications**

**Highly pure type IIa HPHT material
(very low nitrogen content)**

X-ray characterisation of diamonds

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Grazing incidence diffraction

What is X-ray Bragg diffraction imaging?

X-ray Bragg diffraction imaging
historically called **X-ray topography**
is an imaging technique

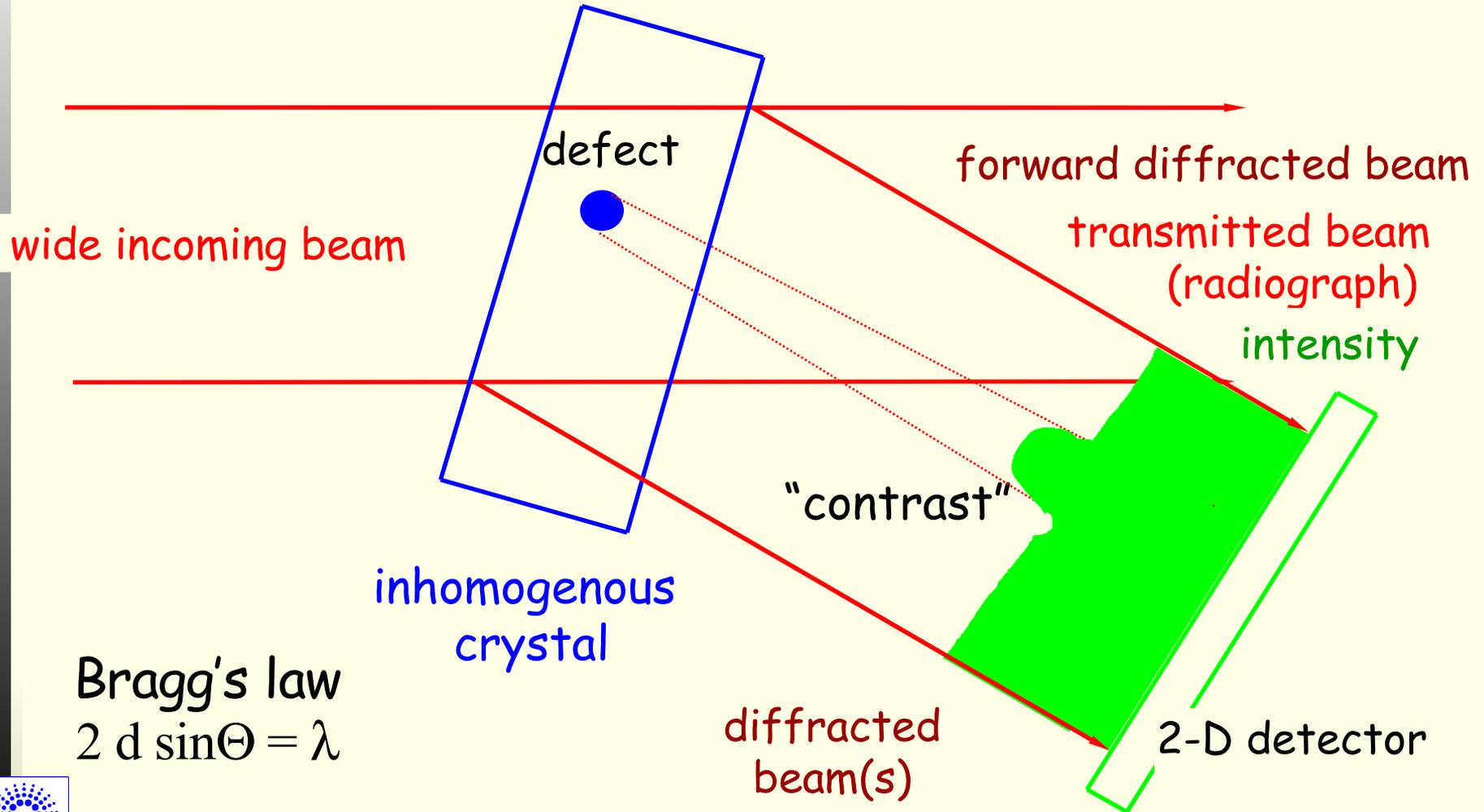
- based on **Bragg diffraction**
- which applies to **single crystal** samples
- which shows the *inhomogeneities in the crystal*
(on a micrometric scale)

Which inhomogeneities can be observed, visualized?

- **Crystal defects (their diffraction images!):**
dislocations, twins, stacking faults,
growth sector boundaries, growth striations,
inclusions, precipitates, ...
- and **macroscopic deformations:**
bending, acoustic waves, heat bump, ...

Basic principle of X-ray diffraction topography

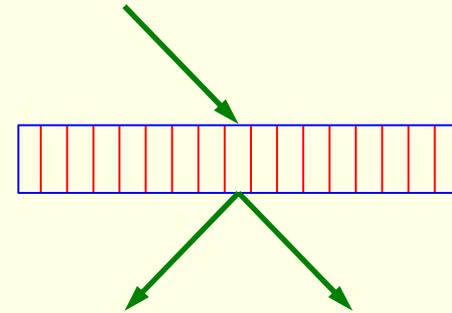
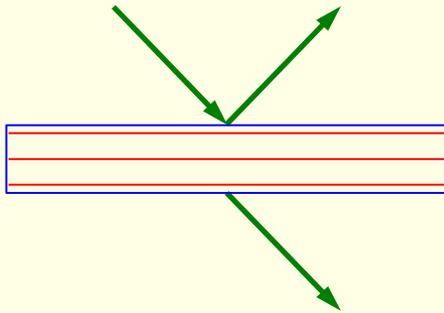
for an extended, homogeneous, white or monochromatic beam



Various techniques and variants exist

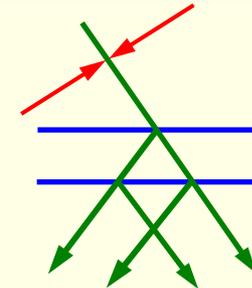
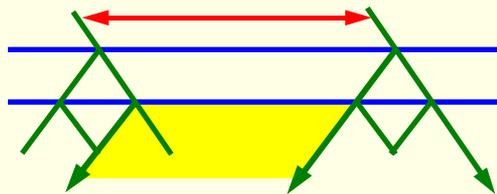
Most basic "classification"

Reflection (Bragg) geometry - transmission (Laue) geometry



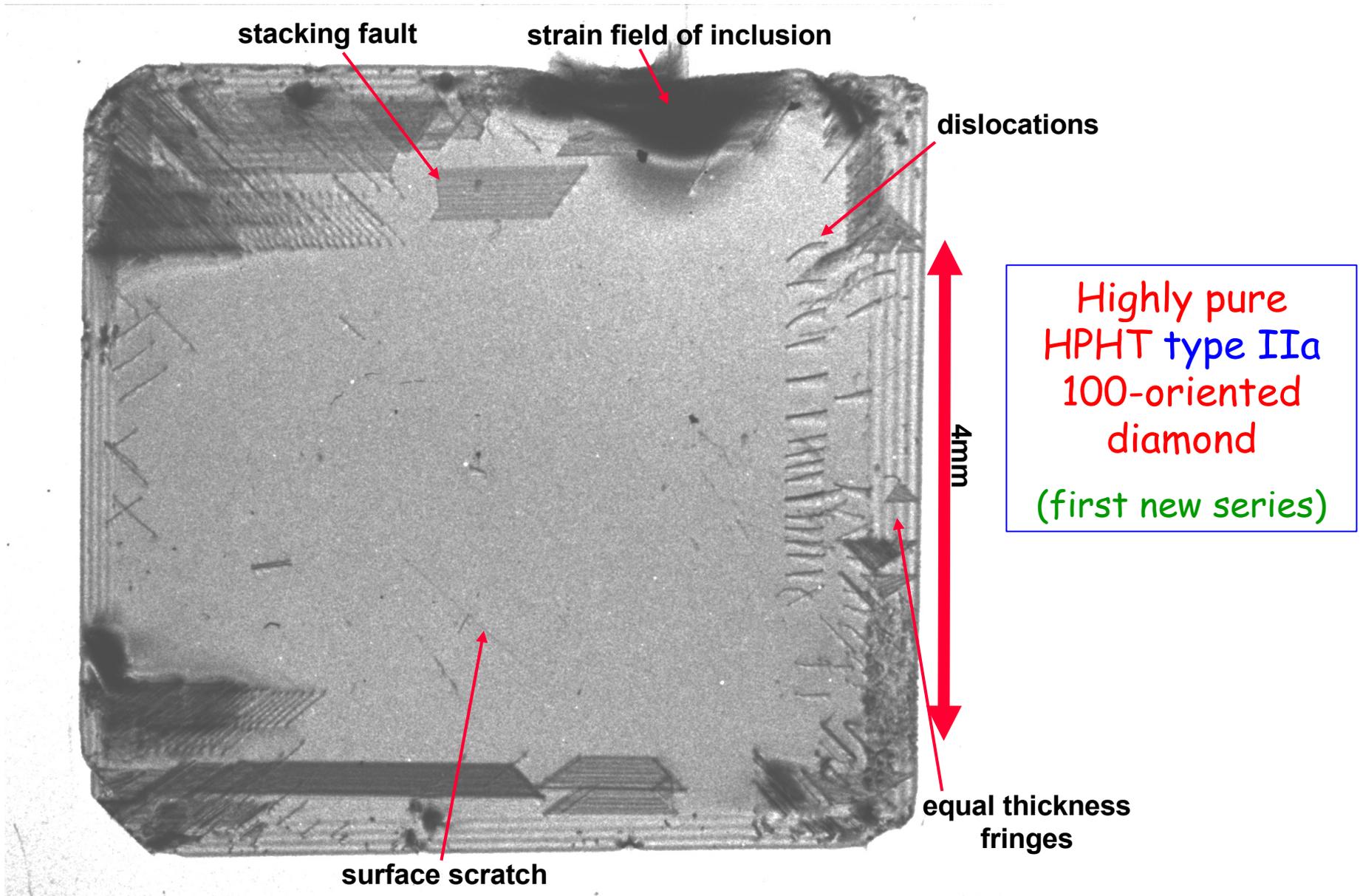
extended beam

limited beam



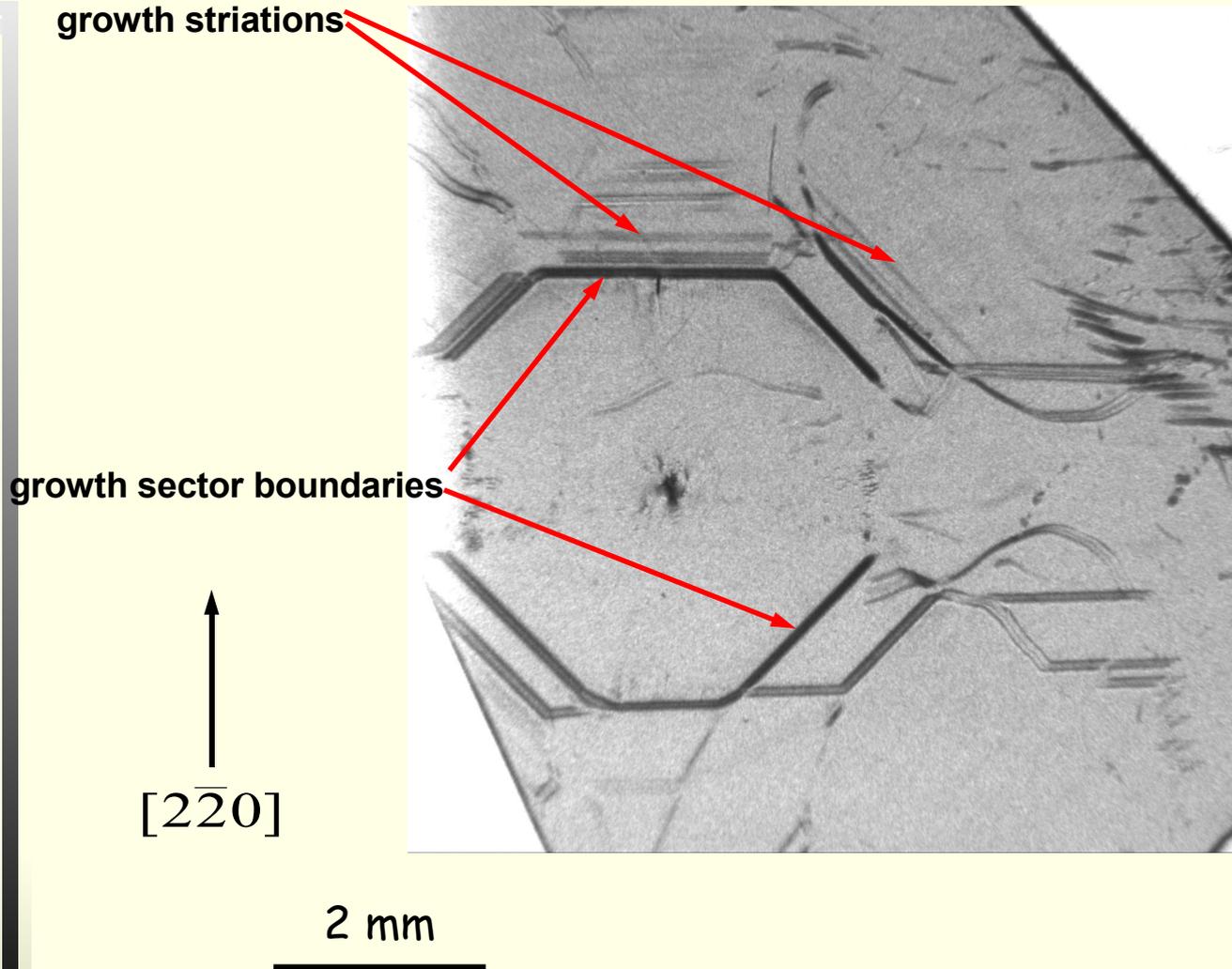
integrated wave topography (e.g. white beam topography) - plane monochromatic wave topography

How a X-ray topograph may look like (1):



White beam topography (transmission geometry)

How defects may look like in a X-ray topograph (2):



Nitrogen rich
HPHT type Ib
100-oriented
diamond

X-ray topography versus TEM

Complementary methods

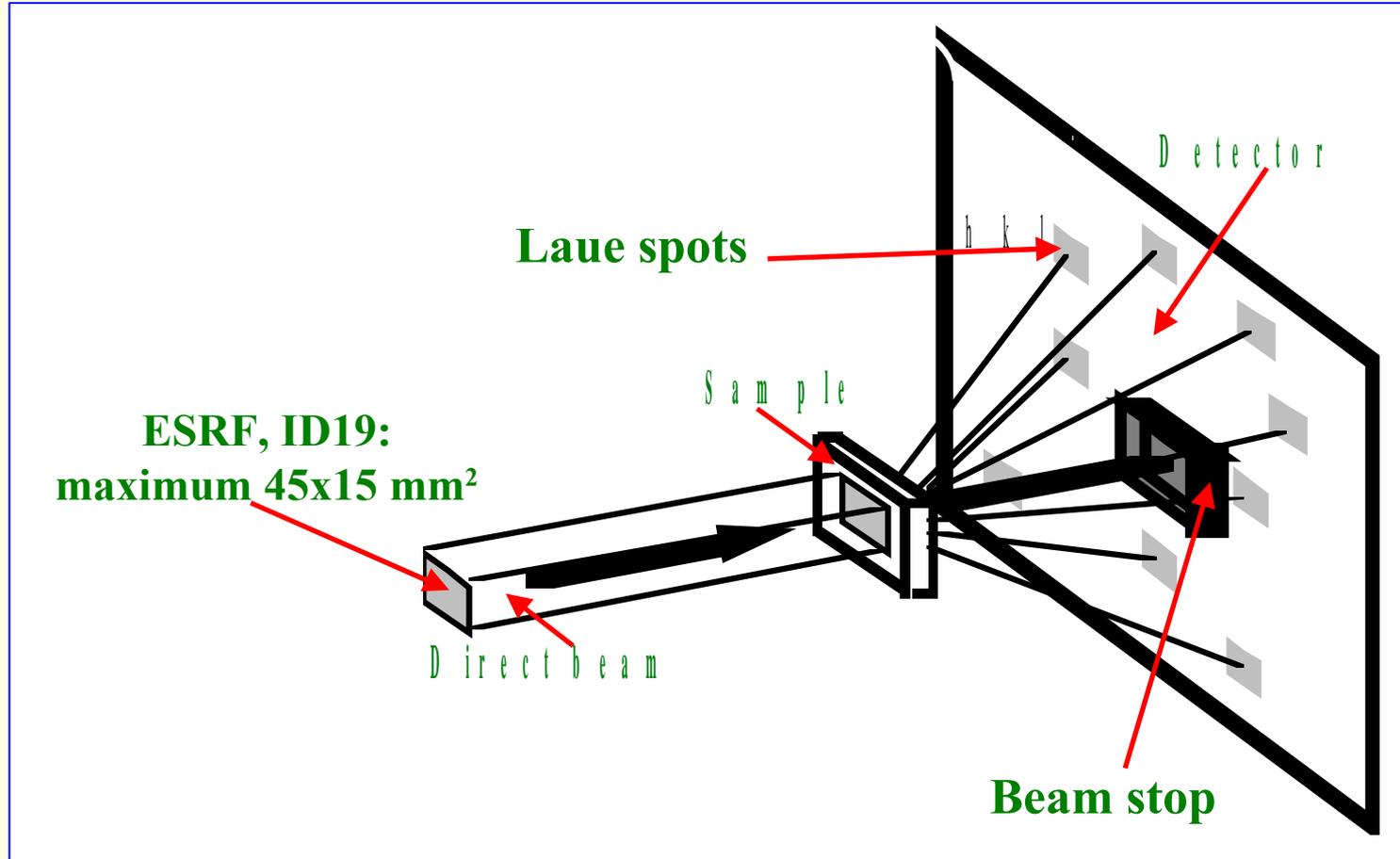
	X-ray topography	TEM
Spatial resolution:	μm	nm
Field of view:	$\text{mm} \dots \text{dm}$	μm
Strain sensitivity:	$(10^{-3}) 10^{-4} \dots 10^{-7} (10^{-9})$	$10^{-2} \dots 10^{-3}$
Defect densities: (dislocations)	$0 \dots 10^{-5} \text{cm}/\text{cm}^3$	$10^{-4} \dots 10^{-10} \text{cm}/\text{cm}^3$
	large samples possible	small samples
	non-destructive	destructive

White beam topography

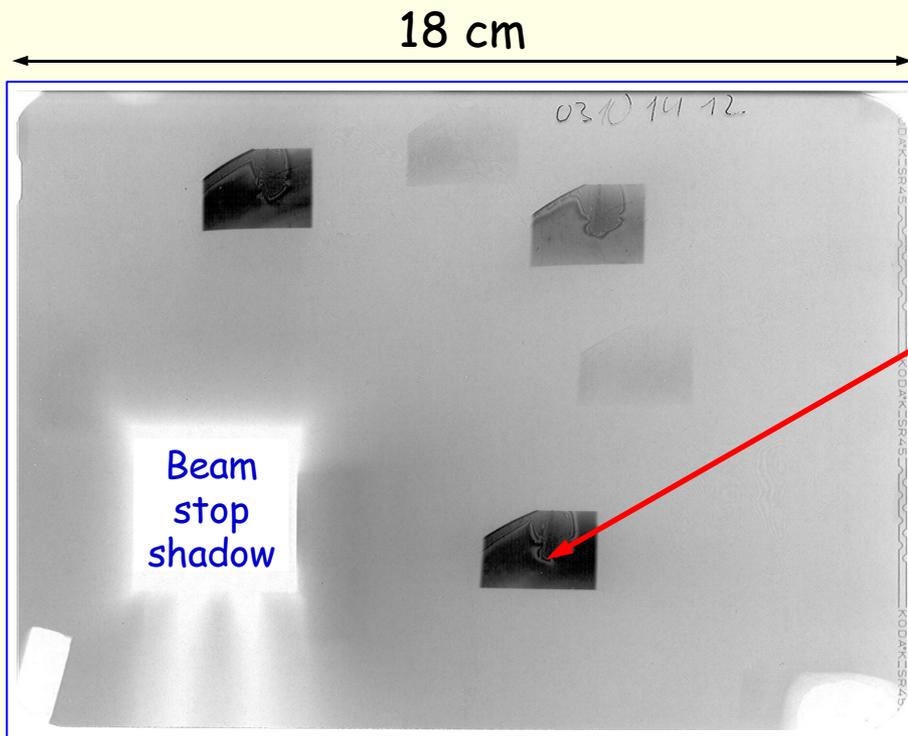
White Beam Topography

Most popular integrated wave technique at synchrotrons:

- principle (for transmission geometry) -

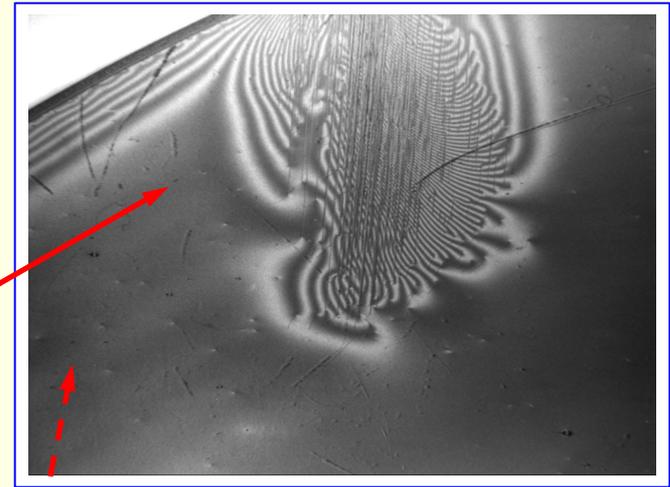


How it works - example

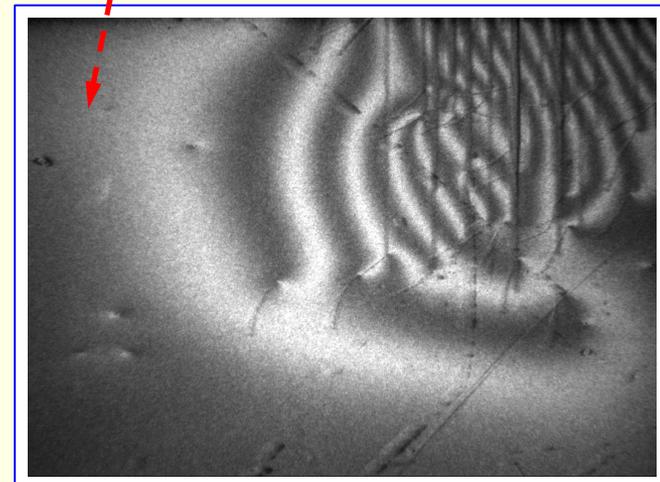


Film with **Laue spots**

part of a low dose SIMOX* wafer
*Separation by **IM**plantation of **OXY**gen



One individual "spot"
- a topograph



Action of lattice distortion on diffraction

Defect - long-range **strain field**



Departure of the local Bragg angle $\Theta(\mathbf{r})$
from that for a perfect reference crystal region Θ_B

Effective misorientation:

$$\delta\theta(\mathbf{r}) = \Theta(\mathbf{r}) - \Theta_B = - (\lambda / \sin 2\theta_B) \cdot \partial(\mathbf{h} \cdot \mathbf{u}(\mathbf{r})) / \partial s_h$$

\mathbf{h} : undistorted reciprocal lattice vector

$\mathbf{u}(\mathbf{r})$: displacement vector

$\partial/\partial s_h$: differentiation along reflected beam direction

Remember:

$$\delta\theta(\mathbf{r}) = f(\mathbf{h}\cdot\mathbf{u}(\mathbf{r}))$$

With the local dilatations and rotations

More descriptive form:

$$\delta\theta(\vec{\mathbf{r}}) = -\tan\theta_B \frac{\delta d}{d}(\vec{\mathbf{r}}) \pm \delta\varphi(\vec{\mathbf{r}})$$

$\delta d(\mathbf{r})/d$ - local relative change of the **lattice parameter**
 $\delta\varphi(\mathbf{r})$ - local **inclination angle** of the reflecting lattice planes with respect to the perfect lattice
(these are strain tensor components)

U. Bonse, Z. Phys. 153,278 (1958)
("combined local strain" = $-\delta\theta$,
the now accepted term
"effective missorientation" after
A. Authier 1967)

The double sign has to be chosen taking into account if the Bragg angle is locally increased or decreased by the deformation.

Consequence:

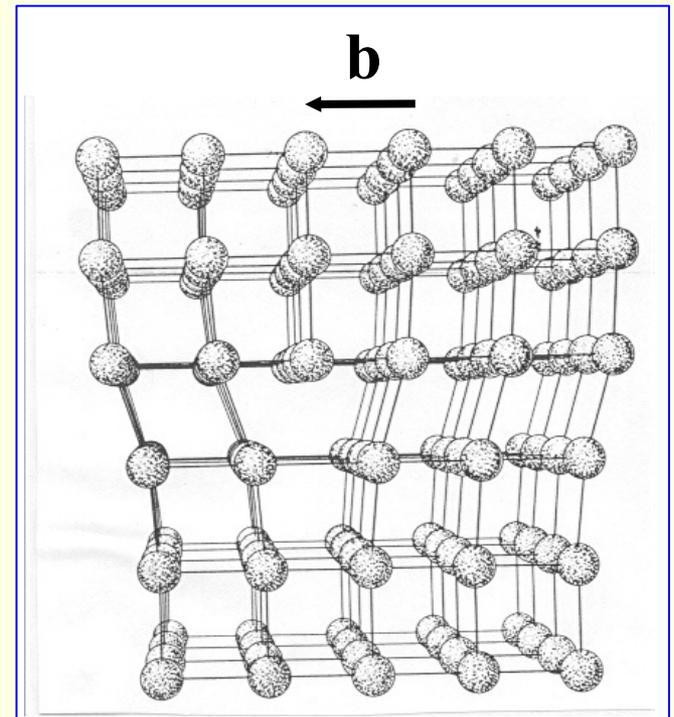
$\delta\theta = 0$ if \mathbf{u} perpendicular to \mathbf{h} :

defect not visible on the
topograph

(diffracting lattice planes are
NOT deformed!)

Example: dislocation not visible
when $\mathbf{h} \cdot \mathbf{b} = 0$

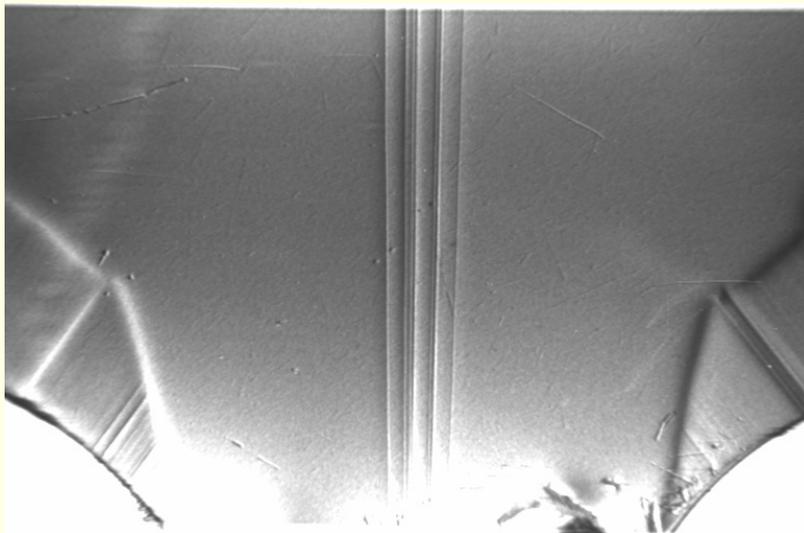
\mathbf{b} - Burgers vector
(strong contrast extinction for
 $\mathbf{h} \perp$ image plane)



Example: white beam topography of GaAs

Dislocations in high quality GaAs

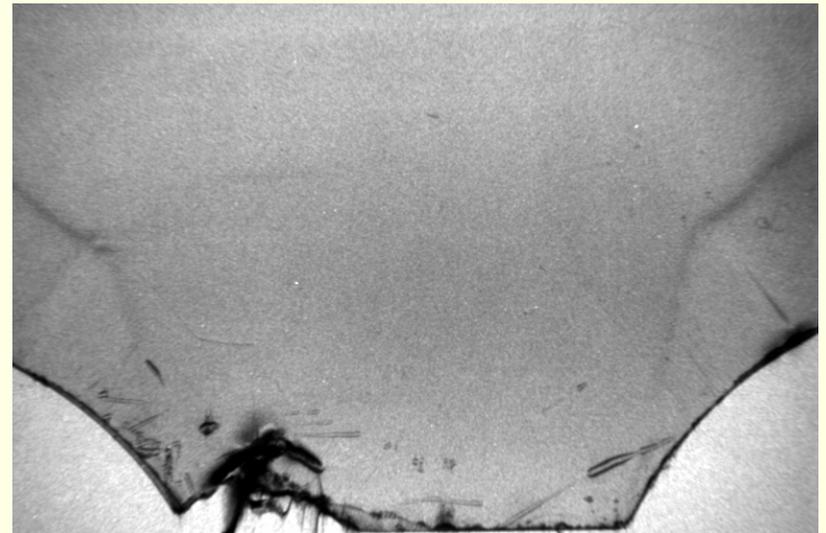
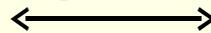
(grown by the Vertical Gradient Freeze technique
by B. Birkmann & G. Müller, University of Erlangen)



[2-20]



5 mm



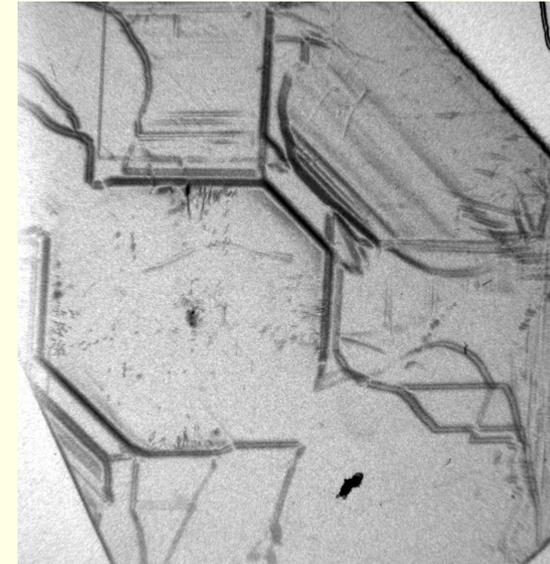
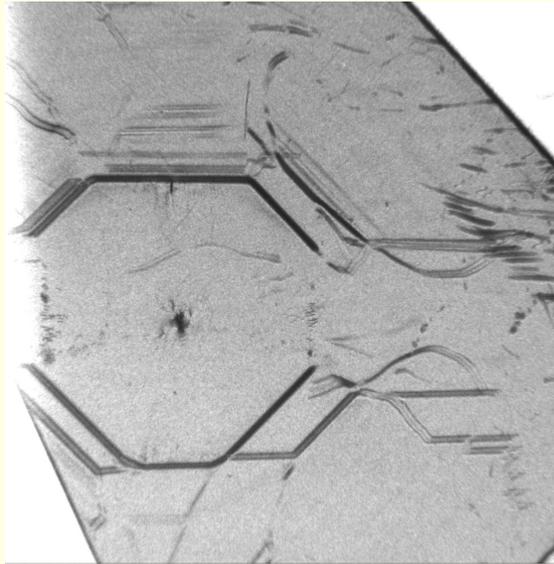
[004]



Contrast extinction for $b \perp h$ \rightarrow Edge dislocation with $b \parallel [2-20]$

White beam topography - use of contrast extinction

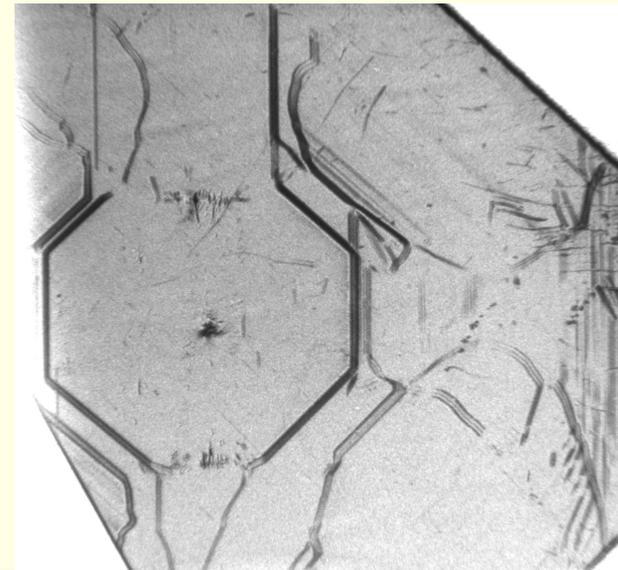
↑
[220]



↗
[400]

2 mm

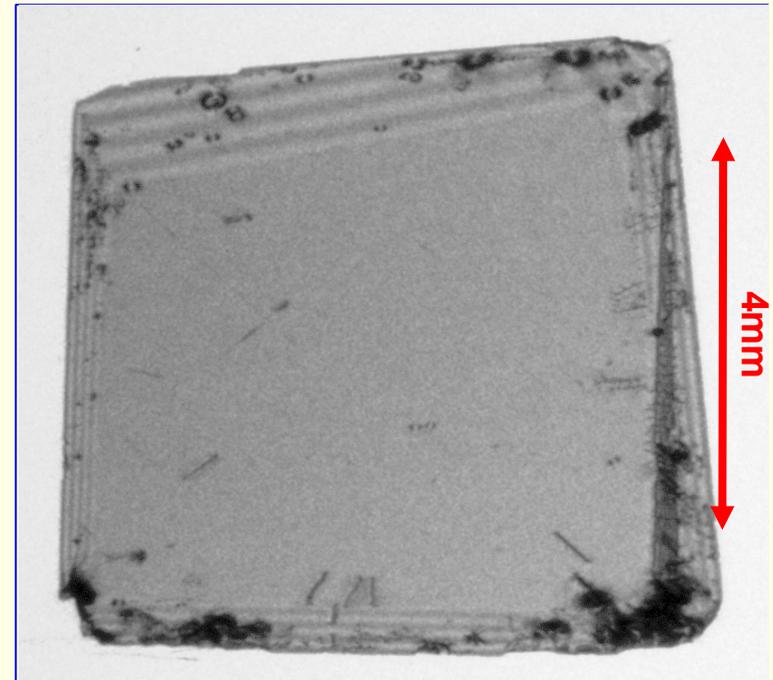
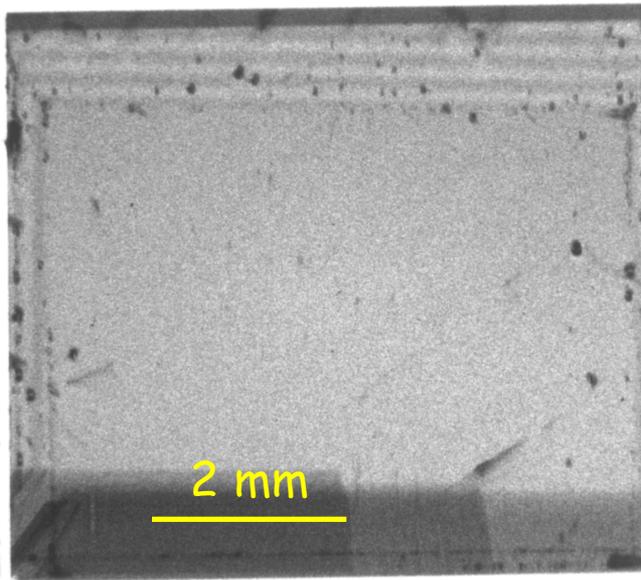
Nitrogen rich HPHT type Ib
100-oriented
transmission (Laue) geometry



→
[220]

New type IIa HPHT material

The best we could do up to now - X-ray topography



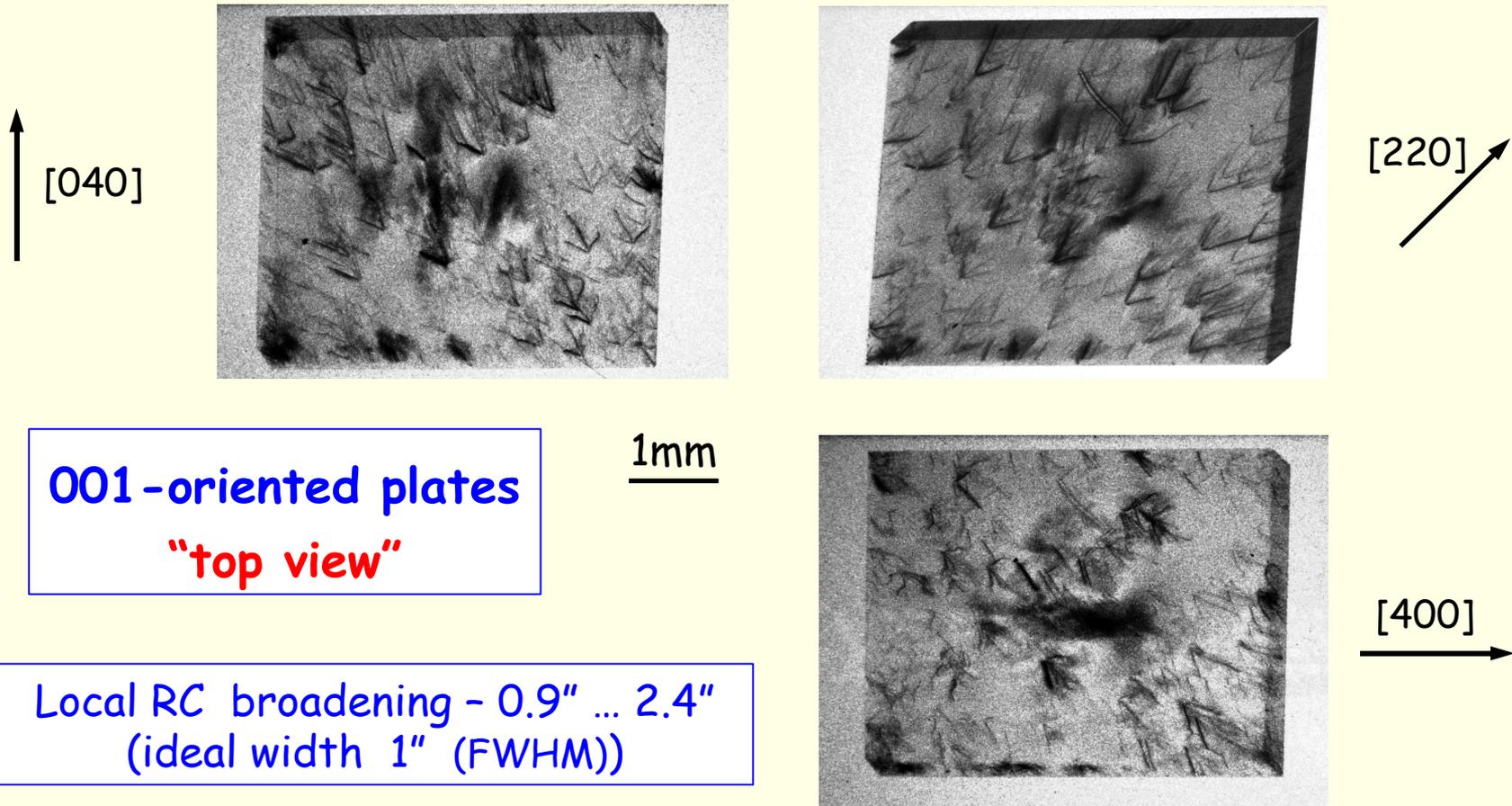
Central regions of **high bulk quality**
Confirmed by monochromatic beam (double crystal) topography

$$\Delta d/d \leq 10^{-7}$$

Promising for **synchrotron X-ray optical applications**

But dimension, coherence preservation?

CVD material for detector applications



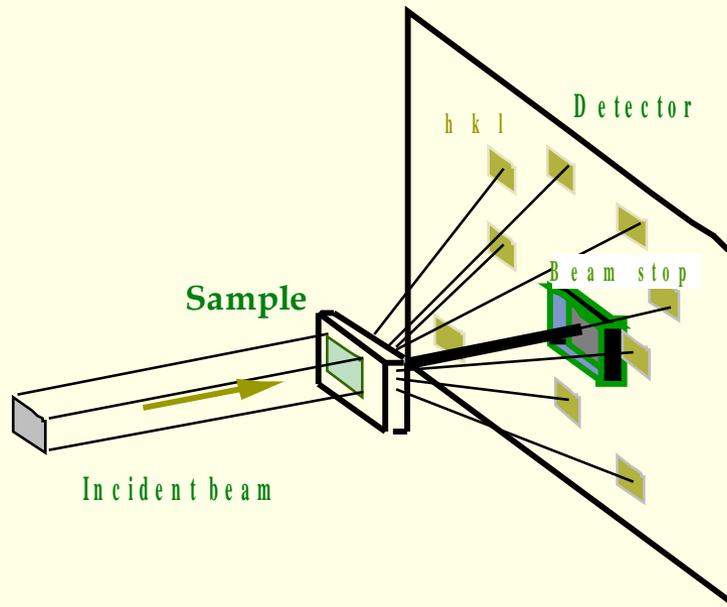
“Monochromatic” beam topography

Laboratory - spectral lines ($K_{\alpha 1}$ -lines)

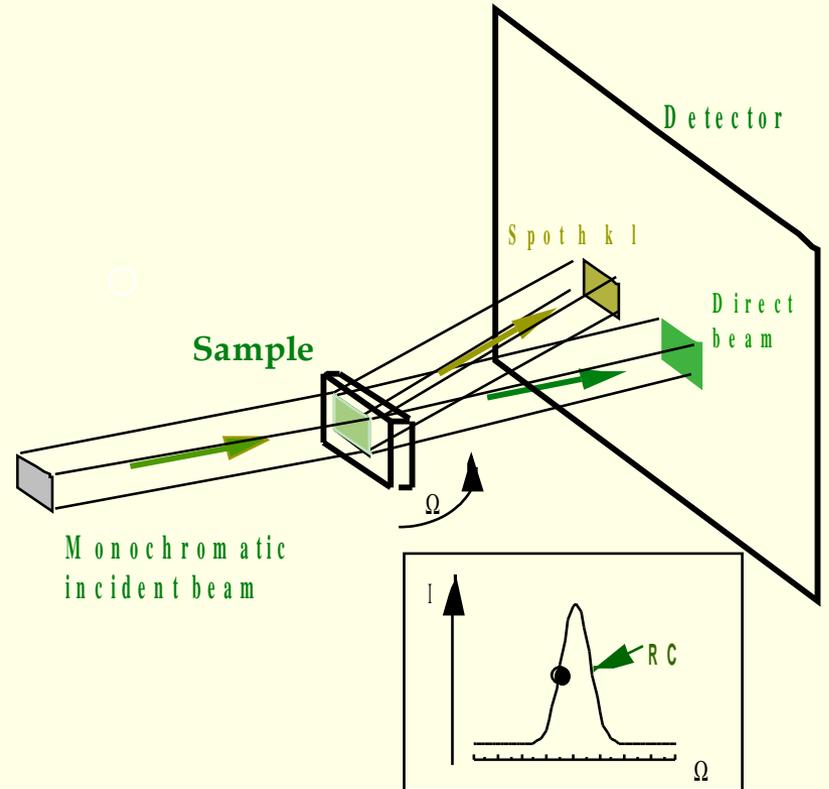
Synchrotron - silicon 111 double monochromator

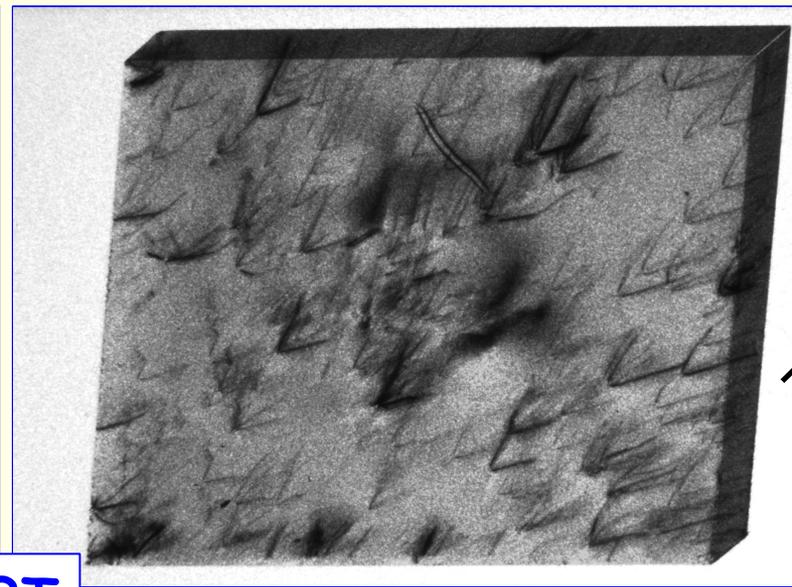
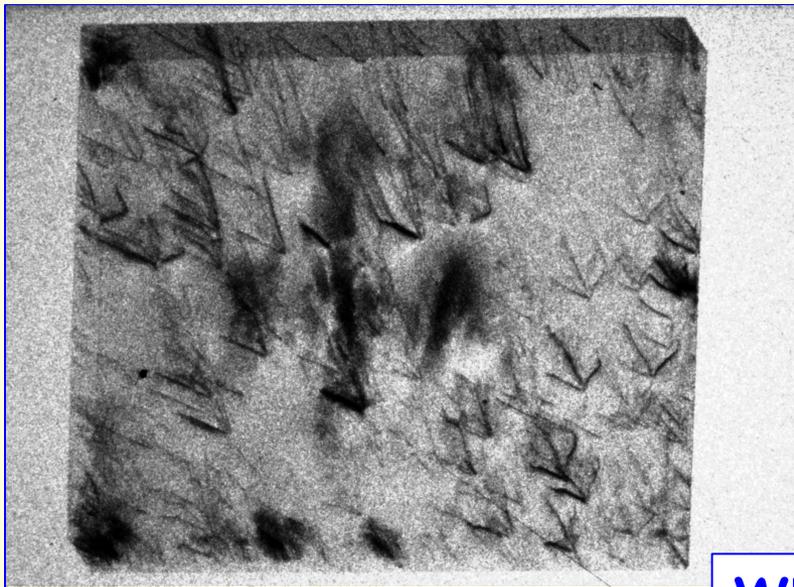
But still integrate wave methods!!!

White beam topography

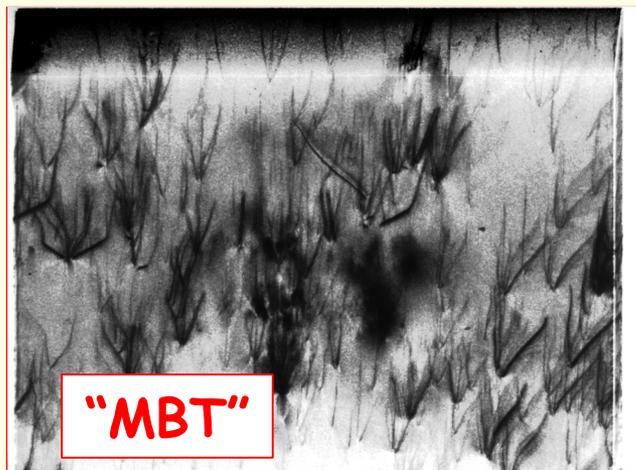


Double crystal topography

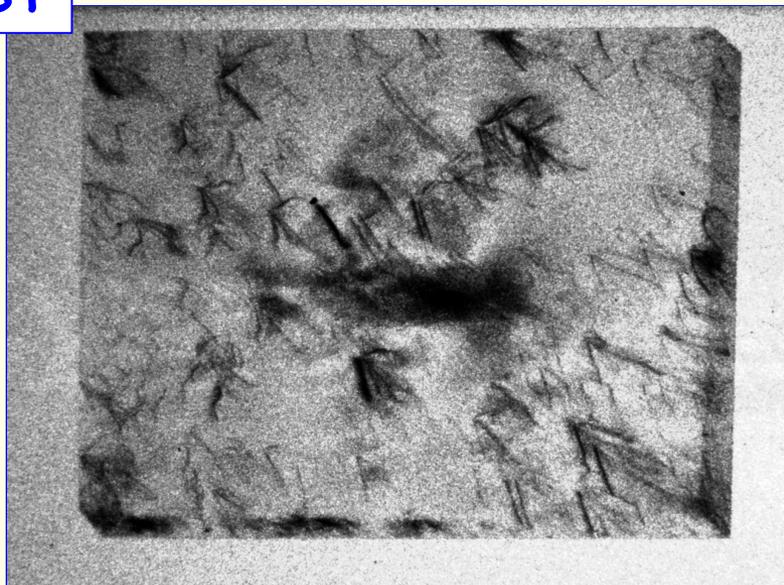




WBT

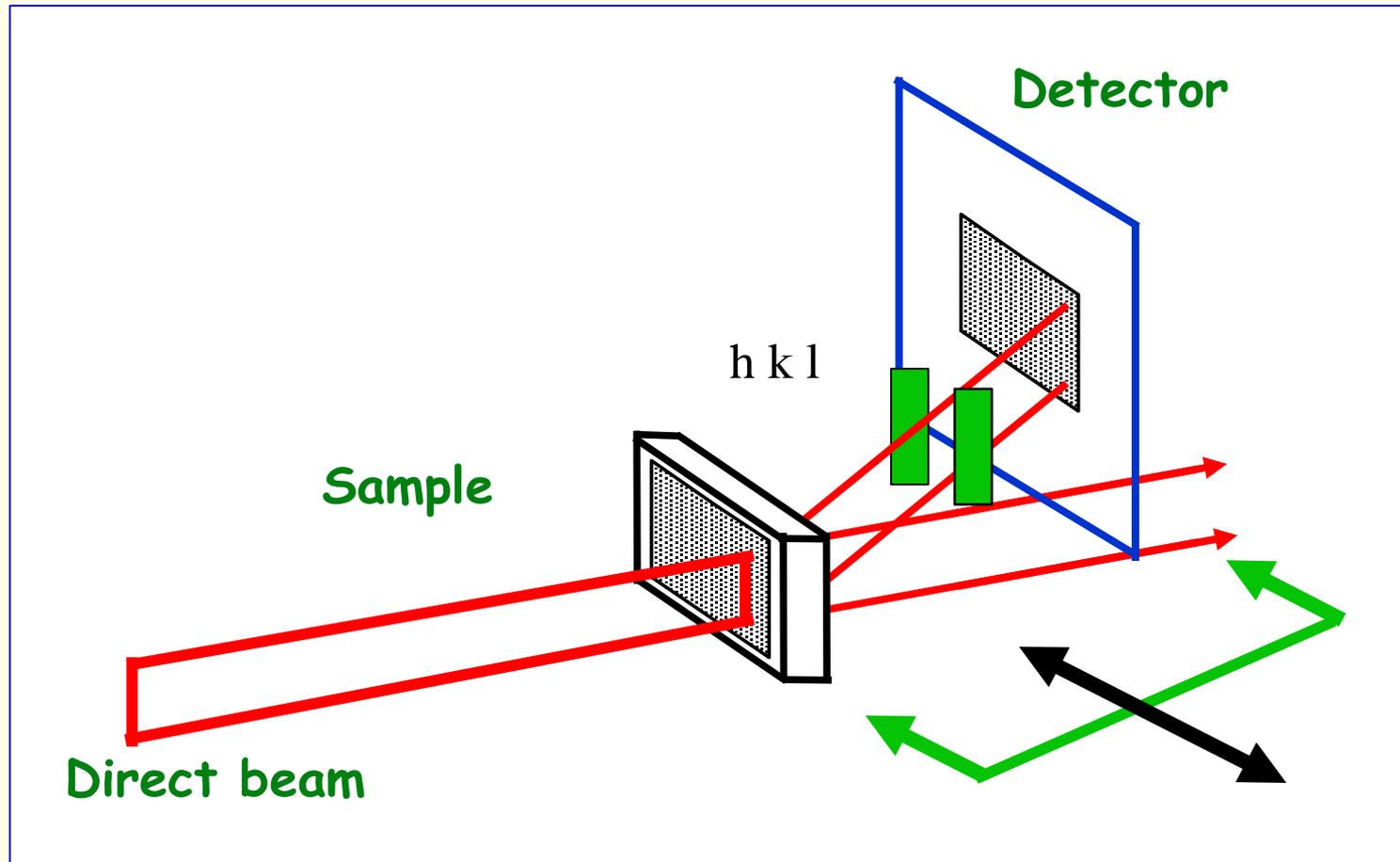


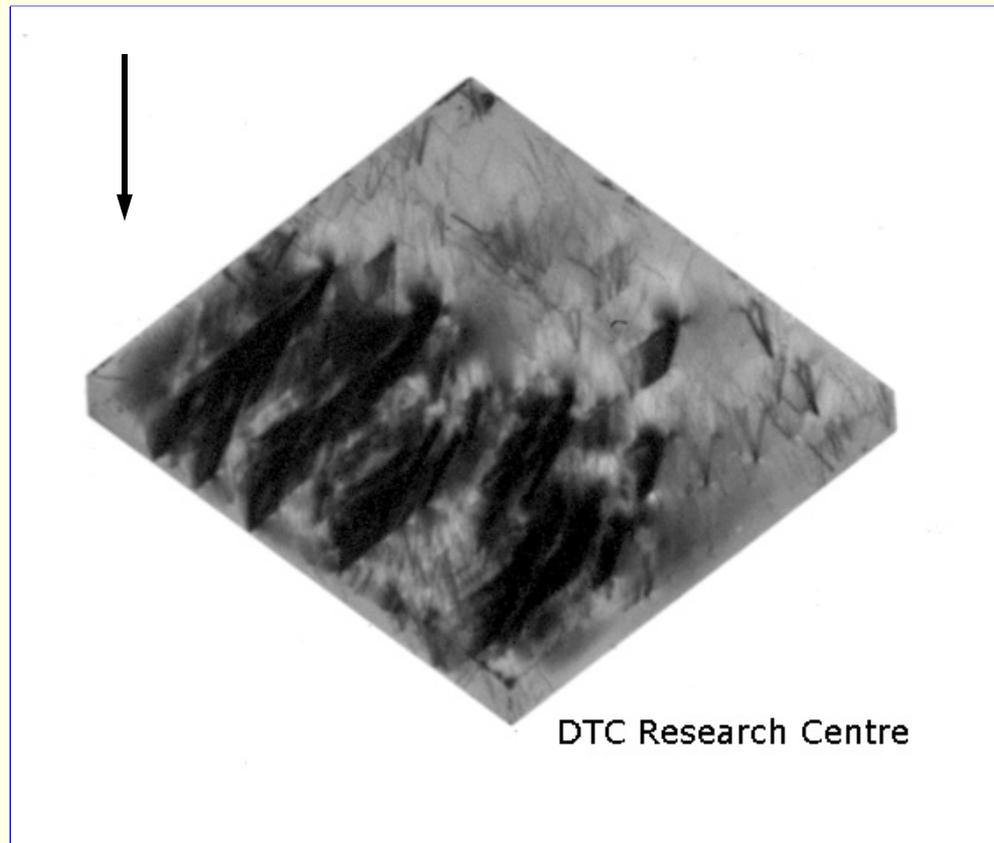
"MBT"



Laboratory technique

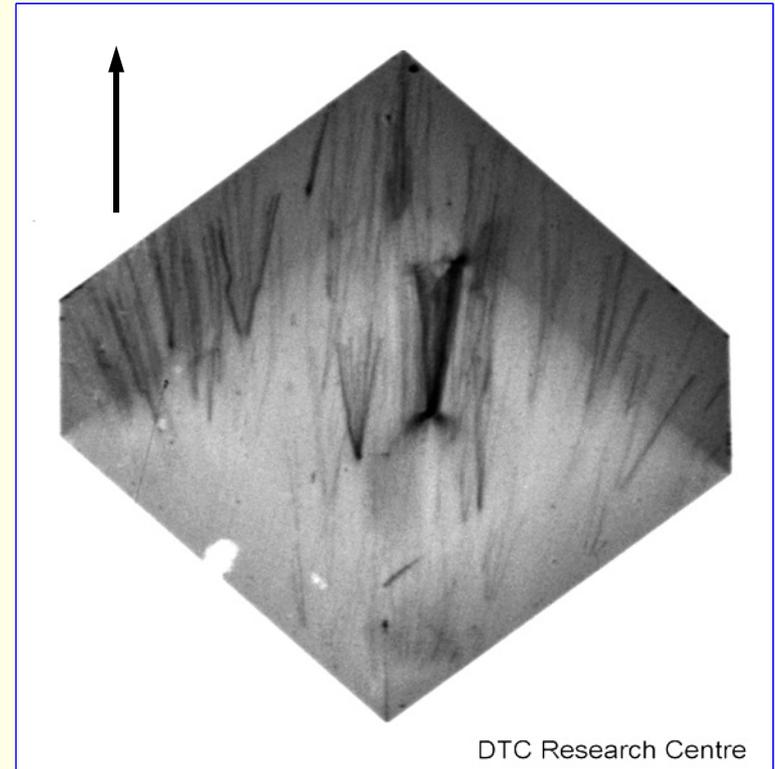
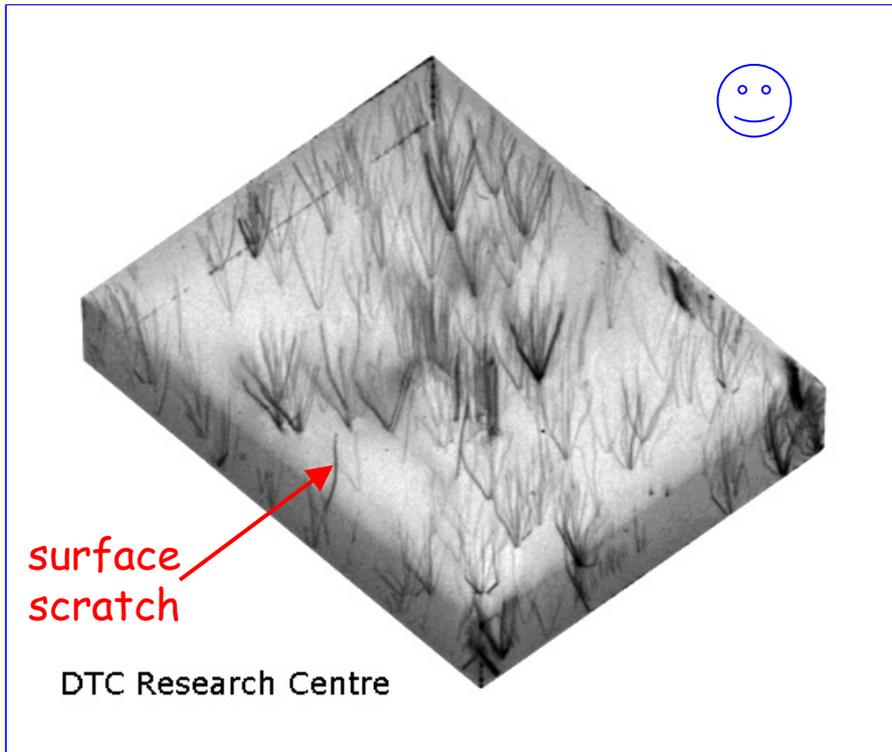
Lang's technique - principle (transmission geometry)





{111} Lang topograph
001-oriented CVD layer (with substrates removed).
CVD layer grown on a poorly processed substrate

Mike Gaukroger and Philip Martineau (DTC Research Centre, Maidenhead, UK)



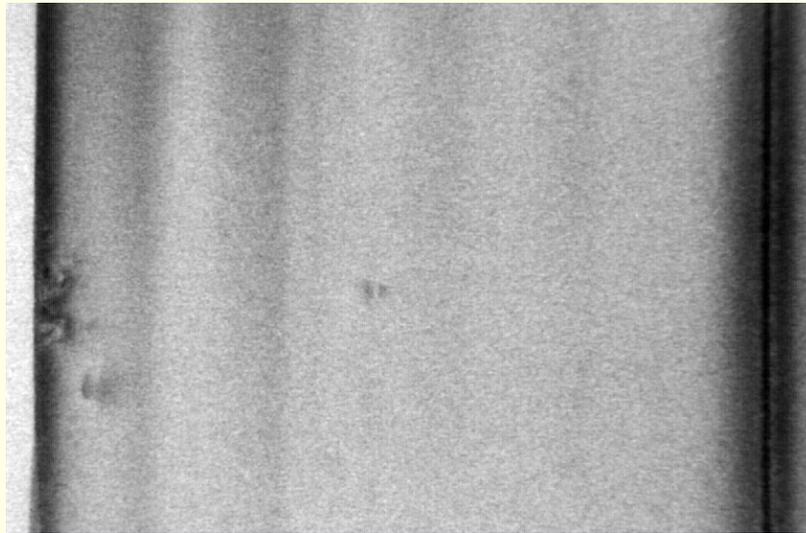
{111} Lang topographs
(1-11- or -111-reflection)
001-oriented CVD layers (with substrates removed)
CVD layers grown on carefully processed substrates

Mike Gaukroger and Philip Martineau (DTC Research Centre, Maidenhead, UK)

"Monochromatic plane" wave topography

"double" crystal topography

quartz sample with induced growth striations (strain $2.5 \dots 4 \cdot 10^{-7}$)
(Y-cut plate, ≈ 1 mm thick)

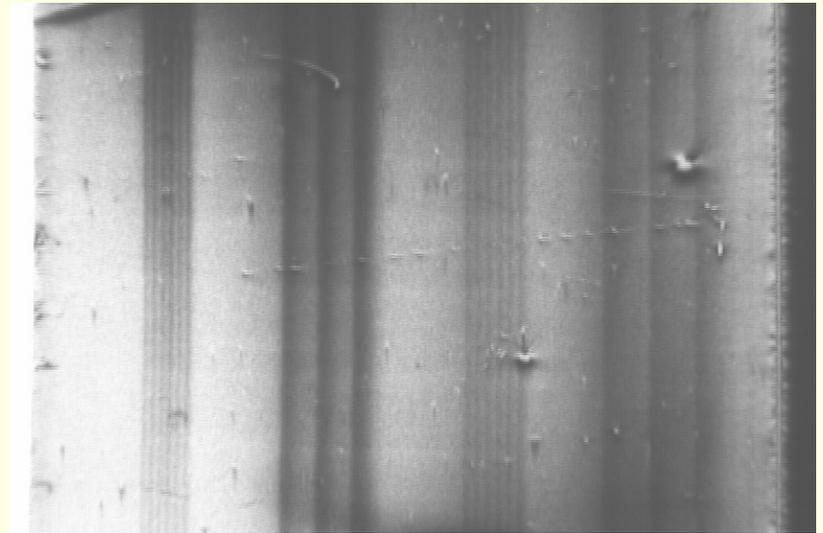


$h = [0003]$



White beam topograph

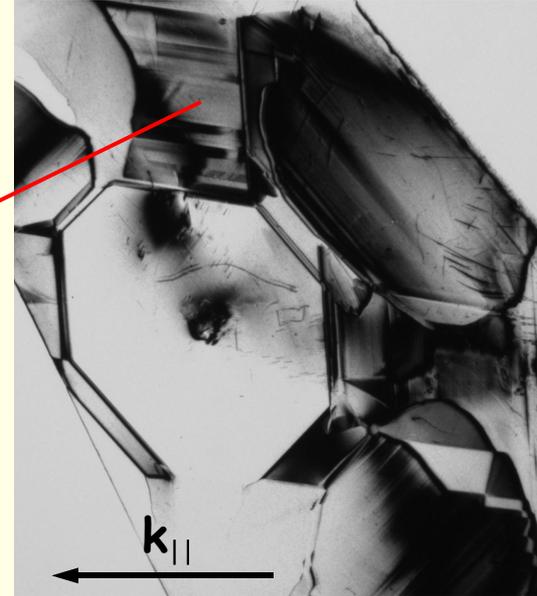
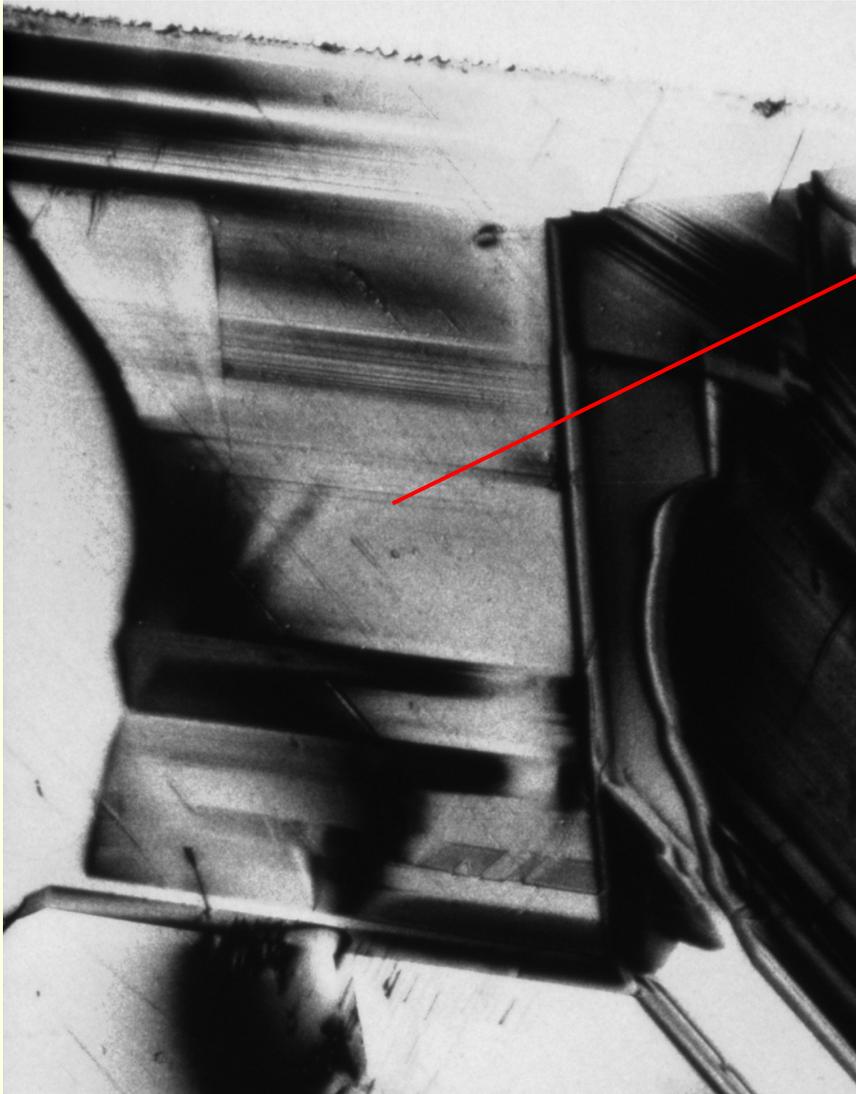
1 mm



$R_1 = 2400\text{m}$

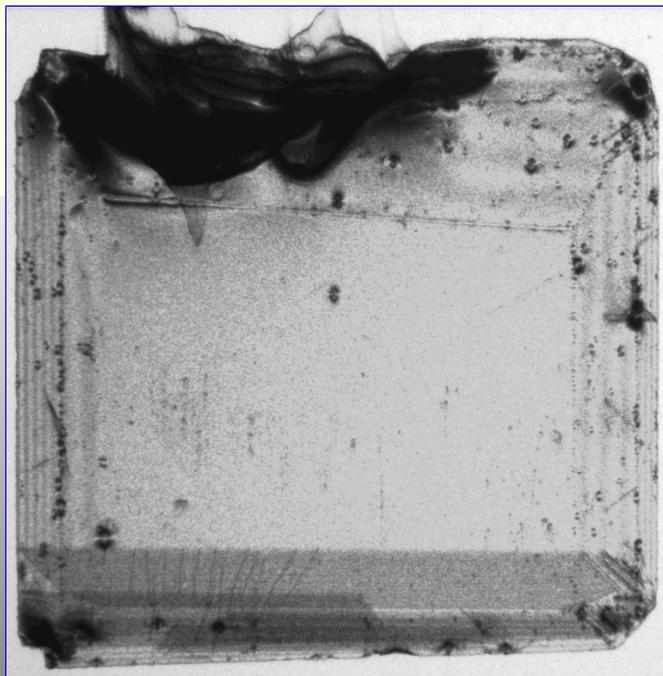
Double crystal topograph

sample 80·0 reflection (Bragg case), FWHM = 0.07"
bent Si monochromator, 448 reflection (Bragg case), FWHM = 0.31", asymmetry angle $\alpha = -17.6^\circ$,
high angle flank of rocking curve, $E = 17.7$ keV



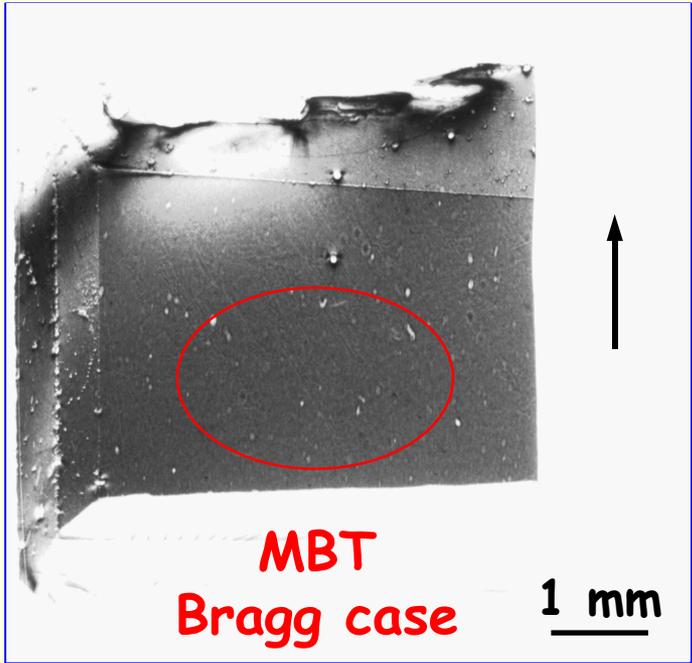
Double crystal topography
(reflection geometry)

Now many inhomogeneities
within "homogeneous" parts
visible



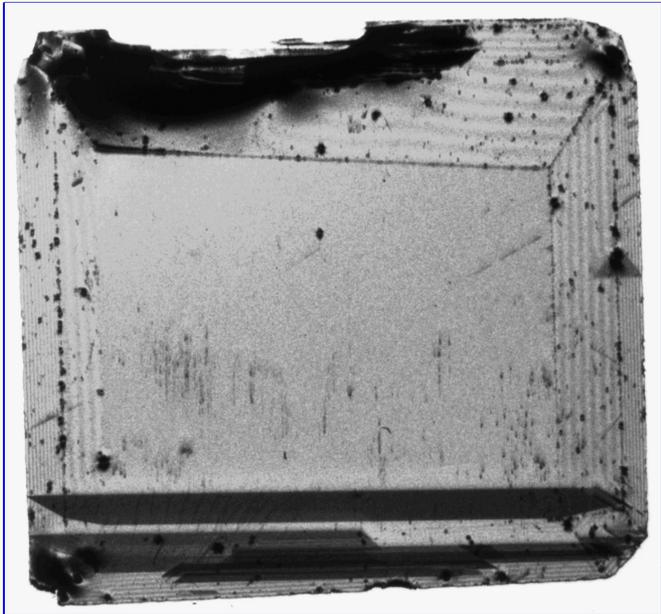
**WBT
Laue case**

**High bulk quality
 $\Delta d/d < 10^{-7}$**



**MBT
Bragg case**

1 mm



**MBT
Laue case**

X-ray characterisation of diamonds

1. Introduction

2. Looking more at the "bulk"

Bragg diffraction imaging ("X-ray topography")

High-resolution diffractometry

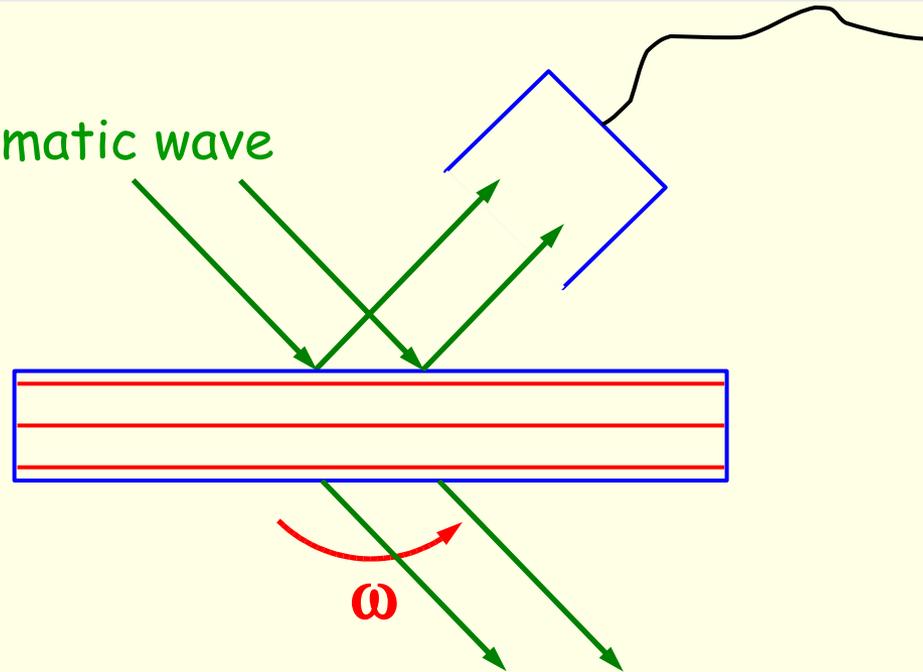
3. Looking closer to the "surface"

Reflectometry

Grazing incidence diffraction

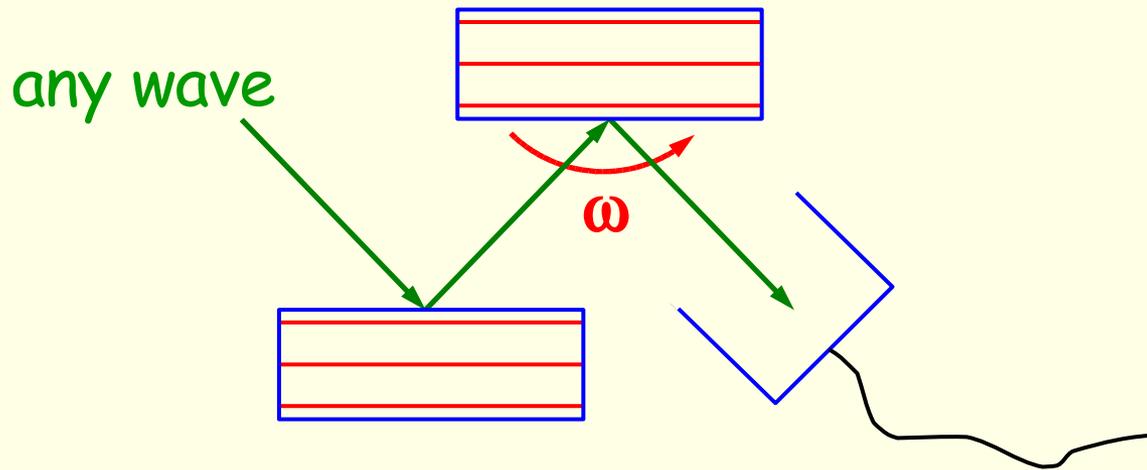
Reflectivity (and transmissivity) curve How to measure it?

plane monochromatic wave



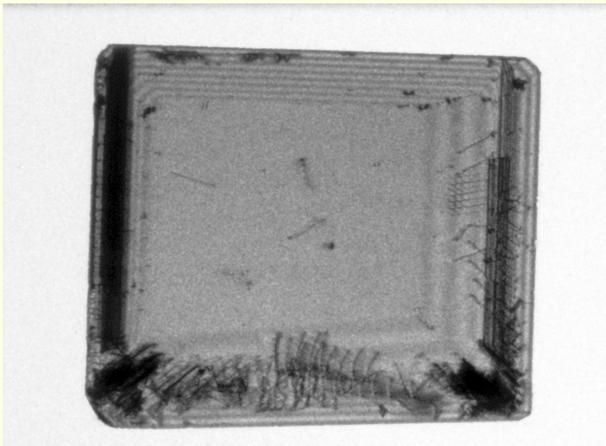
Plane & monochromatic incoming wave
changing its angle of incidence
counting all photons

Rocking curve



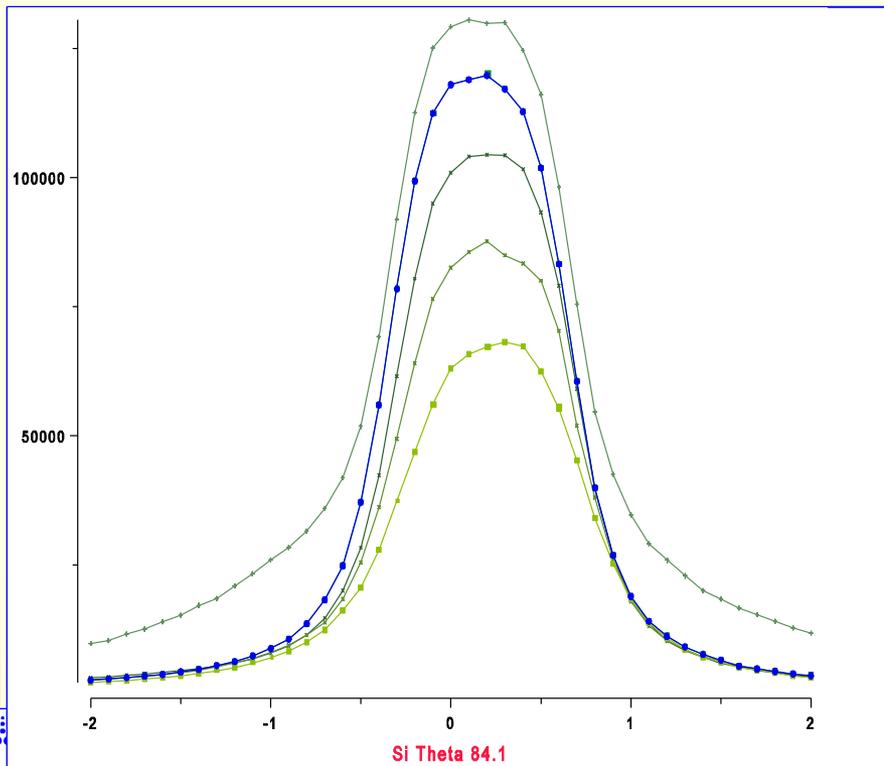
Real situation

Convolution (autocorrelation) of reflectivity curve
with instruments/apparatus function
(other reflectivity curves,
and wavelength (energy) distribution,
and divergence distribution)



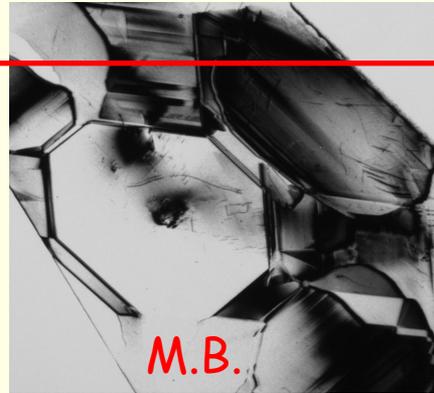
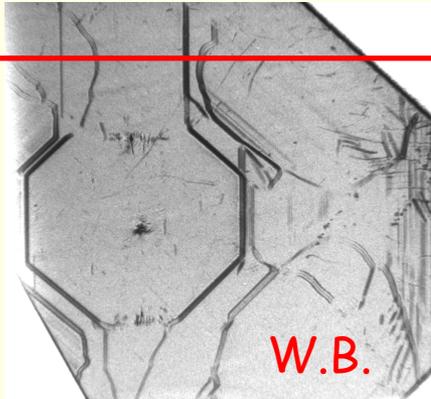
Some results

N° 1: 100 II_a

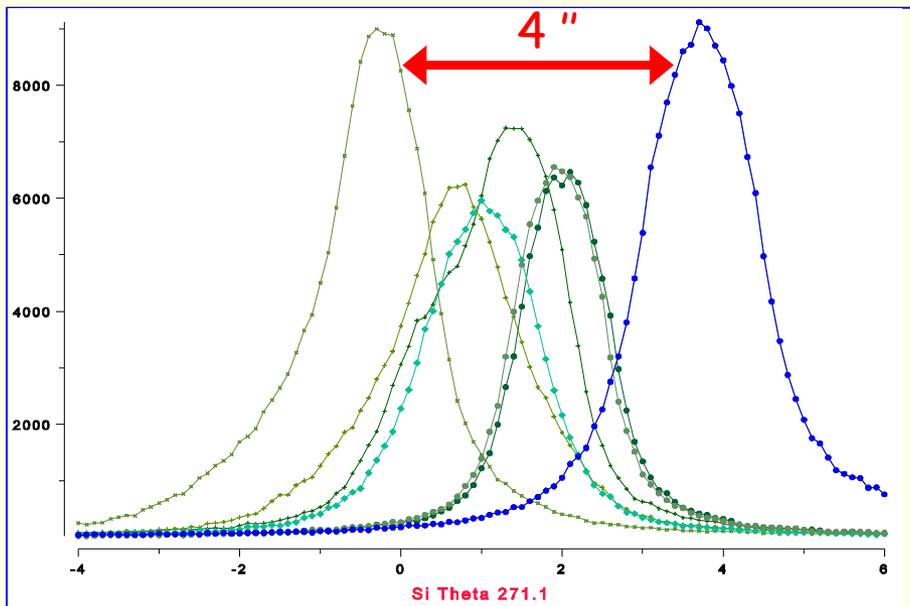


FWHM of the
"defects broadening":
0.10 " - 0.39 "

Less broadened one:
FWHM: 0.10 "
FW20%M: 0.32 "
FW2%M: 0.61 "



N° 2: 100 Ib



FWHM of the
"defects broadening":

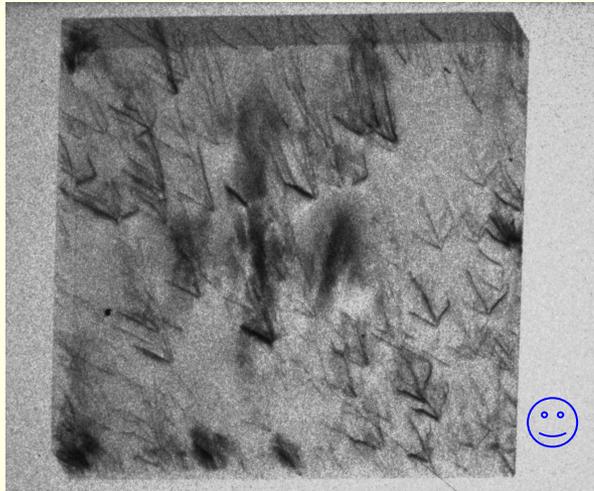
1.09 "

FWHM: 1.09 "

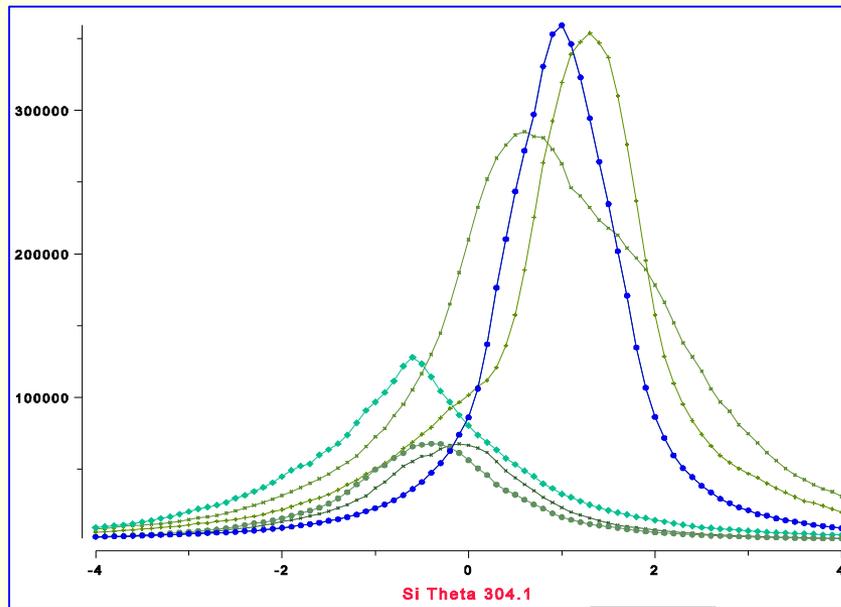
FW20%M: 1.79 "

FW2%M: 3.09 "

$$\Delta d/d = 1.2 \cdot 10^{-5}$$



N° 3: 100 CVD



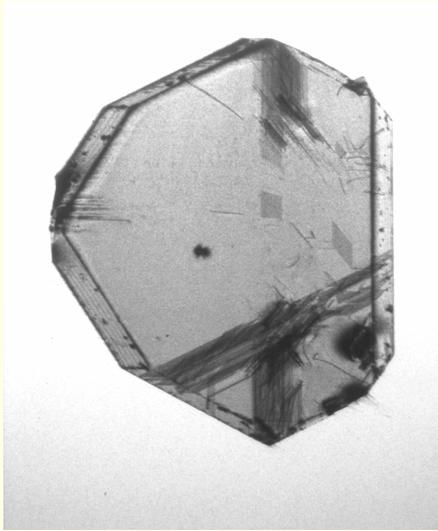
FWHM of the
"defects broadening":

0.83 "

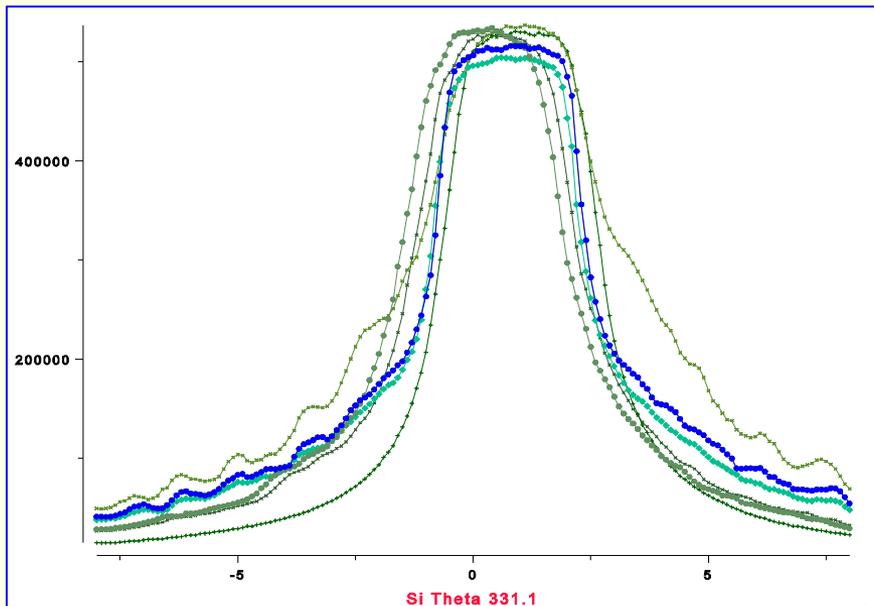
FWHM: 0.83 "

FW20%M: 1.32 "

FW2%M: 2.47 "



N° 4: 111 IIa



FWHM of the
"defects broadening":

0.44 "

FWHM: 0.44 "
FW20%M: 0.71 "
FW2%M: 6.83 "

Summary of some results

	N° 1 100 IIa	N° 2 100 Ib	N° 3 100 CVD	N° 4 111 IIa
FWHM	0.10"	1.09"	0.83"	0.44"
FW20%M	0.32"	1.79"	1.32"	0.71"
FW2%M	0.61"	3.09"	2.47"	6.83"

Information about surface quality in the tails of the rocking curves

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Bragg diffraction imaging ("X-ray topography")

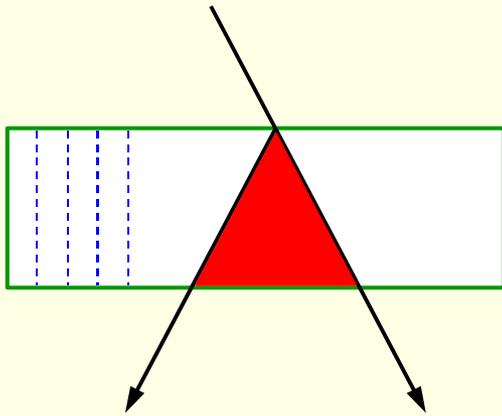
High-resolution diffractometry

3. Looking closer to the "surface"

Reflectometry

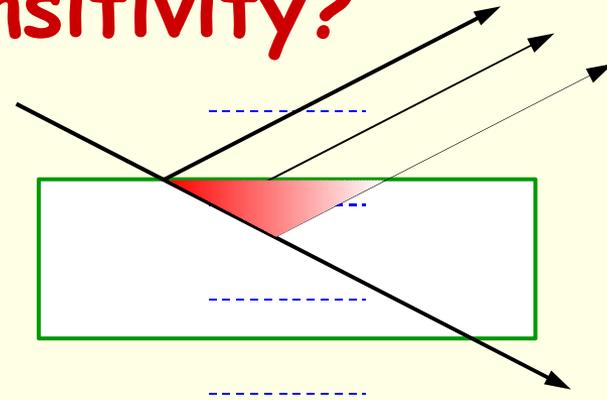
Grazing incidence diffraction

"Surface" sensitivity?



Laue case/transmission geometry

We "see" mainly the bulk



Bragg case/reflection geometry

We "see" bulk and "surface"

Bragg case, diamond 400-reflection, $E = 14.413\text{keV}$:

$$t_{\text{ext}} = 4\mu\text{m}, t_{\text{abs}} = 1140\mu\text{m} !!!$$

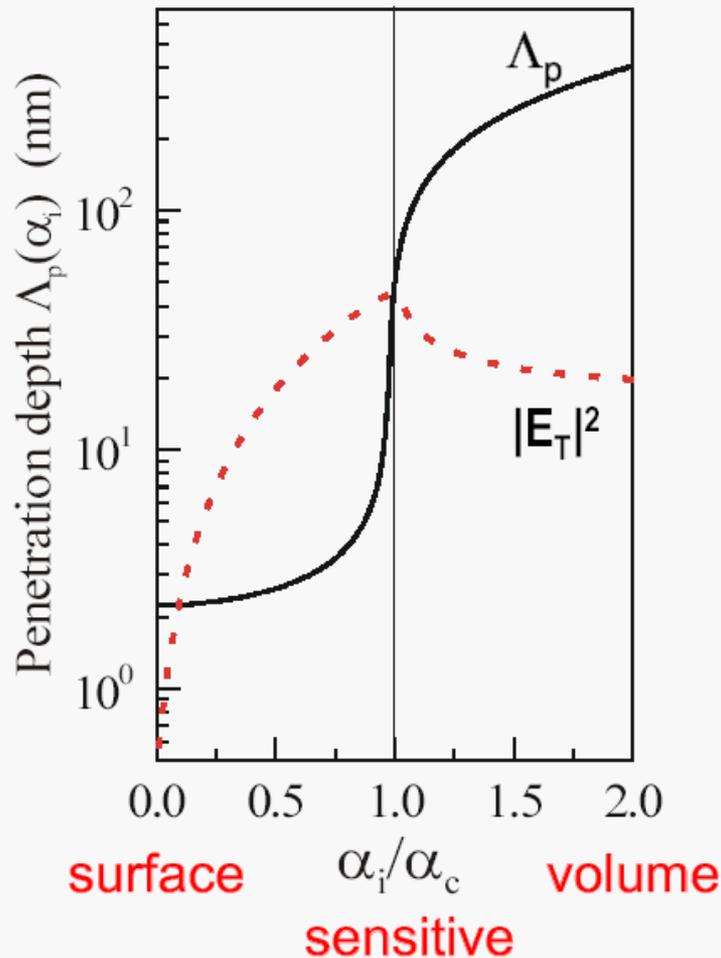
Can we diminish the penetration depth and control it?

Surface sensitivity of GI Methods

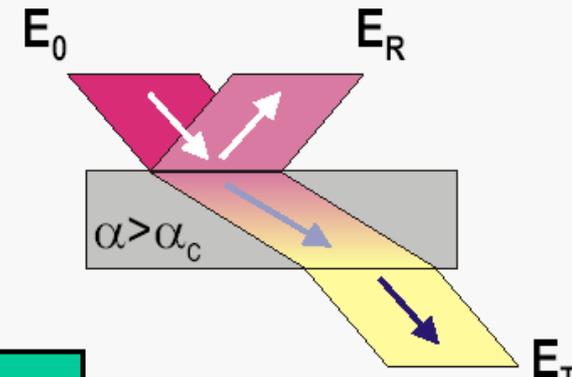
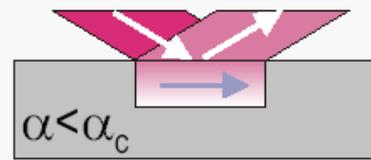
X-ray wavelength range

refraction index: $n = 1 - \delta + i\beta$

$n < 1$, and $\delta, \beta \ll 1$



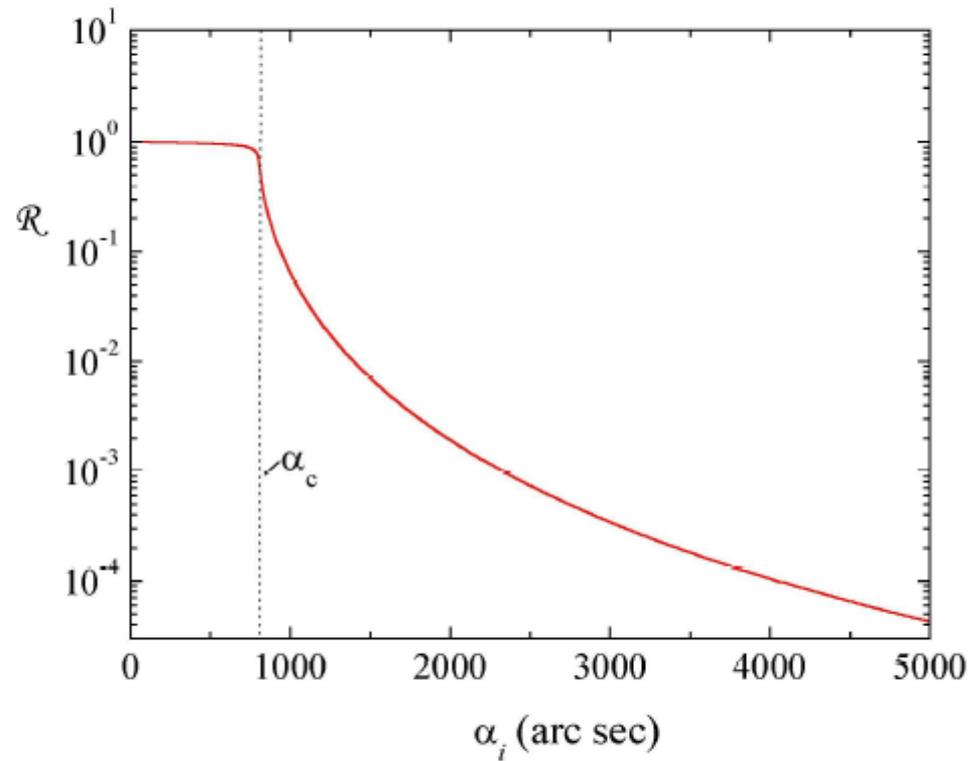
total external reflection



$$\frac{1}{e} = \left| \frac{E_T}{E_0} \right|^2 \approx e^{-2k \text{Im}(\alpha') \Lambda_p}$$

$$\Lambda_p = \frac{1}{2k \text{Im}(\alpha_i)}$$

Reflection curve of a Si surface, CuK α 1 radiation

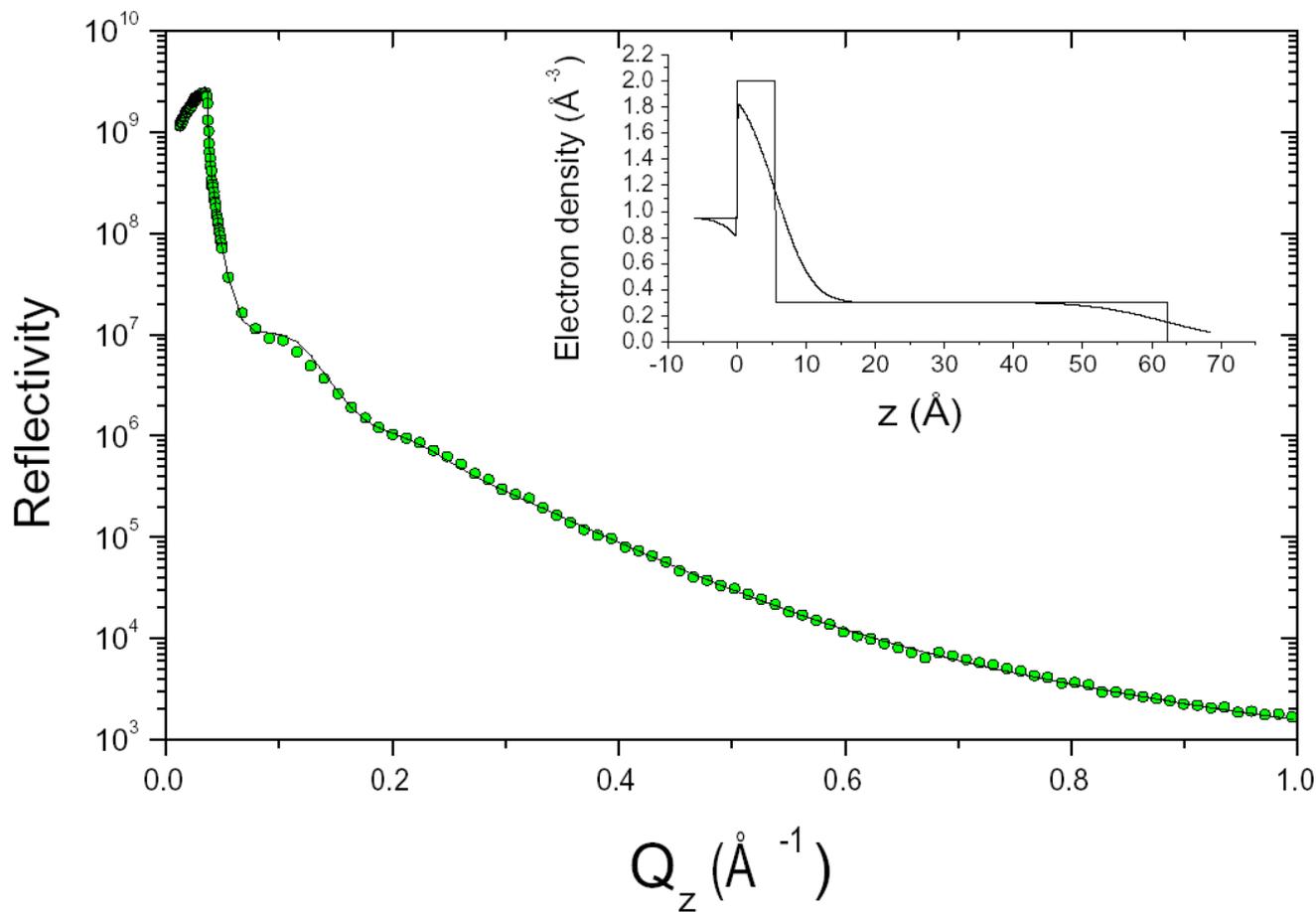
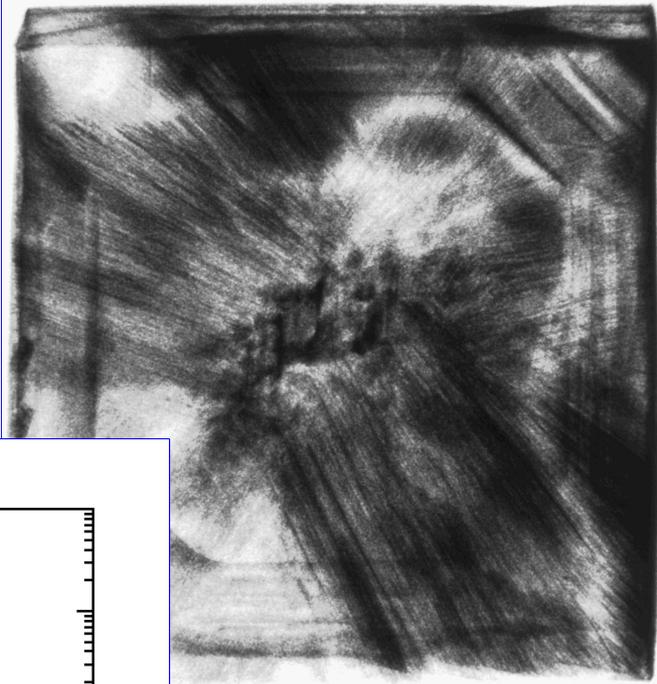


Critical angle of total external diffraction

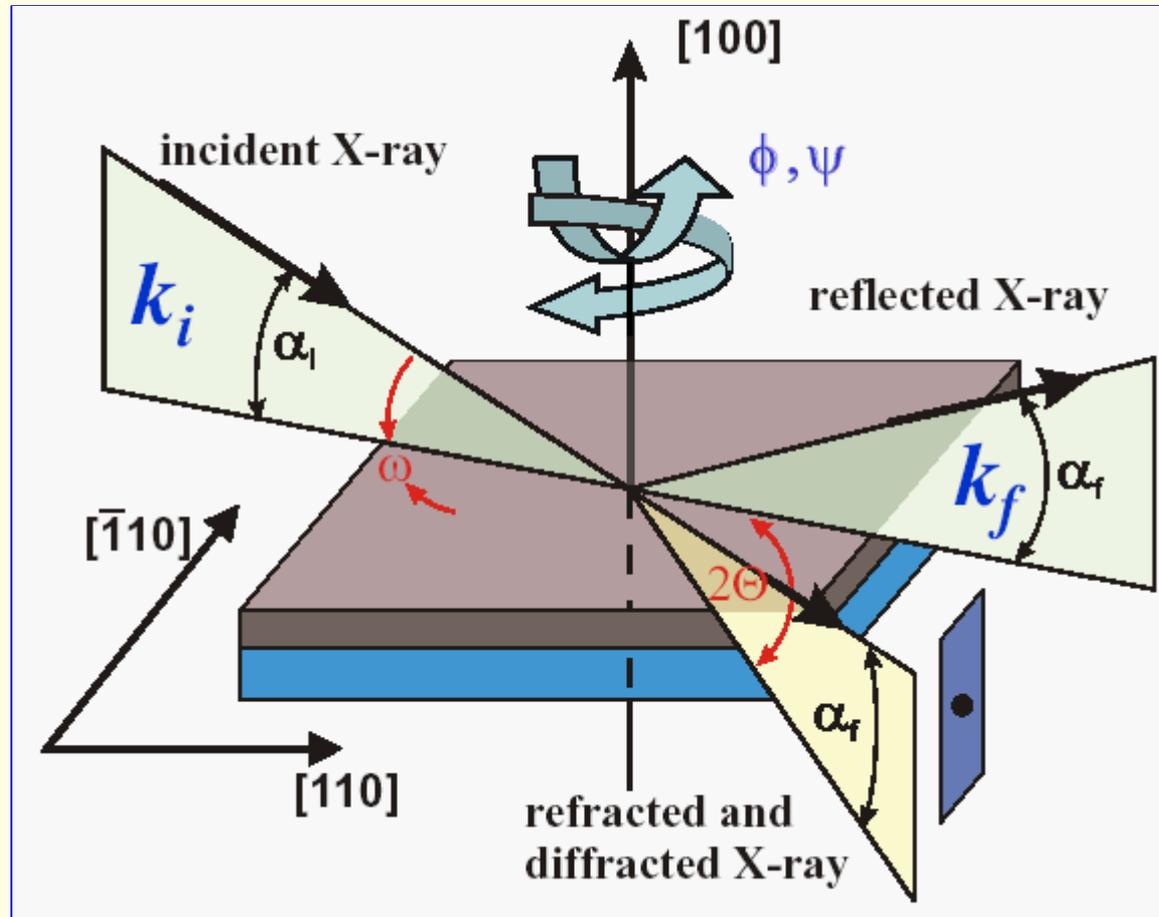
$$\alpha_c \approx \sqrt{2\delta}, \quad \alpha_c \sim \lambda \sqrt{\langle \rho \rangle}$$

Ib diamond,

"bad" bulk, very well polished surface
(3Å optical rms)



Grazing incidence diffraction



J. Grenzer - Smolenice,
20th of September 2005

**Thank you for your
attention!**

fin

end

Ende